

# D-modules and isolated hypersurfaces singularities

Main object of study;

$f: (\mathbb{C}^{n+1}, 0) \rightarrow (\mathbb{C}, 0)$  germ of holomorphic function with isolated critical point.

- Topics:
- singular Gauß-Manin connection
  - Brieskorn lattices, Gauß-Manin systems
  - mixed Hodge structures and spectral numbers
  - Period mappings
  - non-commutative Hodge structures (overview!)

# 1. Milnor fibration and Gauß-Mann connection

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given  $f: (\mathbb{C}^{n+1}, 0) \rightarrow (\mathbb{C}, 0)$ , consider good representative  $f: X \rightarrow S$ , where  $S := \{ |t| < \delta \} \subset \mathbb{C}$ ,  $X = \{ |x| < \varepsilon \} \cap f^{-1}(S)$ .  $S' := S \setminus \{0\}$ ;  $X' := f^{-1}(S')$   
 $f|_{X'}: X' \rightarrow S'$  is smooth.

Milnor:  $\varepsilon$  and  $\delta$  sufficiently small:

$f' := f|_{X'}: X' \rightarrow S'$  is a locally trivial  $C^\infty$ -fibration, and  $X_t := f^{-1}(t) \simeq \bigvee_{i=1}^M S^n$

where  $\mu := \dim_{\mathbb{C}} \frac{\sigma_{\mathbb{C}^{n+1}, 0}}{(\partial_{x_1} f, \dots, \partial_{x_{n+1}} f)}$ ;  $x_1, \dots, x_{n+1}$

coordinates on  $\mathbb{C}^{n+1}$ .

$$\leadsto H^i(X_t, \mathbb{C}) = \begin{cases} \mathbb{C} & i=0 \\ 0 & i \notin \{0, n\} \\ \mathbb{C}^M & i=n \end{cases}$$

relative version:  $L := R^n f_* \mathbb{C}_X$  is a

locally constant sheaf (local system)

of  $\mu$ -dim.  $\mathbb{C}$ -vector spaces. Define

$\mathcal{H}' := L \otimes_{\mathbb{C}_{S'}} \mathcal{O}_{S'}$ , then  $\mathcal{H}'$  is  $\mathcal{O}_{S'}$ -locally

free and comes equipped with a connection,

namely  $\nabla: \mathcal{H}' \rightarrow \mathcal{H}' \otimes_{\mathbb{C}_{S'}} \Omega_{S'}^1$  is defined

by  $\nabla(l \otimes f) := l \otimes df$  for any local

section  $l \in L$  and  $f \in \mathcal{O}_{S'}$ . Call  $(\mathcal{H}', \nabla)$

the **topological Gauß-Manin connection** of  $f$ .

Question: How to calculate monodromy eigenvalues?

Brieskorn: extend  $\mathcal{H}'$  over  $S$ !

Tool: differential forms and (relative) de Rham complexes

recall: relative Poincaré lemma  $\implies$

$$f^{-1} \mathcal{O}_{S'} \xrightarrow{\text{quism}} \Omega^{\bullet}_{X'/S'}$$

where  $\Omega^p_{X'/S'} := \Omega^p_{X'} / f^* \Omega^1_{S'} \wedge \Omega^{p-1}_{X'}$

(valid for any smooth holomorphic map)

consequence:  $R^p f_* \Omega^{\bullet}_{X'/S'} = R^p f_* f^{-1} \mathcal{O}_{S'}$

$\xrightarrow{\uparrow}$   $(R^p f_* \mathcal{O}_{X'}) \otimes_{\mathcal{O}_{S'}} \mathcal{O}_{S'}$   
projection formula

hence  $R^n f_* \Omega^{\bullet}_{X'/S'} = \mathcal{H}^1$ . This indicates how to extend  $\mathcal{H}^1$  over  $S$ : Put

$\mathcal{H} := R^n f_* \Omega^{\bullet}_{X/S} \rightsquigarrow \mathcal{O}_S$ -module



2 questions:

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1.) is  $\mathcal{H}$   $\mathcal{O}_S$ -coherent? locally free?

2.) How to extend  $\nabla$  from  $\mathcal{H}'$  to  $\mathcal{H}$

1.) Brieskorn's construction:  $f \sim_{\mathbb{R}} p \in \mathbb{C}[\pm]$

$\leadsto \exists Y$  smooth and  $F: Y \rightarrow S$  projektiv

with  $F|_{F^{-1}(s')}$  smooth and  $\text{Sing } F^{-1}(0) = \{x\}$

s.t.  $[(F, x): (Y, x) \rightarrow (S, 0)] \sim [f: (\mathbb{C}^{n+1}, 0) \rightarrow (\mathbb{C}, 0)]$

Then  $R^p F_* \Omega_{Y/S}$  is  $\mathcal{O}_S$ -coherent

(Grauert's theorem)  $\xrightarrow{\text{Brieskorn}}$   $\mathcal{H}$  is  $\mathcal{O}_S$ -coherent

use:  $R^p f_* \Omega_{Z/S}$  where  $Z := Y \setminus \bar{X}$ , where

$\bar{X} :=$  closure of  $X$  in  $Y$ , notice that  $f: Z \rightarrow S$  is trivial.

remark: Put  $\Omega_f^\bullet := \Omega_{\mathbb{C}^{n+1}/\mathbb{C}}^\bullet / f^* \Omega_{S,0}^\bullet \wedge \Omega_{\mathbb{C}^{n+1}/\mathbb{C}}^{\bullet-1}$

then  $\forall p \in \mathbb{N}$  there is an exact sequence:

$$0 \rightarrow R^p f_* \mathbb{C}_X \otimes \mathcal{O}_S \rightarrow R^p f_* \Omega_{X/S}^\bullet \rightarrow f_* \mathcal{H}^p \Omega_{X/S}^\bullet \rightarrow 0$$

$$\& [\mathcal{H}^p f_* \Omega_{X/S}^\bullet]_0 = f_* \mathcal{H}^p \Omega_{X/S}^\bullet = H^p(\Omega_{f,1}^\bullet)$$

(sheaf cohomology)

Pf: 2 spectral sequences

$${}^I E_2^{k,l} := \mathcal{H}^k(R^l f_* \Omega_{X/S}^\bullet, d) \Rightarrow R^{k+l} \Omega_{X/S}^\bullet$$

$${}^{II} E_2^{k,l} := R^k f_* (\mathcal{H}^l(\Omega_{X/S}^\bullet, d)) \Rightarrow R^{k+l} \Omega_{X/S}^\bullet$$

{}^I E.  $f$  is Stein  $\Rightarrow R^l f_* \Omega_{X/S}^\bullet = 0 \quad \forall l > 0$

$\Rightarrow$  {}^I E. degenerates  $\Rightarrow R^k f_* \Omega_{X/S}^\bullet = \mathcal{H}^k f_* \Omega_{X/S}^\bullet$

{}^{II} E.  $\text{crit } f = \{x\} \Rightarrow \text{Supp } \mathcal{H}^l \Omega_{X/S}^\bullet = \{x\} \quad \forall l > 0$

$\Rightarrow R^k f_* \mathcal{H}^l \Omega_{X/S}^\bullet = 0 \quad \forall k, l > 0; \quad {}^{II} E_2^{0,l} = f_* \mathcal{H}^l \Omega_{X/S}^\bullet$

$${}^{II} E_2^{k,0} = R^k f_* f^{-1} \mathcal{O}_S = R^k f_* \mathbb{C}_X \otimes \mathcal{O}_S$$

Sebastian:  $\mathcal{H} = \mathbb{R}^n_{\text{pr}} \Omega_{X/S}$  is  $\mathcal{O}_S$ -free  $\square$

(and, as we will see later:  $\mathbb{R}^p_{\text{pr}} \Omega_{X/S} = 0 \forall p \neq 0, n$ )

2.) recall:  $\text{Crit } f = V(d_{x_1} f, \dots, d_{x_{n+1}} f) = \{x\} \subset X$  is a

complete intersection, i.e.,  $d_{x_1} f, \dots, d_{x_{n+1}} f$  reg. sequence in  $\mathcal{O}_{\mathbb{C}^{n+1}, 0}$

$$H^p(\Omega_{X/S}^1, \mathcal{I}^k) = \begin{cases} 0 & \forall p \neq n+1 \\ \mathcal{O}_{\mathbb{C}^{n+1}, 0} / \mathcal{I}^k \cdot \text{vol} & p = n+1; \text{ vol} = dx_1 \wedge \dots \wedge dx_n \end{cases}$$

Consider the morphism

$$\beta^* \Omega_S^1 \otimes_{\mathcal{O}_X} \Omega_{X/S}^{p-1} \xrightarrow{\alpha} \Omega_X^p$$

$$\alpha \otimes \beta \longmapsto \alpha \wedge \beta$$

$\alpha$  injective  $\forall p \leq n+1$ . Hence put

$$\overline{\Omega}_{X/S}^p := \begin{cases} \Omega_{X/S}^p & \forall 0 \leq p \leq n \\ 0 & \text{else} \end{cases}$$

then we have the exact sequence

$$0 \rightarrow f^* \Omega_S^1 \otimes \Omega_{X/S}^{-1} \xrightarrow{\alpha} \Omega_X \rightarrow \Omega_{X/S} \rightarrow 0$$

and the long exact hypercohomology sequence:

$$\dots \rightarrow \mathbb{H}^p f_* \Omega_X \rightarrow \mathbb{H}^p f_* \Omega_{X/S} \xrightarrow{\delta} \Omega_S^1 \otimes \mathbb{H}^p f_* \Omega_{X/S} \rightarrow \dots \quad (*)$$

for  $p \in \{1, \dots, n-1\}$  and

$$\dots \rightarrow \mathbb{H}^n f_* \Omega_X \rightarrow \mathbb{H}^n f_* \Omega_{X/S} \xrightarrow{\delta} \Omega_S^1 \otimes \mathbb{H}^n \rightarrow \dots \quad (**)$$

where

$$\mathbb{H}^n := \frac{f_* \Omega_{X/S}^n}{d(f_* \Omega_{X/S}^{n-1})} = \frac{f_* \Omega_X^n}{d(f_* \Omega_X^{n-1}) + d(f_* \Omega_X^{n-1})} ; \quad \mathbb{H}_{|S|}^n \cong \mathbb{H}_{|S|}^n, \text{ cas}$$

