

PLEASE NOTE: This translation is **unofficial**. Only the original German version, published in the official announcements of Chemnitz University of Technology, is legally binding. This English translation incorporates the doctoral regulations of the Faculty of Philosophy dated 6 March 2025 and the amendment to these doctoral regulations dated 3 June 2025.

Doctoral regulations of the Faculty of Humanities

Dated 6 March 2025

Pursuant to § 41(5) in conjunction with § 14(4) sentence 1 and § 93(1) sentence 1 no. 2 of the Act on Higher Education Institutions in the Free State of Saxony (Sächsisches Hochschulgesetz – SächsHSG) of 31 May 2023 (SächsGVBl. p. 329), last amended by Article 2 of the Act of 31 January 2024 (SächsGVBl. p. 83, 87), the Faculty Council of the Faculty of Humanities at Chemnitz University of Technology has issued the following doctoral regulations:

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Appendix

I. General provisions

§ 1

Right to award doctorates

- (1) The Faculty of Humanities at Chemnitz University of Technology (hereinafter referred to as the Faculty) awards the academic degree "doctor philosophiae" (Dr. phil.) on the basis of a doctoral procedure.
- (2) The academic disciplines represented in the Faculty with the corresponding doctoral subjects are listed in the appendix to these doctoral regulations. The Faculty Council may, upon recommendation of the Doctoral Committee, approve additional subjects as doctoral subjects if they are represented by at least one university lecturer from the Faculty.
- (3) The Faculty awards the academic degree of doctor philosophiae honoris causa (Dr. phil. h. c.) on the basis of a decision by its Faculty Council; see § 24.

§ 2

Doctoral degree

- (1) With the doctoral degree, the doctoral candidate demonstrates his or her ability to achieve results through independent scientific work that contribute to the further development of a branch of science and enrich its theories and methods.
- (2) After successfully completing the doctoral procedure, the doctoral candidate is awarded the right to use the doctoral title in accordance with § 1 (1).
- (3) Subject to paragraph 4, doctoral procedures shall be initiated separately for each doctoral candidate.
- (4) In exceptional cases, a dissertation may be written jointly by several doctoral candidates if the topic cannot be comprehensively covered by a single person and cooperation is essential to achieve a scientifically significant result. When writing the dissertation, each doctoral candidate must clearly indicate his or her individual contribution to the joint research work so that his or her ability to conduct independent scientific research can be assessed. Joint writing requires the approval of the Doctoral Committee.
- (5) In the case of jointly written dissertations, the doctoral colloquium (§ 13) may take place in a joint event.
- (6) The doctoral thesis and colloquium must be completed in German or English. With the consent of the supervisor and the Doctoral Committee, they may also be completed in another language. If the dissertation is written in English or another language, a German summary must be included.
- (7) Doctoral candidates can enrol as doctoral students at Chemnitz University of Technology. Further details are set out in the enrolment regulations of Chemnitz University of Technology in their currently valid version.
- (8) There is the option of taking advantage of faculty-specific and inter-faculty qualification programmes.
- (9) To resolve any conflicts that may arise in the doctoral procedure, doctoral candidates and supervisors have access to a faculty representative (ombudsperson/person of trust) who will act as a mediator and arbitrator upon request.
- (10) A written supervision agreement must be concluded between the applicant and a scientist who is authorised to assess doctoral theses in accordance with § 8 (2) and who is to be a member or affiliate of the Faculty (supervisor). This agreement should contain provisions on the following aspects in particular: Names of the parties involved, working title of the doctoral thesis, binding work schedule and timetable, mutual rights and obligations, workplace regulations, agreements on the compatibility of private life and doctoral studies, commitment to the principles of good scientific practice, regulations for cases of conflict, integration into a working group, a research network or a graduate programme (graduate college, graduate school, or similar). A sample supervision agreement is available from the Faculty Dean's Office.

(11) The special needs of doctoral candidates during maternity leave and parental leave, as well as the special needs of doctoral candidates with disabilities or chronic illnesses, will be taken into account upon request so that those affected are not disadvantaged in their doctoral studies. Appropriate evidence must be provided with the respective application. The Faculty Council is responsible for deciding on appropriate measures based on recommendations from the Doctoral Committee. The statutory provisions on maternity protection and parental leave must be taken into account.

§ 3

Requirements for admission to the doctoral procedure

(1) Admission to the doctoral procedure may be granted to applicants who have obtained a diploma, master's or Magister degree at a university or passed the state examination with above-average overall performance in a degree programme that can be assigned to a doctoral subject represented at the Faculty (see appendix) and who intend to write a dissertation.

(2) In exceptional cases where the subject chosen for the doctoral procedure does not correspond to the subject of the degree, the applicant must take a supplementary examination or complete additional coursework, the scope, form and content of which are determined by the Doctoral Committee (§ 5) on the recommendation of the subject representatives.

(3) In the cooperative doctoral procedure, universities of applied sciences and universities work together (§ 41 (4) SächsHSG) by jointly supervising the doctoral thesis.

(4) The Doctoral Committee decides on the recognition of the equivalence of foreign examinations, taking into account applicable equivalence agreements. In cases of doubt, the Central Office for Foreign Education must be consulted. If equivalence is established, paragraphs 1 to 3 and paragraph 5 apply accordingly.

(5) Holders of a relevant bachelor's degree with well above-average overall performance may, in exceptional cases, be admitted to the doctoral procedure without obtaining a further degree by means of an aptitude assessment procedure. Suitability is determined by completing additional coursework totalling up to two semesters. The corresponding examinations must be passed with a grade point average of "very good". The Faculty Council decides on the details of the type and scope of the additional academic achievements and on the special aptitude for doctoral studies based on the recommendation of the Doctoral Committee. This paragraph applies mutatis mutandis to holders of a bachelor's degree from a university of applied sciences for admission to the cooperative doctoral programme in accordance with paragraph 3.

(6) For the implementation of binational doctoral procedures (so-called cotutelle doctorates), an agreement must be concluded with the foreign university or faculty in each individual case regarding admission, the study and research stays to be completed in the partner country, supervision, assessment, joint oral examinations, evaluations and travel expenses of the assessors/examiners. The provisions of these doctoral regulations apply in all cases. A detailed summary in the other language must be included with the dissertation. The Doctoral Committee prepares the agreements and, if necessary, decides on exceptions and special regulations that take into account the relevant regulations of the partner university. The multilingual doctoral certificate must be signed and sealed by the responsible representatives of both universities. The doctoral certificate entitles the holder to use the doctoral title in the form customary in the respective country.

(7) To verify that all doctoral requirements have been met, an application of admission to the doctoral procedure is to be submitted to the chairperson or the chair of the Doctoral Committee in writing as early as possible. The application must contain: a supervision agreement in accordance with § 2 (10) and the form for recording doctoral candidate data for the purpose of admission to and implementation of the doctoral procedure ((Doctoral candidate's data sheet), provided by the Faculty Dean's Office). In the case of cooperative doctoral procedures, paragraph 3 applies. In addition, proof of the university degree

examination must be enclosed with the application for admission (in the form of a certified copy and/or a certified translation). All documents must be submitted to the Dean's Office of the Faculty.

(8) The Faculty Council decides on the acceptance of doctoral candidates and their admission to doctoral procedure based on the recommendation of the Doctoral Committee. Admission may be subject to conditions (paragraphs 2 and 5), which must be fulfilled at the latest when submitting the application in accordance with § 6. There is no entitlement to acceptance as a doctoral candidate or admission to the doctoral procedure. The doctoral candidate or rejected applicant will be notified in writing without delay of their acceptance as a doctoral candidate or admission to the doctoral procedure or of the respective rejection.