$(d: \Omega_{X/S}^n \rightarrow \Omega_{X/S}^{n+1}) = 0$   
 $\hookrightarrow 0$

At the stalk level, we have  $\nabla_t := \iota_t \circ \delta: H^p(\Omega_f^p) \rightarrow$

resp.  $\nabla_t: \underline{H^n(\Omega_f^n)} \rightarrow \mathbb{H}_0$  defined by

$\nabla_t([\omega]) := [\eta]$  where  $\eta \in \Omega_X^n$  is such that

$d\omega = df \wedge \eta$ . The operator  $\nabla_t: \mathbb{H} \rightarrow \Omega_S^1 \otimes \mathbb{H}$

is called the **singular Gauß-Manin connection**

of  $f: (\mathbb{C}^{n+1}, 0) \rightarrow (\mathbb{C}, 0)$

important properties:

a)  $\nabla_t: H^p(\Omega_f) \rightarrow H^p(\Omega_f) \quad \forall p \in \{1, \dots, n-1\}$  and  $\nabla_t: \mathcal{H}_0 \rightarrow \mathcal{H}_0$  are isomorphisms

b)  $\mathcal{H}^p \Gamma_{\mathbb{R}} \Omega_{X/S} = 0 \quad \forall 0 < p < n$

c) The restriction  $\nabla_t: \mathcal{H}^m \Gamma_{\mathbb{R}} \Omega_{X'/S'} \subset \mathcal{H}^m \Gamma_{\mathbb{R}} \Omega_{X/S}$  coincides with the topological GM-comm.

d) Application: without using Milnor's theorem, we have:  $h^p(X_{t_1}, \mathbb{C}) = 0, \quad \forall p \in \{1, \dots, n-1\}$

Proof: a) follows from sequences (\*) and (\*\*);  $\mathcal{H}^p(\Gamma_{\mathbb{R}} \Omega_X)$   
 $= R^p \Gamma_{\mathbb{R}} \Omega_X = R^p \Gamma_{\mathbb{R}} \mathbb{C}_X$  so that  $(\mathcal{H}^p \Gamma_{\mathbb{R}} \Omega_X)_0 = 0$

b)  $\forall p \in \{1, \dots, n\}$ :  $\mathcal{H}^p f_* \Omega_{X/S}$  is  $\mathcal{O}_S$ -coherent and 10  
 equipped with connection  $\nabla_t$ , hence free and

$\mathcal{H}^p f_* \Omega_{X/S} \simeq \text{Ker } \nabla_t \otimes_{\mathcal{O}_S} \mathcal{O}_S$ , but at 0  $\nabla_t$  is an  
 isomorphism  $\Rightarrow [\mathcal{H}^p f_* \Omega_{X/S}]_0 = 0 \stackrel{\text{free}}{\Rightarrow} \mathcal{H}^p f_* \Omega_{X/S} = 0$

c) it suffices to show that  $\text{Ker } \nabla_t: \mathcal{H}_{S'} \rightarrow \mathcal{H}_{S'}$   
 equals  $R^n f_* \mathcal{O}_{X'}$ . From the sequence (\*\*)

restricted to  $X'$  we get:  $\text{Ker } \nabla_t|_{X'} =$

$$\text{Im} \left( \mathcal{H}^n f_* \Omega_{X'} \rightarrow \mathcal{H}^n f_* \Omega_{X'/S'} \right) =$$

$$\text{Im} \left( R^n f_* \Omega_{X'} \rightarrow R^n f_* \Omega_{X'/S'} \right) = \text{Im} \left( R^n f_* \mathcal{O}_{X'} \rightarrow R^n f_* f^{-1} \mathcal{O}_{S'} \right)$$

$$= \text{Im} \left( R^n f_* \mathcal{O}_{X'} \rightarrow R^n f_* \mathcal{O}_{X'} \otimes_{\mathcal{O}_{S'}} \mathcal{O}_{S'} \right) = \text{Ker } \nabla_t^{\text{top.}}$$

d) clear from b)

# Brieskorn lattice and Gauss-Maurin system

last time:  $f: X \rightarrow S$  Milnor fibration

$$\leadsto \mathcal{H} := \mathcal{H}^n(f_* \Omega_{X/S}) \text{ and } {}^1\mathcal{H} = f_* \Omega_{X/S}^n / df_* \Omega_{X/S}^{n-1}$$

$\sigma_S$ -free, connection  $\nabla_t: \mathcal{H} \rightarrow {}^1\mathcal{H}$ , isom. at  $0 \in S$

rk: obviously  $\mathcal{H} \xrightarrow{i} {}^1\mathcal{H}$ ,  $i$   $\sigma_S$ -linear

Malgrange:  $(\mathcal{H}, {}^1\mathcal{H}, i, \nabla_t): (E, F)$ -connection

Brieskorn: 
$${}^{11}\mathcal{H} := \frac{f_* \Omega_X^{n+1}}{df \wedge df_* \Omega_X^{n-1}}$$

we have  ${}^1\mathcal{H} \xrightarrow{1df} {}^{11}\mathcal{H}$  with cokernel equal to

$$f_* \Omega_X^{n+1} / df \wedge df_* \Omega_X^{n-1} + df \wedge f_* \Omega_X^n \cong f_* \Omega_X^{n+1} / df \wedge f_* \Omega_X^n$$

$$\cong \sigma_{\mathbb{C}^{n+1}, 0} / \mathcal{J}_f \cdot \text{vol}$$

we also have  $\text{coker}(\mathcal{H} \rightarrow {}^l\mathcal{H}) \cong_{d(-)} \frac{f_x \Omega_x^{n+1}}{df_1 f_x \Omega_x^n}$

hence the inclusions  $\mathcal{H} \subset {}^l\mathcal{H} \subset {}''\mathcal{H}$  of  $\mathcal{O}_S$ -mod. are equalities on  $S'$ , and we have

$$\mathcal{H}(*0) := \mathcal{H} \otimes_{\mathcal{O}_S} \mathcal{O}_S(*0) = {}^l\mathcal{H}(*0) = {}''\mathcal{H}(*0).$$

$\mathcal{H}, {}^l\mathcal{H}$  and  ${}''\mathcal{H}$  are  $\mathcal{O}_S$ -coherent and even loc. free (later).

$\mathcal{H}(*0)$  is a locally free  $\mathcal{O}_S(*0)$ -module with connection, which is defined by the Leibniz rule.

It is called THE **Gauß-Manin connection** of  $f$ .

Def: Let  $\mathcal{N}$  be  $\mathcal{O}_S(*0)$ -locally free, and  $\mathcal{L}$   $\mathcal{O}_S$ -coherent with  $\mathcal{L}(*0) = \mathcal{N}$ , then  $\mathcal{L}$  is called a lattice in  $\mathcal{N}$ . Let  $\nabla$  be a connection on  $\mathcal{N}$ , then  $\mathcal{L}$  is called logarithmic, if  $(t\nabla_t)(\mathcal{L}) \subset \mathcal{L}$ .



extension of the connection to "gl:

$$\nabla_t: 'gl \rightarrow "gl, [\alpha] \mapsto [d\alpha]$$

this implies: let  $k \in \mathbb{N}$  s.t.  $t^k "gl \subset 'gl$ , i.e., if  
for  $[\omega] \in "gl$  we have  $f^k \cdot \omega = df \lrcorner \eta$ , then

$$t^k \nabla_t: "gl \rightarrow "gl$$

$$[\omega] \mapsto [d\eta] - k \cdot [f^{k-1} \omega] \quad (*)$$

example: quasi-homogeneous singularities

Let  $f = \sum_{\underline{d} \in \mathbb{N}^n} c_{\underline{d}} \cdot \underline{x}^{\underline{d}} \in \mathbb{C}\{\underline{x}\}$  s.t.  $\exists w_1, \dots, w_{n+1} \in \mathbb{Q} \cap (0, 1)$ ?

with  $\sum_{i=1}^{n+1} d_i \cdot w_i = 1 \quad \forall \underline{d} \in \mathbb{N}^n$  s.t.  $c_{\underline{d}} \neq 0$ .

Then:  $\left( \sum w_i x_i d_{x_i} \right) (f) = f \Rightarrow f \in \mathcal{J}_f$

$\Rightarrow f \cdot \text{vol} = df \lrcorner \eta; \quad \eta = \sum_{i=1}^{n+1} (-1)^{i+1} \cdot w_i \cdot x_i \cdot \frac{\text{vol}}{dx_i} \in f_* \Omega_X^n$

hence  $(t_{\nabla_t})^* \mathcal{L} = \mathcal{L}$ , i.e.,  $\mathcal{L}$  is a logarithmic lattice inside  $(\mathcal{L}(x_0), \nabla)$ . Moreover, using (\*), we

have ( $k=1$ ):  $(t_{\nabla_t}) [x^{\beta} \cdot \text{vol}] = [d\eta] - [x^{\beta} \text{vol}]$  where

$d\eta \wedge \eta = f \cdot x^{\beta} \text{vol}$ . Remember that  $f \cdot \text{vol} = df \wedge \zeta$ ,

then  $f \cdot x^{\beta} \text{vol} = df \wedge x^{\beta} \zeta \Rightarrow \eta = x^{\beta} \zeta$ , so that

$$(t_{\nabla_t}) [x^{\beta} \text{vol}] = [d(x^{\beta} \zeta) - x^{\beta} \text{vol}] = \text{wt}(x^{\beta} \text{vol})$$

$$\left[ \left( \sum_{i=1}^{n+1} w_i \partial_{x_i} (x_i x^{\beta}) - x^{\beta} \right) \text{vol} \right] = \left[ \left( \sum_{i=1}^{n+1} w_i (\beta_i + 1) - 1 \right) x^{\beta} \text{vol} \right]$$

On the other hand: from above:  $\forall x^{\beta} \text{vol} : \exists \tilde{\eta}$  s.t.  $d\tilde{\eta} = x^{\beta} \text{vol}$

and s.t.  $d\eta \wedge \tilde{\eta} \in f \cdot \Omega_x^{n+1}$ , namely, take:  $\tilde{\eta} = \frac{1}{\text{wt}(x^{\beta} \text{vol})} \cdot x^{\beta} \zeta$ . Extending

linearly, we conclude that  $\text{Im}(\mathcal{L} \hookrightarrow \mathcal{L}) \subset t \cdot \mathcal{L}$ .