(9) Doctoral candidates are required to notify the Dean's Office of the Faculty in writing without delay of any change in the status of their doctoral project (change of university, leave of absence, other interruption, active continuation, discontinuation). In addition, doctoral candidates are required to notify the Dean's Office of the Faculty of their current doctoral status using the form for recording doctoral candidate data for the purpose of fulfilling the obligation to provide information in accordance with the Higher Education Statistics Act (provided by the Dean's Office of the Faculty). If the doctoral candidate fails to provide the relevant notification, their acceptance as a doctoral candidate and admission to the doctoral procedure may be revoked by the Faculty Council on the recommendation of the Doctoral Committee after consultation with the supervisor.

(10) Acceptance as a doctoral candidate and admission to doctoral procedure may also be revoked by the Faculty Council after consultation with the supervisor and on the recommendation of the Doctoral Committee if the application for the opening of the doctoral procedure is not submitted at the latest eight years after acceptance as a doctoral candidate and admission. Revocation shall not take place in the cases specified in Section 2(11).

(11) In the event of revocation of acceptance as a doctoral candidate and admission to the doctoral procedure, the Dean shall inform the doctoral candidate in writing within a period of 14 days of the reasons for this and the permissible legal remedies.

§ 4

Doctoral achievements

The doctoral degree is awarded on the basis of a written scientific thesis (dissertation, § 9) and a doctoral colloquium (§ 13).

§ 5

Doctoral Committee and Doctoral Commission

(1) The Faculty Council is responsible for all decisions in doctoral procedures. The faculty forms a Doctoral Committee. This is a permanent body appointed by the Faculty Council with a term of office of three years, which acts on behalf of the faculty in matters relating to doctorates. The Doctoral Committee consists of a chairperson, two university lecturers and two academic staff members with a doctorate. The chair is held by the dean or a university lecturer from the Faculty.

(2) The Doctoral Committee has the following tasks:

1. Reviewing the requirements for admission to the doctoral procedure, determining supplementary requirements in accordance with § 3 (2) and proposals for a decision pursuant to § 3 (5),
2. Recognition of the equivalence of foreign degrees (§ 3 (4)),
3. Opening of the doctoral procedure and appointment of reviewers (Sections 7 and 8), appointment of the doctoral committee (§ 5, Section 12 (4)),
4. Factual preparation and recommendations for all decisions to be made by the Faculty Council. These are decisions on admission as a doctoral candidate and admission to the doctoral procedure (§ 3), the decision on the award of the doctoral degree and all negative decisions, i.e., non-admission, revocation of admission, non-recognition of

degrees, non-opening, non-acceptance of the dissertation, discontinuation of the doctoral procedure, and all decisions pursuant to § 21, 22, 23.

(3) The meetings of the Doctoral Committee are not open to the public. Its members are bound to maintain confidentiality.

(4) The Doctoral Committee decides by a majority vote of the members present. The Doctoral Committee has a quorum if more than half of its members are present. Minutes must be kept of the deliberations of the Doctoral Committee.

(5) For opened doctoral procedures, the Doctoral Committee appoints a Doctoral Commission after receipt of the reviews and acceptance of the dissertation (§ 12 (1)).

The doctoral committee shall consist of:

1. A chairperson, who must be a university lecturer at the faculty,
2. The reviewers of the dissertation (§ 8),
3. An additional member who is either a university lecturer or can demonstrate qualifications equivalent to a habilitation.

The chair of the Doctoral Commission may not be a reviewer. Notwithstanding sentence 2, no. 1, professors who were employed at the faculty prior to their retirement may also be appointed as chair of the Doctoral Commission. At least two members of the Doctoral Commission should be representatives of the doctoral subject.

II.

Opening of the doctoral procedure

§ 6

Submission

(1) After acceptance as a doctoral candidate and admission in accordance with § 3, the doctoral candidate must submit the application for the opening of the doctoral procedure in writing to the Doctoral Committee via the Dean's Office.

(2) The application for the opening of the doctoral procedure must be accompanied by:

1. Four copies of the dissertation (five copies if there are three reviewers) and an electronic version of the dissertation,
2. A statement explaining the format of the doctoral colloquium (§ 13),
3. If applicable, a list of academic publications,
4. A sworn statement that the submitted dissertation was written independently and that no aids other than those specified were used,
5. A declaration of consent to be checked for plagiarism,
6. Proof of fulfilment of the requirements specified in the letter of admission,
7. Proposals for the members of the Doctoral Committee.

The doctoral candidate may withdraw their application for the opening of the doctoral procedure as long as the doctoral procedure has not been opened. The application shall then be deemed not to have been submitted, and the doctoral candidate shall receive all documents back except for the application. The request for withdrawal must be made in writing.

§ 7

Opening of the doctoral procedure

(1) After submission of the dissertation, the Doctoral Committee decides on the opening of the doctoral procedure. The doctoral candidate is immediately notified in writing of the opening of the doctoral procedure.

(2) The chair of the Doctoral Committee may return the dissertation for correction of formal and content-related deficiencies. § 10 (1) sentences 2 and 3 apply accordingly. The procedure is suspended until the deficiencies have been corrected.

(3) The decision on the opening of the procedure shall specify the subject of the doctoral thesis, the reviewers and the form of the doctoral colloquium.

(4) If the doctoral procedure is not opened, the chair of the Doctoral Committee shall inform the doctoral candidate in writing within 14 days, stating the reasons for the decision and the legal remedies available to the doctoral candidate. In the event of non-opening, the doctoral candidate shall have all documents submitted returned to them, with the exception of the doctoral application.

(5) If the doctoral candidate submits a written declaration of withdrawal after the doctoral procedure has been opened, or if reasons become known that would have prevented the opening of the procedure, the Faculty Council shall terminate the doctoral procedure on the recommendation of the Doctoral Committee. The documents, including any reviews received, shall remain at Chemnitz University of Technology.

§ 8

Reviewers

(1) At least two reviewers shall be appointed in the decision to open the procedure.

(2) The reviewers must be able to demonstrate that they have completed a habilitation or equivalent academic activity (decision of the Faculty Council) or have been co-opted in accordance with § 92 SächsHSG. At least one reviewer must be a member of the Faculty.

(3) One reviewer is usually the supervisor in accordance with § 2 (10) sentence 1. In the case of doctorates in accordance with § 3 (3), one reviewer should belong to a university of applied sciences.

(4) The reviewers have the right to keep the dissertations submitted to them for review. The reviews shall be submitted to the Dean's Office in writing within three months of the dissertation being handed over to the reviewers. No remuneration shall be paid for the preparation of the reviews.

III.

Dissertation

§ 9

General provisions

(1) The dissertation topic must be related to a doctoral subject offered by the Faculty (see Appendix). University lecturers from different subjects or faculties may provide support in choosing and working on the dissertation topic.

(2) As a rule, submitted dissertations must not have been published. In exceptional cases, works that have already been published in whole or in part may be submitted as dissertations. Upon application by the doctoral candidate, the Doctoral Committee will decide on exceptions to this rule. The published parts must be marked as such.

(3) In addition, publication-based ("cumulative") dissertations are permitted. A cumulative dissertation must meet the following requirements:

1. It must comprise at least three papers that are thematically related to one another. This connection must be clearly demonstrated in an additional essay (synopsis), which must also explain how these papers further develop the relevant academic field (§ 2 (1)).

2. At least two of the papers must be written solely or primarily by the doctoral candidate. In the case of papers submitted as co-authored works, the doctoral candidate's contribution must be clearly stated.

3. At least one of the papers must be published or accepted for publication in a relevant, renowned peer-reviewed journal.

4. At least three of the papers must meet the standards of recognised peer-reviewed journals. This is either the case if the criteria under number 3 are met or if the supervisor certifies this.