But  $f \text{vol} = d\eta \wedge \eta \Rightarrow t \cdot \mathcal{L} \subset \text{Im}(\text{id}_f : \mathcal{L} \hookrightarrow \mathcal{L})$ , hence

$\mathcal{L} / t \cdot \mathcal{L} \cong \mathcal{L} / \mathcal{L} = \mathbb{P}_k \Omega_{X/S}^n \cong \mathcal{O}_{x_0} / \mathcal{I}_f \cdot \text{vol}$ , hence (Nakayama)

$$\mathcal{L} = \bigoplus_{k=1}^{\mu} \mathcal{O}_S \cdot x^{\beta_k} \text{vol}, \text{ where } \mathcal{O}_{x_0} / \mathcal{I}_f = \bigoplus_{k=1}^{\mu} \mathbb{C} \underline{x}^{\beta_k}$$

Corollary: Let  $f \in \mathcal{O}_{\mathbb{C}^n, 0}$  be quasi-hom. i.d.s.

homogeneous of degree 1 w.r.t. the weight system  $w_1, \dots, w_{n+1}$ . Then the monodromy of  $R^n f_* \mathbb{C}_{X^1}$  is semi-simple with eigenvalues  $e^{-2\pi i \cdot \text{wt}(X^{\beta_k} \cdot \text{vol})}$ ,

where  $\mathcal{O}_{X,0}/\mathbb{Z}f = \bigoplus_{k=1}^{\mu} \mathbb{C} \underline{x}^{\beta_k}$

regularity: recall:  $(\mathcal{N}, \nabla)$  meromorphic

connection is regular  $\stackrel{\text{df}}{\iff} \exists L \subset \mathcal{N}$  logarithmic lattice.

Theorem:  $(\mathcal{H}(*0), \nabla)$  is regular.

sketch of proof (Deligne): - write  $X_0 := f^{-1}(0)$ ,

then  $\mathcal{H}(*0) = R^n f_* \Omega_{X/S}^{\bullet}(*X_0)$

- consider a resolution of  $f$

$$\begin{array}{ccc} \tilde{X}_0 = \tilde{X} & \xrightarrow{\pi} & X \\ \downarrow & \searrow \tilde{f} & \downarrow h \\ \{0\} & \longrightarrow & S \end{array} \quad \text{with } \tilde{X}_0 \quad \text{NCD} \quad \pi|_{\tilde{X}, \tilde{X}_0} \text{ iso}$$

then  $\mathbb{R}^n_{f^*} \Omega_{\tilde{X}/S}^1(*\tilde{X}_0) \cong \mathbb{R}^n_{f^*} \Omega_{X/S}^1(*X_0) \cong \mathfrak{g}(*0)$

Then  $\mathbb{R}^n_{f^*} \Omega_{\tilde{X}/S}^1(\log \tilde{X}_0) / \text{Tors}$  is a logarithmic

lattice inside  $\mathfrak{g}(*0) = \mathbb{R}^n_{f^*} \Omega_{\tilde{X}/S}^1(*\tilde{X}_0)$   $\square$

Th: regularity is equivalent to the following

statement: let  $A_1, \dots, A_\mu$  be a basis of  $\mathbb{R}^n_{f^*} \mathbb{C}_X$

consisting of multivalued sections, and  $v \in \mathfrak{g}_0$

(or in  $\mathfrak{g}_0$  or  ${}''\mathfrak{g}_0$ ) with  $v = \sum_{i=1}^{\mu} c_i(t) \cdot A_i$ , then

on any sector  $\subset S'$ , we have  $|c_i(t)| \leq \frac{C}{|t|^k} \quad k \in \mathbb{N}$ .

Monodromy theorem (Brieskorn): The eigenvalues

of the monodromy of  $\mathbb{R}^n_{f^*} \mathbb{C}_X$  are **roots of unity**.

Pf:  $L \subset \mathfrak{g}^{(0)}$  log, show that EV of  $\text{Res}(v_{\text{reg}}, h)$  are algebraic.

# The Gauß-Mannin-system.

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recall :  $f: A \rightarrow B$  holomorphic map  $\rightsquigarrow$

$f_+ : D^b(\mathcal{D}_A) \rightarrow D^b(\mathcal{D}_B)$ . However, for  $N$

$\mathcal{D}_A$ -coherent and holonomic,  $\mathcal{H}^i f_+ N$  is not necessarily so (true if  $f|_{\text{supp } N}$  is proper). Nevertheless

Def. :  $f: X \rightarrow S$  Milnor fibration of i.h.s., then

$M := \mathcal{H}^0 f_+ \sigma_X \in \text{Mod}(\mathcal{D}_S)$  is called the

Gauß-Mannin system of  $f$ .

Lemma: We have  $M := \mathcal{H}^{n+1}(f_* \Omega_X[\partial_t], d - df \wedge - \otimes \partial_t)$

with  $\mathcal{D}_S$ -action  $\partial_t(\omega \otimes \partial_t^k) = \omega \otimes \partial_t^{k+1}$  and

$$t(\omega \otimes \partial_t^k) = f \cdot \omega \otimes \partial_t^k - k \omega \otimes \partial_t^{k-1}$$

Proof: Use general rules for calculating the 18

direct image, i.e.:  $f = p_2 \circ i_f: X \xrightarrow{i_f} X \times S \xrightarrow{p_2} S$   
 $\text{Im } i_f =: \Gamma_f = V(\tau_f)$

$$\implies f_* \mathcal{O}_X = p_{2,*} i_{f,*} \mathcal{O}_X$$

$$i_{f,*} \mathcal{O}_X = \mathcal{O}_X[\mathcal{I}_t] \simeq \mathcal{O}_{X \times S}(*\Gamma_f) / \mathcal{O}_{X \times S}$$

$$1 \longmapsto \frac{1}{f-t}$$

where  $\partial_{x_i}(g \otimes d_t^k) = \partial_{x_i} g \otimes d_t^k - (g \otimes \partial_{x_i} f) \cdot g \otimes d_t^{k-1}$

$$t(g \otimes d_t^k) = f \cdot g \otimes d_t^k - k g \otimes d_t^{k-1}$$

$$p_{2,*}(\mathcal{O}_X[\mathcal{I}_t]) = R p_{2,*} DR_{X \times S/S}^{\bullet + (n+1)}(\mathcal{O}_X[\mathcal{I}_t])$$

$$\stackrel{!}{=} (p_{2,*} \Omega^{\bullet + (n+1)}[\mathcal{I}_t], d - df \wedge - \otimes \mathcal{I}_t) \quad (\text{M. Saito}) \quad \square$$

natural filtration:  $F^{n-k} M := \text{Im} \left( \bigoplus_{i \leq k} \Omega_X^{n+1} \mathcal{I}_t^i \right) \subset M$

Lemma:  $F^n M \simeq \text{gl}$

Proof: We have a surjective map  $f_*: \Omega_X^{n+1} \xrightarrow{f_*} F_n M$  19

show:  $\ker l = df \wedge df_* \Omega_X^{n+1}$ : Take  $\alpha \in f_* \Omega_X^{n+1}$

with  $\alpha \in \ker l \Rightarrow \exists \eta_0, \dots, \eta_k \in f_* \Omega_X^n$  s.t.

$$\alpha = (d - \partial_t \cdot df_*) \left[ \sum_{i=0}^k \eta_i d_t^i \right] = \sum_{i=0}^k \left( d\eta_i d_t^i - (df \wedge \eta_i) d_t^{i+1} \right)$$

$$\Rightarrow df \wedge \eta_k = 0 \quad \xRightarrow{\text{Koszul}} \quad \eta_k = df \wedge \alpha_{k-1}, \quad \alpha_{k-1} \in f_* \Omega_X^{n-1}$$

$$\underline{k=0}: \quad \alpha = d(df \wedge \alpha_{k-1}) = -df \wedge d\alpha_{k-1} \quad \checkmark$$

$$\underline{k>0}: \quad \underbrace{d\eta_k}_{df \wedge d\alpha_{k-1}} - df \wedge \eta_{k-1} = 0 \Rightarrow df \wedge (d\alpha_{k-1} - \eta_{k-1}) = 0$$

$$\Rightarrow \eta_{k-1} = d\alpha_{k-1} + df \wedge \alpha_{k-2} \Rightarrow d\eta_{k-1} = -df \wedge d\alpha_{k-2}$$

$$\underline{k=1}: \quad \alpha = d\eta_{k-1} = -df \wedge d\alpha_{k-2}$$

$$\underline{k>1}: \quad d\eta_{k-1} - df \wedge \eta_{k-2} = df \wedge (d\alpha_{k-2} - \eta_{k-2}) = 0$$

and so on:  $d\eta_1 = df \wedge d\alpha_0$  &  $d\eta_1 - df \wedge \eta_0 = 0$  [20]

$$\Rightarrow \eta_0 = d\alpha_0 + df \wedge \beta \quad \beta \in \mathcal{F}_* \Omega^{n-1} X$$

and  $\alpha = df \wedge d\beta$  □

Coherence, regularity, holonomicity of  $M$ :

exercise:  $F^{n-k} M = \mathcal{D}_t^k F^n M = \mathcal{D}_t^k \mathcal{H} \quad \forall k \geq 0$

hint:  $w_1 \mathcal{D}_t + w_0 \in F^{n-1} M \Rightarrow w_1 \mathcal{D}_t + w_0 = \mathcal{D}_t (w_1 + w_0')$

Theorem:  $M$  is  $\mathcal{D}_S$ -coherent and holonomic, with good filtration  $F^{n-\bullet} M$ , and  $M|_{S^1} = (\mathcal{H}' | \nabla)$ , i.e.  $\mathcal{O}_{S^1}$ -locally free with connection. Moreover,  $\mathcal{D}_t$  is invertible on  $M_0$ , and  $M$  is  $\mathcal{D}_S$ -regular

Proof: From the  $\mathcal{O}_S$ -freeness of  $\mathcal{H} = F^n M$  and  $F^{n-k} M = \mathcal{D}_t^k \mathcal{H}$

we deduce that  $F^{n-\bullet}$  is good. Notice also that

$$F^{n-(k-1)} M = \mathcal{D}_t^{k-1} \mathcal{H} = \mathcal{D}_t^k \mathcal{D}_t^{-1} \mathcal{H} = \mathcal{D}_t^k \mathcal{H}, \text{ so that}$$

$$F^{n-k} M / F^{n-k+1} M \cong \mathcal{H} / \mathcal{H} \cong \mathcal{F}_* \Omega_{X|S}^n, \text{ which is } \mathcal{O}_S\text{-torsion,}$$



so that  $\text{gr}_F^* M$  is  $\text{gr. } \mathcal{D}_S$ -coherent, hence (21)

$M$  is  $\mathcal{D}_S$ -coherent.