5. At least one of the reviewers must not be a co-author of any of the papers.

§ 10

Assessment of the dissertation by the reviewers

(1) The examiners shall submit an independent and detailed written assessment of the dissertation and recommend its acceptance or rejection, in the former case also including a grade. The dissertation shall only be accepted if it meets the requirements of § 2 (1) and is suitable for publication. Requirements regarding minor changes and additions, which the doctoral candidate is undoubtedly willing to make, do not prevent acceptance.

(2) The reviewers have the following grades at their disposal:

"summa cum laude" (with distinction)	= 0
"magna cum laude" (very good)	= 1
"cum laude" (good)	= 2
"rite" (sufficient)	= 3
"non sufficit" (unsatisfactory)	= 4

§ 11

Inspection, objections

(1) After receiving the dissertation and the reviews with the proposed grades, the Doctoral Committee shall inform the members of the Faculty Council and the Faculty members that they may inspect them. If all reviews are positive, a period of two weeks shall be allowed for inspection, otherwise four weeks. The place and times for inspection shall be announced in an appropriate manner.

(2) During the period in which the dissertation is available for inspection, comments and objections may be submitted in writing to the Doctoral Committee.

(3) The Faculty Council shall decide on objections to the acceptance of the dissertation (§ 12), while the Doctoral Commission shall decide on other objections. The objections may also be discussed in the doctoral colloquium (§ 13).

§ 12

Acceptance of the dissertation

(1) After reviewing the dissertation, the Doctoral Committee decides on its acceptance and on the continuation of the doctoral procedure based on the reviews and any objections that may have been raised. If there are reasons that speak against accepting the dissertation and continuing the doctoral procedure, the Doctoral Committee recommends that the Faculty Council reject the dissertation and terminate the doctoral procedure. In both cases, the doctoral candidate must be notified of the decision in writing within two weeks of the decision being made. If the dissertation has not been accepted and it has been decided to terminate the doctoral procedure, the doctoral candidate must also be notified in writing of the reasons for non-acceptance and the decision to terminate the doctoral procedure. The decision must be accompanied by information on the right of appeal.

(2) If one reviewer gives the assessment "non sufficit", the Doctoral Committee may still accept the dissertation. It may also make its decision contingent on a further review. If more than one reviewer gives the grade "non sufficit", the dissertation shall not be accepted and the doctoral procedure shall be terminated. A dissertation that has not been accepted shall remain at Chemnitz University of Technology together with all reviews and the doctoral file.

(3) If the decision on the acceptance of the dissertation is positive, the grade for the dissertation is determined by the arithmetic mean of the individual grades of the reviews. The mean value is taken into account in accordance with § 16 (3) when determining the overall grade for the doctoral degree.

(4) If the dissertation is accepted, the doctoral committee appoints a doctoral commission and its chairperson. The chairperson of the doctoral commission informs the doctoral candidate in writing of the composition of the doctoral commission.

(5) After the decision on the acceptance of the dissertation has been made, the chair of the Doctoral Commission will conduct the further doctoral procedure on behalf of the Faculty.

(6) After the decision on the acceptance or rejection of the dissertation, the reviews are made available to the doctoral candidate.

IV.

Doctoral colloquium

§ 13

Doctoral colloquium

(1) The doctoral colloquium takes place either as Disputatio I (§ 14) or as Disputatio II (§ 15). The decision on the form of the doctoral colloquium is made by the doctoral candidate. The date is set by the chair of the Doctoral Commission in consultation with the other members of the Doctoral Commission and the doctoral candidate.

(2) The doctoral colloquium should last at least 90 and no more than 120 minutes. It is chaired by the chair of the Doctoral Commission. All members of the Doctoral Commission have equal voting and questioning rights. Following the questions from the members of the Doctoral Commission, the members and affiliates of Chemnitz University of Technology who are present have the right to ask questions. Questions that are not related to the scientific subject matter shall be rejected by the chair of the Doctoral Commission.

(3) Minutes of the doctoral colloquium must be taken, signed by the chair of the Doctoral Commission and included in the doctoral file.