We have seen:  $\mathcal{D}_t: \mathcal{H}_0 \xrightarrow{\cong} \mathcal{H}_0$ , hence

$\mathcal{D}_t$  is invertible on  $M_0$  (exercise: show this directly from the definition of  $M := \mathcal{H}^0 f_+ \sigma_S$ )

restriction  $j^* M$ : as  $f|_{X'}: X' \rightarrow S'$  is smooth,

we have  $f_+ \sigma_{X'} \simeq \mathbb{R} f_* f^{-1} \sigma_{S'} \simeq \mathbb{R} f_* \mathcal{O}_{X'} \otimes_{\mathbb{C}_{S'}} \sigma_{S'}$

Pf:  $f|_{X'}$  smooth  $\Rightarrow f^{-1} \sigma_{S'} \simeq \Omega_{X'/S'} \simeq \Omega_{\Gamma/S'}$ ,

where  $\Gamma = \text{graph}(f) \subset X' \times S' =: Z$ . Now consider

$$0 \rightarrow \Omega_{Z/S'} \rightarrow \Omega_{Z/S'}(\log \Gamma) \xrightarrow{\text{res}} \tilde{c}_* \Omega_{\Gamma/S'} \rightarrow 0$$

$\parallel$   $\downarrow \theta$   $\downarrow a$

$$0 \rightarrow \Omega_{Z/S'} \rightarrow \Omega_{Z/S'}(*\Gamma) \rightarrow \text{DR}(i_{f_+} \sigma_{X'}) \rightarrow 0$$

where  $\tilde{c}: \Gamma \hookrightarrow Z$ . We have:  $\theta$  isom  $\Rightarrow a$  isom, hence

$$\mathbb{R} f_* \Omega_{X'/S'}^{\bullet + (n+1)} \simeq \mathbb{R} p_* \tilde{c}_* \Omega_{\Gamma/S'}^{\bullet + (n+1)} \simeq \mathbb{R} p_* \text{DR}^{\bullet + (n+1)}(i_{f_+} \sigma_{X'}) = f_+ \sigma_{X'}$$

It follows that  $\text{char}(M) \subset T_S^* S \cup T_{\{0\}}^* S$ ,

hence  $\dim \text{char}(M) = 1 \rightarrow M$  is holonomic.

regularity: we have that  $M \otimes_{\mathcal{O}_S} \mathcal{O}_S(x_0) \cong \mathcal{L} \otimes_{\mathcal{O}_S} \mathcal{O}_S(x_0) = \mathcal{L}(x_0)$

general fact in dim 1:  $M \otimes_{\mathcal{O}_S} \mathcal{O}_S(x_0)$  regular  $\Rightarrow M$  regular.

# V-filtration and mixed Hodge structures

aim: use V-filtration on  $\mathcal{H}(*_0)$   
resp. on  $M$  to define Hodge filtration  
on  $H^n(f^{-1}(t), \mathbb{C})$  giving rise to MHS.

---

reminder on V-filtration: here only for  
meromorphic bundles with connection.

starting data:  $(\mathcal{H}^1 \rightarrow \mathbb{C}^*, \nabla)$  flat holomorphic  
bundle (e.g. extension of  $R^n p_* (f^{-1} \sigma_{S'})$  from  $S'$  to  $\mathbb{C}^*$ )

$\mathcal{H}^{1, \nabla}$ : ker  $\nabla$  is local system

Consider  $u: \mathbb{C}^* \rightarrow \mathbb{C}^*$ ,  $\zeta \mapsto t := e^{2\pi i \zeta}$ , then

$u^* \mathcal{H}^{1, \nabla}$  is constant, put  $H^\infty := H^0(\mathbb{C}, u^* \mathcal{H}^{1, \nabla})$

then we have  $M \in \text{Aut}(H^\infty): H^\infty \ni A \xrightarrow{M} [\zeta \mapsto A(\beta+1)]$

more abstract version:  $H^\infty := \varprojlim_t (j_! \mathcal{H}^{1, \nabla}) \in \text{Mod}(\mathbb{C}[M])$

where  $j: \mathbb{C}^* \hookrightarrow \mathbb{C}$

generalized eigenspace decomposition:

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$$H^\infty := \bigoplus_{\lambda \in \mathbb{C}} H_\lambda^\infty, \quad H_\lambda^\infty := \bigcup_i \ker(M - \lambda)^i$$

and  $M = M_{ss} \cdot M_u$ ,  $N := \log M_u$ : "nilpotent part of  $M$ "

Def.:  $\forall \lambda \in \mathbb{C}$ ,  $A \in H_\lambda^\infty$  and  $\alpha \in \mathbb{C}$  s.t.  $e^{-2\pi i \alpha} = 1$ ,

put  $es(A, \alpha) := \left[ \zeta \mapsto e^{(2\pi i \alpha \cdot \mathcal{J} \mathcal{I} - N) \zeta} \cdot A(\zeta) \right] \in H^0(\mathbb{C}^k, \mathfrak{gl}^l)$

notice  $es(A, \alpha)(\zeta+1) = e^{(2\pi i \alpha \cdot \mathcal{J} \mathcal{I} - N) \zeta} \cdot e^{2\pi i \alpha \mathcal{J} \mathcal{I} - N} \cdot A(\zeta+1)$

$$= e^{(2\pi i \alpha \cdot \mathcal{J} \mathcal{I} - N) \zeta} e^{2\pi i \alpha \mathcal{J} \mathcal{I} - N} \cdot M(A)(\zeta)$$

$$= e^{(2\pi i \alpha \cdot \mathcal{J} \mathcal{I} - N) \zeta} (\mathcal{I}^{-1} \mathcal{J} \mathcal{I}) (M_u^{-1}) \cdot M_{ss}(M_u)(A)(\zeta)$$

$$= e^{(2\pi i \alpha \cdot \mathcal{J} \mathcal{I} - N) \zeta} \cdot A(\zeta) = es(A, \alpha)(\zeta)$$

hence  $es(A, \alpha) \in H^0(\mathbb{C}^k, \mathfrak{gl}^l)$ . It is called

an **elementary section** of  $\mathfrak{gl}^l$  of order  $\alpha$ .

Using elementary sections, we can give an explicit description of the canonical  $V$ -filtration of  $(\mathfrak{gl}^l, \mathcal{D})$

(exercise)

a)  $t \cdot \text{es}(A, d) = \text{es}(A, d+1)$

b)  $\partial_t \cdot \text{es}(A, d) = d \cdot \text{es}(A, d-1) - \frac{1}{2\pi i} \text{es}(N \cdot A, d-1)$

c) Put  $C^d := \{ \text{es}(A, d) \mid A \in H_{e^{-2\pi i d}}^\infty \}$ , then

$H_{e^{-2\pi i d}}^\infty \longrightarrow C^d, A \mapsto \text{es}(A, d)$  is isom.

d)  $t: C^d \rightarrow C^{d+1}$  is bijective,

$\partial_t: C^d \rightarrow C^{d-1}$  is bijective iff  $d \neq 0$

$t\partial_t - d \cdot \text{Id}: C^d \rightarrow C^d$  is nilpotent

Clear:  $C^d = 0$  unless  $e^{-2\pi i d}$  is eigenvalue of  $M$

from now on suppose that  $M$  is quasi-unipotent  
 $(M^r - \text{Id})^N = 0$

i.e.,  $C^d = 0 \forall d \notin \mathbb{Q}$

Def: Let  $W_\bullet^w H^\infty$  be the monodromy weight filtration with center  $w$ , i.e. the unique filtration s.t. -  $N: W_\bullet^w \subset W_{\bullet-2}^w$  &  
 -  $N^e: \text{gr}_{w+e}^w \xrightarrow{\cong} \text{gr}_{w-e}^w$

Def:  $V^\alpha := \bigoplus_{\beta \in [\alpha, \alpha+1)} \sigma_{\mathbb{C}} \cdot C^\beta$ ,  $V^{>\alpha} := \bigoplus_{\beta \in (\alpha, \alpha+1]} \sigma_{\mathbb{C}} \cdot C^\beta$

then  $V^\alpha$  and  $V^{>\alpha}$  are  $\sigma_{\mathbb{C}}$ -free and

$V^\alpha / C^\alpha \simeq V^{>\alpha} / C^\alpha \simeq \mathfrak{gl}'$  (i.e.  $V^\alpha, V^{>\alpha} \subset j_* \mathfrak{gl}'$ )

$\text{gr}_V^\alpha := V^\alpha / V^{>\alpha} \simeq C^\alpha$

relation between  $V$ -filtration on  $\mathfrak{H}(*0)$  and  $M$

Th: Let  $M$  be a coherent + holonomic  $\mathcal{D}_S$ -module with a reg. sing at  $0 \in S$  and no others and s.t.  $\partial_t$  is invertible on  $M_0$ .

Then  $M = \bigoplus_{j=1}^r M^{d_j, q_j}$  with  $M^{d_j, q_j} := \mathcal{D}_S / \mathcal{D}_S (t \partial_t - d_j)^{q_j}$

where  $d_j \in (-1, 0]$  (suppose that  $d \in \mathbb{Q}$ ).