(4) The doctoral colloquium may only be held in the presence of the examiners. If, in exceptional cases, an examiner is unable to attend, a substitute examiner shall be appointed for the doctoral examination committee by the Dean of the Faculty.

// (5) A hybrid format for the doctoral colloquium (in-person and digital participation) is possible in justified exceptional cases if the doctoral candidate and all members of the doctoral committee agree and the transmission of all necessary audio and video data, in particular the image of the doctoral candidate and the members of the Doctoral Commission as well as the presentation of the doctoral candidate, in both directions in appropriate quality during the doctoral colloquium is ensured without interruption. The chair of the Doctoral Commission is responsible for assessing the appropriate quality of the transmission. A maximum of two members of the doctoral committee (but not the chair of the Doctoral Commission) may participate digitally in the hybrid event. The doctoral candidate must participate in person. A corresponding request must be submitted to the Dean at least one week before the planned doctoral colloquium.

(5 new) In justified exceptional cases, upon request by the doctoral candidate, the doctoral colloquium and the subsequent consultation and decision on its outcome may be held in virtual (all members of the Doctoral Commission and the doctoral candidate participate in the doctoral colloquium via video; the public is also allowed to participate in the doctoral colloquium via video) or hybrid form (the chair of the Doctoral Commission and the doctoral candidate are present in person, other members of the Doctoral Commission may participate via video) if:

1. The Doctoral Committee and all members of the Doctoral Commission have agreed to the doctoral colloquium being held in virtual or hybrid form,
2. The doctoral candidate has given their written consent, and
3. The transmission of all necessary audio and video data in appropriate quality is ensured without interruption during the doctoral colloquium. The chair of the Doctoral Commission is responsible for assessing the adequate quality of the transmission.

In urgent cases, the approval of the Doctoral Committee may be replaced by the approval of the Dean.

§ 14

Disputatio I

The Disputatio I serves to deepen the academic discussion of the doctoral candidate's dissertation. It begins with a presentation by the doctoral candidate lasting no longer than 20 minutes on the essential foundations, content and results of the dissertation. This is followed by a discussion of questions relating to the theory, methodology and content of the dissertation, the criticism expressed in the reports, and the significance of the dissertation for the doctoral subject. The discussion may also address more general questions relating to the doctoral subject. Disputatio I is open to the public.

§ 15

Disputatio II

(1) Disputatio II serves as an academic examination of selected issues in the doctoral subject, including the dissertation. It consists of two parts: a defence of the dissertation and discussion. In the first part (defence), the doctoral candidate must address the criticism of the reviewers and questions regarding the theory, methods, content and results of their dissertation, as well as the significance of the dissertation for the doctoral subject. This part may be introduced by a short presentation by the doctoral candidate. The defence is open to the public. In the second part (discussion), the doctoral candidate must demonstrate that they are able to answer general questions on the subject of their doctoral thesis in a scientifically sound manner. At the request of the doctoral candidate, and in consultation with the chair of the Doctoral Commission, this discussion may be pre-structured (e.g., by means of a short presentation, the formulation of theses or the identification of key topics). The discussion is generally not open to the public. At the request of the doctoral candidate, the discussion may be held in public at the university; if necessary, the audience may be expanded to include invited guests.

(2) Each of the parts of Disputatio II specified in paragraph 1 shall last at least 45 minutes. Both parts together shall not exceed 120 minutes.

§ 16

Assessment of the doctoral colloquium and overall assessment of the doctoral thesis

(1) Immediately after the conclusion of the doctoral colloquium, the Doctoral Commission shall determine the grade for the doctoral colloquium in a closed meeting in accordance with § 10 (2). The grade for the doctoral colloquium shall be determined by the arithmetic mean of the individual grades given by all members of the Doctoral Commission. If the doctoral colloquium is graded "unsatisfactory", it shall be deemed failed.

(2) The doctoral procedure is considered successfully completed if both the dissertation has been accepted and the doctoral colloquium has been passed.