— Canonical  $V$ -filtration on  $M$ :  $C^\alpha := \bigcup_{N \geq 0} \ker (t \partial_t - \alpha)^N$

and  $V^\alpha M, V^{>\alpha} M$  as before. Then  $\text{Tors}(M) \subset$

$\sum_{\alpha \leq -1} C^\alpha$ , hence  $V^\alpha M$  is  $\sigma_S$ -free  $\forall \alpha > -1$ .

notice:  $\exists$  exact sequence

$$0 \rightarrow \ker \rightarrow \mathcal{M} \xrightarrow{\text{loc}} \mathcal{M}(*0) \rightarrow \text{oker} \rightarrow 0$$

with  $\ker$  &  $\text{oker}$   $t$ -torsion. Hence  $V^{>-1} \mathcal{M} =$

$$V^{>-1} \mathcal{H}(*0). \text{ Moreover, as } \mathcal{H} \text{ is } \sigma_S\text{-free,}$$

$$\text{we have } \mathcal{H} = V^{>-1} \mathcal{H}(*0) = V^{>-1} \mathcal{M}.$$

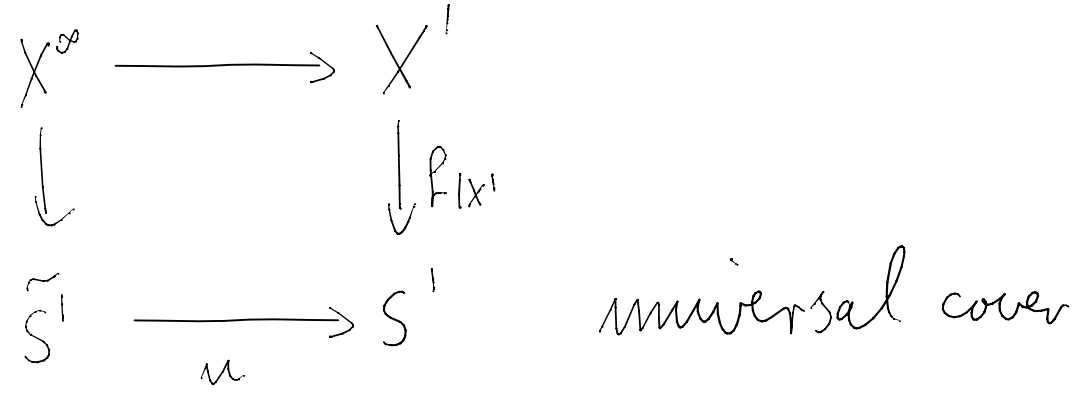
rk:  $V^* \mathcal{H}(*0)$  induces  $V^d \mathcal{H} := V^d \mathcal{H}(*0) \cap \mathcal{H}$  and

$$\text{we put } \text{gr}_V^d \mathcal{H} := V^d \mathcal{H} / V^{>d} \mathcal{H}.$$

back to isolated hypersurface singularities,  $\mathcal{M} = \mathcal{H}^0 \oplus \sigma_x$

aim: define Hodge filtration on  $H^n(F^{-1}(t), \mathbb{C})$

rk: canonical Milnor: consider the cartesian diagram



then  $X^\infty \rightarrow \tilde{S}'$  is trivial, and  $H^n(X^\infty, \mathbb{C}) \cong H^n$ , where

$H^\infty := \gamma_t^n (R^n_{F_*} \mathbb{C}_{Y'})$ . We want to put MHS on  $H^\infty$ .

First notice:  $\exists H^\infty_{\mathbb{R}} \subset H^\infty$  real structure:  $H^\infty_{\mathbb{R}} = \gamma_t^n R^n_{F_*} \mathbb{R}_{Y'}$

similar  $H^\infty_{\mathbb{Q}}, H^\infty_{\mathbb{Z}}$  and  $M \in \text{Aut}(H^\infty_{\mathbb{R}|\mathbb{Q}|\mathbb{Z}})$ .

$$H^\infty \cong \bigoplus_{d \in (-1,0]} H^\infty_{e^{-2\pi i d}} \xrightarrow{\cong} \bigoplus_{d \in (-1,0]} \mathbb{C}^d \cong \bigoplus_{d \in (-1,0]} \text{gr}_V^d \mathcal{H}(*,0) \cong \bigoplus_{d \in (-1,0]} \text{gr}_V^d \mathcal{H}$$

Def:  $F^p H^\infty := \bigoplus_{d \in (-1,0]} F^p H^\infty_{e^{-2\pi i d}}$  where  $F^p H^\infty_{e^{-2\pi i d}}$

$$:= \int_t^{n-p} \text{gr}_V^{d+n-p} \mathcal{H} \subset \text{gr}_V^d \mathcal{H}(*,0) \cong \mathbb{C}^d \cong H^\infty_{e^{-2\pi i d}}$$

Theorem: (Steenbrink, Scherk, Varchenko)

$$(H^\infty, H^\infty_{\mathbb{R}}, F^\bullet, W^\bullet, H^\infty_{\mathbb{R}, i \neq 1} \oplus W^{n+1} H^\infty_{\mathbb{R}, 1}) \text{ is a MHS}$$

Sketch of the proof: in 5 steps

Step 1: recall Brieskorn's construction:  $\exists F: Y \rightarrow S$  projective

such that  $Y$  smooth,  $(F, x) \xrightarrow{\sim} (f, 0)$ ,  $F_{|Y': Y \setminus F^{-1}(0)}: Y' \rightarrow S'$

smooth and  $F_{|Y \setminus \bar{X}}: Y \setminus \bar{X} \rightarrow S$   $C^\infty$ -trivial. Hence

the primitive part of  $R^n_{F_*} \mathbb{C}_{Y'}$  is VPHS of weight  $n$  on  $S'$



Schmid  $\implies \exists F_{\text{lim}}^\bullet H_Y^\infty$  where  $H_Y^\infty = \gamma_t^* R^n F_* \mathbb{C}_Y$

and  $(H_{Y_1}^\infty, H_{Y_1|\mathbb{R}^1}^\infty, N_{Y_1}^n, F_{\text{lim}}^\bullet)$  is MHS.

Step 2: Define  $Y_\infty := Y|_{X'} \tilde{S}^1$  as above, then

$$0 \rightarrow H^n(Y_\infty, X_\infty) \rightarrow H^n(Y_\infty) \xrightarrow{i^*} H^\infty \rightarrow H^{n+1}(Y_\infty, X_\infty) \rightarrow H^{n+1}(Y_\infty) \rightarrow 0$$

Fact:  $Y_\infty / X_\infty \cong_{\text{ét.}} Y_0 = F^{-1}(0)$ , because  $X_0$  is cone

over  $\partial B_\varepsilon(0) \cap X_0$  and  $Y|_{\bar{X}} \rightarrow S$  is trivial, hence

$$0 \rightarrow H^n(Y_0) \rightarrow H^n(Y_\infty) \rightarrow H^\infty \rightarrow H^{n+1}(Y_0) \rightarrow H^{n+1}(Y_\infty) \rightarrow 0 \quad \begin{matrix} M = M_Y \\ \text{inv.} \end{matrix}$$

Scherk:  $F$  can be chosen s.t.  $P^n(Y_\infty) \xrightarrow{i^*} H^\infty$

where  $P^n(Y_\infty) =$  primitive part of  $H^n(Y_\infty)$

Steinbrink:  $\exists F_{\text{st}}^\bullet H^\infty$  giving rise to MHS on  $H^\infty$

$\&$  s.t.  $i^*$  is MHS-morphism (i.e.  $F_{\text{st}}^\bullet = i^* F^\bullet P^n(Y_\infty)$ )

Pf uses resolution of  $F$  and coh. mixed Hodge complexes

Step 3: Let  $M_Y := \mathcal{H}^0 F_+ \mathcal{O}_Y = \mathbb{R}^{n+1} F_* DR_{Y \times S/S} (i_{F_+}^* \mathcal{O}_Y)$  30

$$= \mathbb{R}^{n+1} F_* (\Omega_Y [d_\epsilon], d - d_\epsilon \cdot dF \wedge)$$

$M_Y$  is  $\mathcal{D}_S$ -coherent and regular holonomic.

The inclusion  $i: X \rightarrow Y$  induces a  $\mathcal{D}_S$ -

morphism  $i^*: M_Y \rightarrow \mathcal{M}$  with

$\mathcal{D}_S$ -coherent kernel and cokernel (Steinbrück-Scherb)

again put  $F^p M_Y = \text{image of } \mathbb{R}^n F_* \left[ \bigoplus_{k=0}^{m-p-1} \Omega_Y [d_\epsilon^k] \right]$  in  $M_Y$

Claim:  $F^* M_{Y|S'}$  is the Hodge

filtration on  $\mathbb{R}^n F_* (F^{-1} \mathcal{O}_{S'})$  of the smooth

projective family  $F_{|Y'}: Y' \rightarrow S'$ .

Pf.: known  $F^p R^n F_* (F^{-1} \sigma_{S'})$  is defined by 31

"filtration bête"  $\mathbb{R}^n F_* (\sigma_{\geq p} \Omega_{Y'/S'}) \subset \mathbb{R}^n F_* \Omega_{Y'/S'}$

We have filtered morphism:

$$dF_1 : \Omega_{Y'/S'} \rightarrow \Omega_{Y'}^{+1} [d_t] \quad (\text{recall: } F^p \Omega_{Y'}^k [d_t] := \bigoplus_{e=0}^{k-p-1} \Omega_{Y'}^k d_t^e)$$

$$\sigma_{\geq p} \Omega_{Y'/S'} \mapsto F^p \Omega_{Y'}^{+1} [d_t]$$

$$[0 \rightarrow \dots \rightarrow 0 \rightarrow \Omega^p \rightarrow \Omega^{p+1} \rightarrow \dots \rightarrow \Omega^{n-1} \rightarrow 0]$$

Take grp on both sides  $\rightarrow \Omega_{Y'/S'}^p \xrightarrow{1dF} [\Omega_{Y'}^{p+r} d_t^{r-1}]_{r>0}$

and on RHS the differential is  $-d_t dF_1$

Hence  $dF_1$  is a (filtered) isom  $\sim$

$$\mathbb{R}^n F_* (\sigma_{\geq p} \Omega_{Y'/S'}) = F^p M_{Y'/S'}$$

Step 4: Consider  $V$ -filtration of  $M_Y$  and

in particular  $\bigoplus_{d \in (-1, 0]} \text{gr}_d^V (V^{\geq 1} M_Y / t \cdot V^{\geq 1} M_Y)$

$$\xrightarrow[\cong]{\alpha} H^n(Y_\infty, \mathbb{C}). \text{ Then we have that}$$

$\forall x \in V_{>1} \mathcal{M}_Y \cap F^p \mathcal{M}_Y : d([x]) \in F_{\text{lim}}^p H^n(Y_\infty, \mathbb{C})$  32

Claim:  $i^* F^p \mathcal{M}_Y = F^p \mathcal{M}$

(can be achieved by choosing basis of  $F^n \mathcal{M}$   
by forms which extend to  $\mathbb{P}^{n+1} \times S$  with  
pole order along  $Y$  which can be controlled)

This implies that  $F^p H^\infty \subset F_{\text{st}}^p H^\infty$ , idea:

let  $x \in F^p H_{e^{-2\pi i x}}^\infty$ ,  $d \in (-1, 0]$ , then  $x$  is represented

by  $\tilde{x} \in \mathcal{O}^{n-p} \otimes \mathcal{H} \cap V^d = F^p \mathcal{M} \cap V^d \Rightarrow \exists \tilde{y} \in F^p \mathcal{M}_Y$

with  $i^* \tilde{y} = \tilde{x}$  and  $\tilde{y} \in V_{>1} \mathcal{M}_Y$ , hence  $\tilde{y}$  yields

element  $y \in F^p H^n(Y_\infty) \simeq \bigoplus \text{gr}_V^d (V_{>1} \mathcal{M}_Y / V_{>0} \mathcal{M}_Y)$

and  $i^* y \in F_{\text{st}}^p H^\infty$ .

Step 5: show that  $\dim F^p H^\infty = \dim F_{\text{st}}^p H^\infty$

□

Complement: higher residue pairings and polarizations. (33)

we have:  $0 \rightarrow \ker(M_Y - \text{id}) \rightarrow P^n(Y_\infty) \rightarrow H^\infty \rightarrow 0$

and  $S_Y: P^n(Y_\infty) \times P^n(Y_\infty) \rightarrow \mathbb{C}$  intersection form (up to  $\pm$ ).

Put  $S: H_{\neq 1}^\infty \times H_{\neq 1}^\infty \rightarrow \mathbb{C}$ ,  $S(a, b) := S_Y(\tilde{a}, M_Y \tilde{b})$  and

$S|_{H_{\neq 1}^\infty} := S_Y$ , then  $S$  is non-deg,  $(-1)^n$ -sym. on  $H_{\neq 1}^\infty$ ,  $(-1)^{n+1}$ -sym. on  $H_{\neq 1}^\infty$

Th (Herfing):  $H_{\neq 1}^\infty$  (resp  $H_{\neq 1}^\infty$ ) carries PMHS of weight  $n(n+1)$

Consider  $R := \mathbb{C}\{\{d_t^{-1}\}\} := \left\{ \sum_{i \geq 0} c_i d_t^{-i} \mid \sum \frac{c_i}{i!} t^i \in \mathbb{C}\{\{t\}\} \right\}$

Def.: (K. Saito, M. Saito, Kasahara, Herfing)

Define a pairing  $P: V_{>1} \mathcal{M} \times V_{>1} \mathcal{M} \rightarrow \mathbb{C}\{\{d_t^{-1}\}\}$  by:

Let  $\alpha, \beta \in (-1, 0]$ ,  $a \in C^\alpha$ ,  $b \in C^\beta$  use  $C^\gamma = H_{e^{-2\pi i \gamma}}^\infty$

1.)  $\alpha + \beta \notin \mathbb{Z} \Rightarrow P(a, b) = 0$

2.)  $\alpha + \beta = -1 \Rightarrow P(a, b) = \frac{1}{(2\pi i)^n} S(a, b) d_t^{-1}$

$\alpha = \beta = 0 \Rightarrow P(a, b) = \frac{1}{(2\pi i)^{n+1}} S(a, b) d_t^{-2}$

3.)  $P(d_t^{-1} a, b) = P(a, -d_t^{-1} b) = d_t^{-1} P(a, b)$

ob.  $P$  induces Grothendieck's residue pairing on  $\mathcal{H}/d_t^{-1}\mathcal{H}$

Spectral numbers and spectral pairs:

aim: encode monodromy eigenvalues and Hodge numbers in an efficient way.

recall:  ${}''\mathcal{H}$  is lattice inside  $(\mathcal{H}(x=0), \nabla)$

such that  $\mathcal{I}_t^{-1}: {}''\mathcal{H} \rightarrow {}'\mathcal{H} \subset {}''\mathcal{H}$ . Hence we

can put  $Sp(\mathcal{L}, \nabla) := \sum_{\alpha \in \mathbb{Q}} \dim_{\mathbb{C}} \text{gr}_V^{\alpha} ({}''\mathcal{H} / \mathcal{I}_t^{-1} {}''\mathcal{H}) \cdot \alpha \in \mathbb{Z}[\mathbb{Q}]$

This is a set of  $\mu$  rational numbers, all are logarithms of eigenvalues of  $M$ .

relation to  $F \cdot H^{\infty}$ : recall  $F^p H_{e^{-2\pi i \alpha}}^{\infty} = \mathcal{I}^{n-p} \text{gr}_V^{\alpha+n-p} {}''\mathcal{H}$

so that  $\mathcal{I}^{n-p}: \text{gr}_V^{\alpha} ({}''\mathcal{H} / \mathcal{I}_t^{-1} {}''\mathcal{H}) \cong \text{gr}_F^p H_{e^{-2\pi i \alpha}}^{\infty}$

$\Rightarrow \alpha \in Sp(f); \text{mult}(\alpha) = \dim \text{gr}_F^p H_{e^{-2\pi i \alpha}}^{\infty}, \alpha \in (n-p-1, n-p]$

Corollary (Varch.-St.) The operators  $N \in \text{End}(H^{\infty})$  and  $f \cdot$

$\text{End}(\text{gr}_V^{\alpha} ({}''\mathcal{H} / \mathcal{I}_t^{-1} {}''\mathcal{H})) = \text{End}(\text{gr}_V^{\alpha} \Omega_{\mathbb{C}}^{\text{sing}})$  have the same Jordan form.

example: quasi-homogeneous case

$$f = \sum c_d x^d, \quad \sum d_i w_i = 1 \text{ for weights } w_1, \dots, w_{n+1} \in (0, 1]$$

then  $\mathbb{H} = \bigoplus_{k=1}^M \mathcal{O}_S \cdot \underline{x}^{\beta_k} \text{ vol}$ , where  $\mathcal{O}_{x,0} / \mathbb{H} = \bigoplus_{k=1}^M \mathbb{C} \underline{x}^{\beta_k}$

We have seen that  $(t\partial_t)(\underline{x}^{\beta_k} \text{ vol}) = [\text{wt}(\underline{x}^{\beta_k} \text{ vol}) - 1] \underline{x}^{\beta_k} \text{ vol}$   
 $= \left[ \left( \sum_{i=1}^{n+1} w_i (\beta_i + 1) \right) - 1 \right] \underline{x}^{\beta_k} \text{ vol}$ . Hence  $\underline{x}^{\beta_k} \text{ vol}$  is an

elementary section of order  $\text{wt}(\underline{x}^{\beta_k} \text{ vol}) - 1$   
 and these orders are the spectral numbers

exercise: compute the spectrum for the ADE-rings

$$X^2 + Y^{k+1}, \quad XY^2 + X^{k-1}, \quad X^3 + Y^4, \quad XY^2 + X^4, \quad X^3 + Y^5$$

semi-quasihomogeneous ring: Let  $f = h + g$  where

$$\exists w_1, \dots, w_{n+1} \text{ with } \text{wt}(h) = 1 \text{ \& \; } \text{wt}(g) > 1. \text{ Then}$$

$f$  is a  $\mu$ -constant deformation of  $h$  and

$$\text{Sp}(f) = \text{Sp}(h), \text{ see below.}$$

## Properties of the spectrum:

1.) Symmetry: If  $\alpha_1, \dots, \alpha_\mu$  are the spectral numbers of  $f: (\mathbb{C}^{\mu+1}, 0) \rightarrow (\mathbb{C}, 0)$  s.t.  $\alpha_1 \leq \dots \leq \alpha_\mu$

$$\text{then } \alpha_i + \alpha_{\mu+1-i} = n-1$$

Idea of proof: Pairing  $P: V^{>-1} \times V^{>-1} \rightarrow \mathbb{C}[[d_t^{-1}]]$

with the above properties yields non-degenerate

$$\text{pairing } P: \text{gr}_V^{\alpha} (\mathfrak{gl} / d_t^{-1} \mathfrak{gl}) \times \text{gr}_V^{n-1-\alpha} (\mathfrak{gl} / d_t^{-1} \mathfrak{gl}) \rightarrow \mathbb{C} d_t^{-1}$$

$$\text{hence } \alpha_i + \alpha_{\mu+1-i} = n-1$$

2.) Intervall:  $\text{Sp}(f) \subset (-1, n)$  &  $V^{n-1} \subset \mathfrak{gl} \subset V^{>-1}$

Proof: We have seen that  $\mathfrak{gl} \subset V^{>-1}$ , hence

$$\text{gr}_V^{\alpha} \mathfrak{gl} = 0 \quad \forall \alpha \leq -1, \quad \text{symmetry of } \text{Sp}(f) \Rightarrow$$

$$\text{Sp}(f) \subset (-1, n) \Rightarrow V^{n-1} \subset \mathfrak{gl}$$



3.) Semi-continuity: Consider deformation

$$F: (\mathbb{C}^{n+1} \times S, 0) \rightarrow (\mathbb{C} \times S, 0), S \text{ smooth. } \forall (t, \underline{y}) \in \mathbb{C} \times S,$$

and  $B \subset \mathbb{R}$ , let  $\text{deg}_{(t, \underline{y})}^B \text{Sp}(F) := \sum_{x \in \text{Gr}(P, F(x))} \sum_{d \in B} n_d^{\text{Sp}(F_{y, x})}$

where  $\text{Sp}(F_{y, x}) = \sum n_d^{\text{Sp}(F_{y, x})} \cdot d$ . Then  $\forall a \in \mathbb{R}$  and all

$$(t, \underline{y}) \in \mathbb{C} \times S, \text{ we have: } \text{deg}_{(t, \underline{y})}^{(a, a+1]} \text{Sp}(F) \leq \text{deg}_{(0, \underline{0})}^{(a, a+1]} \text{Sp}(F)$$

in particular (Vandenberg):  $\text{Sp}(F_{\underline{y}}) = \text{Sp}(f)$  if  $\mu(F, 0) = \mu(f)$

4.) Thom-Sebastiani property:

$$\text{Let } f \in \mathbb{C}\{x_1, \dots, x_{n+1}\}, g \in \mathbb{C}\{y_1, \dots, y_{m+1}\}$$

Thom-Seb.:  $\mu(f+g) = \mu(f) \cdot \mu(g)$ ,

$$H^{n+m+1}(X_{\infty}^{f+g}) = H^n(X_{\infty}^f) \otimes H^m(X_{\infty}^g)$$

Theorem (Steenbrink-Scherk):  $\text{Sp}(f) = \{\alpha_i\}_{i \in \{1, \dots, \mu(f)\}}$

$$\text{Sp}(g) = \{\beta_j\}_{j \in \{1, \dots, \mu(g)\}} \implies \text{Sp}(f+g) = \{\alpha_i + \beta_{j+1}\}_{i, j}$$

in particular:  $\mu(f+y^2) = \{\alpha_i + \frac{1}{2}\}_{\alpha_i \in \text{Sp}(f)}$

relation to the Bernstein-Sato polynomial:

recall  $f \in \mathbb{C}\{x_1, \dots, x_{n+1}\}$  arbitrary

Björk:  $\exists P \in \mathbb{D}_{\mathbb{C}^{n+1}, 0}[s] \ \& \ B \in \mathbb{C}[s] \setminus \{0\} \text{ s.t.}$

$$P f^{s+1} = B(s) \cdot f^s$$

Let  $\theta(s)$  be the unitary generator of the ideal of these  $B(s)$ 's  $\Rightarrow$  roots of  $B(s)$  are

logarithms of EV of  $M$  on  $H^\infty$  (Kashiwara-Malgrange). Question: which logarithms,

dear:  $\theta(s) = (s+1) \widetilde{b}(s)$

Malgrange:  $\widetilde{b}(s)$  is the minimal poly-

nomial of  $d_t \cdot t$  on  $\widetilde{\mathcal{H}} / t \cdot \widetilde{\mathcal{H}} = \widetilde{\mathcal{H}} / d_t^{-1} \widetilde{\mathcal{H}}$

where  $\widetilde{\mathcal{H}} = \sum_{i \geq 0} (d_t \cdot t)^i \mathcal{H}$  is the saturation of

$\mathcal{H}$  in  $\mathcal{H}(x_0)$  by  $d_t \cdot t$  and as such logarithmic.

# Brieskorn lattices and non-commutative Hodge structures

aim: define and study an axiomatic framework where a Brieskorn lattice  $\mathcal{H}(f)$  can **itself** be considered as a (generalized or non-commutative) Hodge structure.

Let's start with the slightly restricted definition

Def: (Cecotti-Vafa, Dubrovin, Hertling, Karkarov-Kontsevich-Pantev)

A regular non-commutative Hodge structure (reg. ncHodge structure) of weight  $w$  is a tuple  $(H, \mathcal{L}_{\mathbb{R}}, P)$

where  $H \rightarrow \mathbb{C}_{\mathbb{Z}}$  is a holomorphic VB,  $\mathcal{L}_{\mathbb{R}}$  is a

$\mathbb{R}$ -local system on  $\mathbb{C}^{\times}$  and  $P: \mathcal{L}_{\mathbb{R}} \otimes \iota^* \mathcal{L}_{\mathbb{R}} \rightarrow i^w \underline{\mathbb{R}}_{\mathbb{C}^{\times}}$

is  $(-1)^w$ -symmetric and non-degenerate,  $\iota: \mathbb{C}^{\times} \rightarrow$

$z \mapsto -z$  s.t.

1.)  $\exists$  iso:  $H_{\mathbb{C}^*} \xrightarrow{\cong} L_{\mathbb{R}} \otimes \mathbb{C}$ , i.e.

2.) The connection  $\nabla$  on  $H$  corresponding to  $L$  satisfies  $(z^2 \nabla_z) H \subset H$

3.)  $P: H \otimes i^* H \rightarrow z^w \mathcal{O}_{\mathbb{C}} \otimes [z^{-w} P]: H/zH \times H/zH \rightarrow \mathbb{C}$  is non-degenerate.

4.) exponential type + compatible Stokes data:  
replaced by the simpler condition:  
(H,  $\nabla$ ) is regular at  $z=0$

5.) Purity / opposite-chess: Put  $\hat{H} := \overline{\gamma^* H}$ , where  $\gamma: \mathbb{P}^1_z \rightarrow \mathbb{P}^1_{z_1}$ ,  $z_1 \mapsto \bar{z}^{-1}$ , then  $\hat{H} \rightarrow \mathbb{P}^1 \setminus \{0\}$  is holomorphic and the map  $\tilde{c}: L_{\mathbb{P}^1} \rightarrow \bar{L}_{\mathbb{P}^1}$ ,  $a \mapsto \bar{z}^{-w} a$  defines a flat isomorphism  $H_{\mathbb{C}^*} \xrightarrow{\tilde{c}} \tilde{H}_{\mathbb{C}^*}$  hence a gluing  $\hat{H} := H \cup_{\tilde{c}} \hat{H} \rightarrow \mathbb{P}^1$  to a  $\mathbb{P}^1_z$ -holomorphic bundle. Then  $\hat{H} \rightarrow \mathbb{P}^1$  shall be trivial, i.e.  $\hat{H} \cong \mathcal{O}_{\mathbb{P}^1}^{\oplus \text{rk } H}$

6.) Polarizations: Define  $h: H^0(\mathbb{P}^1, \mathcal{H}^1) \times H^0(\mathbb{P}^1, \mathcal{H}^1) \rightarrow \mathbb{C}$  (41)

by  $h(a, b) := \bar{z}^{-w} P(a, zb)$ , which is hermitian. Call  $(H, \mathcal{L}_{\mathbb{R}}, P)$  polarized, iff  $h$  is positive definite.

rk: An object satisfying 1.)  $\rightarrow$  4.) is called a (regular) TERP-structure

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remarks: 1.) a Hodge structure is a nc Hodge structure; given  $(V_{\mathbb{R}}, F^{\bullet} V, \overline{F^{w-\bullet}} V)$

then:  $H' := V \otimes_{\mathbb{C}} \mathbb{C}[z, z^{-1}] \rightarrow H' \rightarrow \mathbb{C}^x$  (algebraic)

VB with connection  $\nabla$  s.t.  $\ker \nabla = V$ .

Prnt:  $H := \bigoplus_{p \in \mathbb{Z}} z^{-p} \cdot F^p V \subset H' \supset \hat{H} := \bigoplus_{p \in \mathbb{Z}} z^{p-w} \overline{F^p V}$

Then  $H$  is  $\mathbb{C}[z]$ -free,  $\hat{H}$  is  $\mathbb{C}[z^{-1}]$ -free and

$H|_{\mathbb{C}^*} = \hat{H}|_{\mathbb{C}^*} = H' \rightarrow H \cup \hat{H} =: \hat{H} \rightarrow \mathbb{P}^1$

Exercise:  $\hat{H}$  is trivial  $\Leftrightarrow V = \bigoplus_{p \in \mathbb{Z}} F^p V \cap \overline{F^{w-p} V}$  (42)

i.e.  $F$  and  $\overline{F^{w-\cdot}}$  are opposite, i.e.  $(V_{R^1} F \cdot V)$

is a pure HS of weight  $w$ .

Observation:  $(H, \mathcal{L}_{R^1}, P)$  mixed Hodge structure defined

by HS  $(V_{R^1} F \cdot V) \rightarrow (\mathbb{Z}^2) H \subset H$  logarithmic pole.

and monodromy is trivial. However, one can

modify the above construction starting from

a HS + semisimple automorphism or MHS

with  $W = W_0(N) + \text{ss. autom} \rightsquigarrow$  mixed Hodge-

structure generated by elementary sections.

similarly:  $P(M)$  HS  $\rightsquigarrow$  polarised mixed Hodge-structures

Why mixed Hodge?: Kontsevich et al:  $(H, \mathcal{L}_a, P)$

should arise as periodic cyclic homology of certain triangulated categories (smooth + compact).

Proved only partly and only in special cases!

# ncHodge structures and Brieskorn lattices: (43)

given  $f: (\mathbb{C}^{n+1}, 0) \rightarrow (\mathbb{C}_t, 0)$  i.h.s.,  $f: X \rightarrow S$  good represent.

$$\leadsto {}^u\mathcal{H} := f_* \Omega_X^{n+1} / d f \wedge d f_* \Omega_X^{n+1} \subset \mathcal{H}(x_0) := \mathcal{H}^n(f_* \Omega_{X/S}^n) \otimes \mathcal{O}_{S(x_0)}$$

$t, d_t^{-1}: {}^u\mathcal{H} \rightarrow {}^u\mathcal{H}$  well-defined. As  ${}^u\mathcal{H}_{S^*}$  is flat

$\Rightarrow \exists!$   $({}^u\mathcal{H} \rightarrow \mathbb{C}, \nabla)$  s.t. restr. to  $S^*$  is  $({}^u\mathcal{H}, \nabla)$

Now: Put  ${}^u\mathcal{H} := \Gamma^{\text{mod}}(\mathbb{C}, {}^u\mathcal{H}) = \{ \rho \in \Gamma(\mathbb{C}, {}^u\mathcal{H}) \mid \rho \text{ has moderate growth at } t = \infty \}$

$= \Gamma(\mathbb{C}, {}^u\mathcal{H} \cap V_{< \infty} {}^u\mathcal{H})$ , this is  $\mathbb{C}[t]$ -free

and  $\mathbb{C}[d_t^{-1}]$ -free of rk =  $\mu$ , put  $z := d_t^{-1}$  and

let  $\mathcal{H}$  be the analytic VB corresponding to

${}^u\mathcal{H}$ , seen as a  $\mathbb{C}[z]$ -module. Then  $t \cdot : {}^u\mathcal{H}_z$

is  $z^2 \nabla_z$ , hence  $\mathcal{H}$  has pole  $\leq 2$  at  $z=0$ .

Check: Seebo's higher residue pairings  $\leadsto$

Pairing  $P$  as in the definition of ncHodge

Regularity: if  $({}^u\mathcal{H}, \nabla)$  is smooth outside  $t=0$

$\leadsto (\mathcal{H}, \nabla)$  is regular at  $z=0$

rk: Kontsevich program fits to this case:  
category of max. CM-modules / matrix factorizations

However: A Brieskorn lattice of a local singularity  
is not necessarily pure.

Theorem (Hertling):  $F \rightarrow 0$ : " $\mathcal{H}(r \cdot f)$  gives  
rise to a pure polarised Hodge-structure.

tool to show this: nilpotent orbits of Hodge-structures.

Def: Let  $\pi: \mathbb{C}_r^* \times \mathbb{C}_z \rightarrow \mathbb{C}_z; (\tau, z) := \tau \cdot z$ . Let

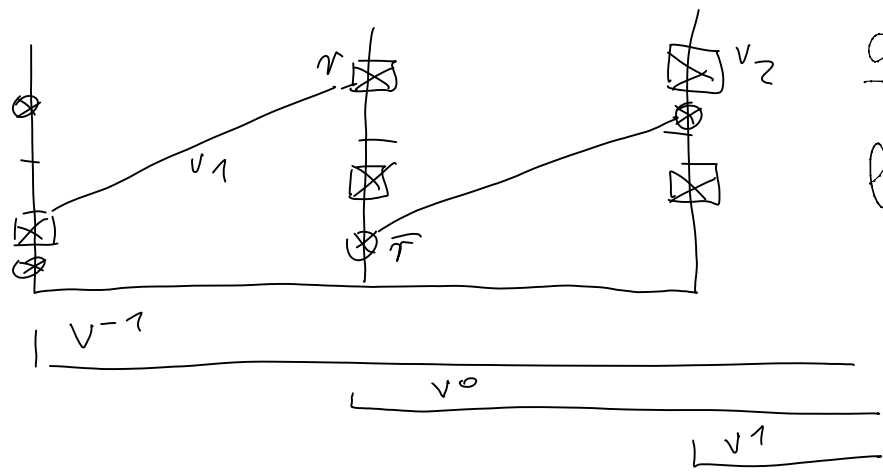
$(H, L_{\mathbb{R}}, P)$  be a TERP-structure. Consider the  
pull-back  $\pi^*(H, L_{\mathbb{R}}, P)$ , which is a variation  
of TERP-structures on  $\mathbb{C}^*$ . It is called a  
nilpotent orbit of TERP resp. Hodge-structures  
if  $\forall \tau \in \mathbb{C}^*, |\tau| < 1$ , we have that

$(\pi^*(H, L_{\mathbb{R}}, P))_{|\mathbb{C}^* \setminus \{r\}}$  is pure & polarised.



rk: Let  $M$  cplx. manifold, then  $(H \rightarrow \mathbb{C} \times M, L_{\mathbb{R}, P})$  is called a variation of TERP resp. vclodge-structures, if  $(\exists \nabla_x) H \subset H, \forall x \in T_M$  and if the restriction  $(H, L_{\mathbb{R}, P})|_{\mathbb{C} \times \{x\}}$  is a TERP / vclodge-str.  $\forall x \in M$

example:  $H := \sigma_{\mathbb{C}^*} A_1 \oplus \sigma_{\mathbb{C}^*} A_2, \nabla(A_i) = 0, \bar{A}_1 = A_2, S(A_i, A_j) = \delta_{i+j, 3}$   
 $\mu = \mathbb{C}$ : param. space, coord  $r$ ;  $G := \sigma_{\mathbb{C} \times M} (\underbrace{z^{-1} A_1 + r A_2}_{v_1}) \oplus \sigma_{\mathbb{C} \times M} \underbrace{z A_2}_{v_2}$   
 $Sp = (-1, 1)$  constant,  $\pi^* G = \sigma_{(\mathbb{P}^1) \times M} (z A_2 + \bar{r} A_1) \oplus \sigma_{(\mathbb{P}^1) \times M} z^{-1} A_1$



check:  $G := \pi^* G|_{\mathbb{C} \times \{1\}}$   
 because  $(z \nabla_z - r \nabla_r)(G) \subset G$

$\hat{G}$  pure for  $|r| \neq 1$ ,  $H^0(\mathbb{P}^1, \hat{G}) = \mathbb{C}(z^{-1} A_1 + r A_2) \oplus \mathbb{C}(z A_2 + \bar{r} A_1)$   
 $|r| = 1, \hat{G}|_{\mathbb{P}^1 \times \{r\}} = \sigma_{\mathbb{P}^1}(1) \oplus \sigma_{\mathbb{P}^1}(-1), \hat{G}$  pol. for  $|r| > 1$

notice:  $G$  vclodge on  $M \rightarrow \hat{G} \rightarrow \mathbb{P}^1 \times M$   $C^\infty$ -bdle. with holomorphic structure in  $\mathbb{P}^1$ -direction

Hefling, Simpson:  $p: \mathbb{P}^1 \times M \rightarrow M, G \rightarrow \mathbb{C} \times M$  vclodge pure pol.  
 $\rightarrow p_* \hat{G} \rightarrow M$  underlies harmonic bdl.

on the other hand, define filtration as  
in the singularity case by

$$F^p H_{e^{-2\pi i z}}^\infty := z^{p+1-w} \operatorname{gr}_V^{d+w-p} H, \text{ where}$$

$H^\infty = \Psi_z(L \otimes \mathbb{C})$ . There is a polarizing form  
on  $H^\infty$  defined by  $P$  and we have the corres-  
pondence:

Theorem (Hertling-S. 06): A TERP-structure

$(H, L, P)$  induces a nilpotent orbit, i.e.,  $\pi^*(H, L, P)$   
is pure polarized  $\forall |t| < 1$  iff  $(H^\infty, H_{\mathbb{R}, 1}^\infty, F, S, N)$   
is a PMHS of weight  $w-1$  on  $H_{\neq 1}^\infty$  resp  
weight  $w$  on  $H_1^\infty$ . Here  $N = \log M_u$  with  
 $M$  monodromy of  $L$ .

application:  $\text{TERP}(\tau \cdot f) = \tau_{r-1} \text{TERP}(f) \Rightarrow \text{TERP}(\tau \cdot f) \text{ p.d.}$   
 $\forall |t| > 0$

classifying spaces and period maps: imitate classical construction for (commutative) Hodge structures

Th (Herling-S. '08): Fix local system  $L_{\mathbb{R}}$  and pairing  $P, w \in \mathbb{Z}, d_1 \in \mathbb{Q}, w - d_1 > d_1$

$$M_{BL} := \left\{ H \rightarrow \mathbb{C} \mid (H, L, P) \text{ is (reg) nclodge} \right. \\ \left. Sp(H, P) \subset [a_1, w - a_1] \right\}$$

is proj. variety, stratified by locally closed subsets

$U_{Sp}$  of points with constant spectrum  $Sp$ . Given  $V$  nclodge

on  $M \implies$  period map  $\phi_{BL}: \tilde{M} \rightarrow M_{BL}$ .

idea:  $H$  is determined by finite-dim. data

$$H / V^{> d_1 - 1} \subset V^{d_1} / V^{> d_1 - 1}$$

Th (Herling '97): Strata  $U_{Sp}$  are affine fibre bundles over

classifying spaces  $\check{D}_{PMHS}^u$  of PMHS, in particular smooth.

Consider universal bundle  $g \rightarrow \mathbb{C}_z \times M_{BL}$  s.t.  $g|_{\mathbb{C}_z \times \{H\}} = H$ .

$\exists$  real-analytic family  $\hat{g} \rightarrow \mathbb{P}_z^1 \times M_{BL}$  extending  $g$

Put  $M_{BL}^{P.P.} := \{x \in M_{BL} \mid \hat{g}|_{\mathbb{P}^1 \times \mathbb{P}^1} \text{ is pure polarized}\}$ . (48)

Then we have a canonical hermitian metric  $h$  on

$\tilde{T}_{M_{BL}^{P.P.}}$  and the following result.

Th: (Herblich-S. 108)

a)  $(M_{BL}^{P.P.}, d_{\text{her}})$  is metric complete.

b) Fix  $Sp$ , consider  $U_{Sp}^{PD} := U_{Sp} \cap M_{BL}^{P.P.}$ .  $\exists$  coherent subsheaf of  $T_{U_{Sp}^{PD}}$  called  $T_{U_{Sp}^{PD}}^{\text{hor}}$  n.t.:

i)  $\sigma \rightarrow \mathbb{C} \times M$  variation of pure pol gen HS with  $Sp = \text{const}$

$$\phi_{BL}: \tilde{M} \rightarrow U_{Sp}^{PD} \Rightarrow d\phi_{BL} \subset T_{U_{Sp}^{PD}}^{\text{hor}} \quad h(R(\xi, \bar{\xi}) \xi, \xi) / h^2(\xi, \xi)$$

ii) hol. sectional curvature on  $T_{U_{Sp}^{PD}}^{\text{hor}} \setminus \{0\} < C < 0$

c) Supp. that monodromy repr. respects a lattice  $\subset \sigma_{\mathbb{R}}$

$\Rightarrow \exists$  good quotient  $M_{BL}^{PD} / \Gamma$  cplx space, n.t.  $\phi_{BL}: M \rightarrow M_{BL}^{PD} / \Gamma$

applications: 1.) Vppueltoedge on  $\mathbb{C}^n \rightsquigarrow$  trivial

2.) Vppueltoedge on  $X \setminus Y$  with  $\text{codim}_X Y \geq 2 \rightsquigarrow \exists$  extension

example above:

$$M_{BL} = \mathbb{P}^1, \quad U_{(-1,1)} = M, \quad U_{(0,0)} = \{\infty\}, \quad M_{BL}^{PD} = \{v \in \mathbb{P}^1 \mid |v| > 1\}$$

$$\rho = \tau^{-1}, \quad h(\partial_{\rho}, \partial_{\rho}) = \frac{1}{\rho^2 \bar{\rho}^2 \cdot (1 - \rho^{-1} \bar{\rho}^{-1})^2} \xrightarrow{\rho \rightarrow 0} \infty \Rightarrow U_{(1,1)} \text{ not complete}$$