(3) In the same meeting, the Doctoral Commission determines the overall grade for the doctorate. This is calculated as the arithmetic mean of the grades for the individual dissertation reviews and the arithmetic mean of the grades for the doctoral colloquium. The average of the dissertation grades is weighted twice in the calculation of the overall grade. The overall grade is determined as follows:

up to 0.49 = "summa cum laude" (with distinction),
from 0.50 to 1.49 = "magna cum laude" (very good),
from 1.50 to 2.49 = "cum laude" (good),
from 2.50 to 3.49 = "rite" (sufficient).

The chair then announces the overall grade. The Doctoral Commission submits a recommendation to the Doctoral Committee for the award of the doctoral degree to the Faculty Council.

(4) At the suggestion of the reviewers, the Doctoral Commission may impose conditions for the publication of the dissertation, which must be monitored by the Dean. The Dean may delegate the monitoring to the supervisor. The fulfilment of the conditions must be checked within three months. During this period, the deadline specified in § 19 (1) is suspended.

(5) The Dean shall inform the doctoral candidate in writing of the decisions of the Doctoral Commission regarding the doctoral procedure and, upon successful completion of the doctoral procedure, shall point out the obligation to publish the dissertation (§ 19). This decision does not entitle the doctoral candidate to use the doctoral title.

§ 17

Failure to attend and repetition of the doctoral colloquium

(1) If the doctoral candidate fails to appear at the scheduled date of the doctoral colloquium without providing valid reasons, the doctoral examination shall be deemed failed. The reasons must be reported to the Doctoral Commission in writing without delay and must be substantiated. In the event of illness, the doctoral candidate must generally submit a medical certificate. In cases of doubt, an official medical certificate may be required. The illness of a child for whom the doctoral candidate is primarily responsible shall be treated as equivalent to the illness of the doctoral candidate.

(2) If the doctoral colloquium is not passed, it may be repeated once within one year. The Doctoral Commission may make admission to repeat this examination subject to certain conditions.

(3) If the doctoral candidate fails the repeat examination in accordance with paragraph 2, the doctorate is not awarded and the doctoral procedure is discontinued. The Dean shall inform the doctoral candidate of this in writing. The dissertation and the doctoral file with the reviews shall remain at Chemnitz University of Technology.

§ 18

Inspection of the doctoral file

The doctoral candidate shall be granted access to the doctoral file upon request. The request must be made in writing and submitted to the chair of the Doctoral Committee within one month of notification of the overall grade. The chair shall determine the time and place of access. The right to information under data protection law remains unaffected.

V.

Publication and title usage

§ 19

Publication of the dissertation

(1) Within one year of successfully completing the doctoral procedure (§ 16 (2)), the doctoral candidate must publish the approved version of the dissertation (§ 10 (1), § 16 (4)) in an appropriate manner. In the case of paragraph 3, sentence 1, no. 2, the end of the one-year period refers to the conclusion of the publishing contract. If the doctoral candidate culpably fails to meet the deadline, all rights acquired in the doctoral procedure shall expire.

Compliance with the publishing contract must be proven no later than two years after its conclusion by handing over the publisher's copies to the University Library of Chemnitz University of Technology.

(2) The approved version must be accompanied by a title page containing the following information:

1. The title of the dissertation,
2. A note stating that this is the version approved by the Faculty,
3. The academic degree sought,
4. The academic degrees already obtained, the first name and surname of the doctoral candidate,
5. The academic degrees, first names and surnames of the examiners,

6. The date of submission,
7. The date of the doctoral colloquium,
8. The year of publication,
9. A citation link (for online publications).

The University Library provides a sample title page.

(3) Publication can be done by handing in either

1. six printed and bound copies upon publication of the identical electronic version of the approved version of the dissertation in the online publication service of Chemnitz University of Technology (in the case of open access publications of cumulative dissertations, the rights for publication of the content must be checked. Consultation with the University Library is recommended.) or
2. six printed and bound copies published by the University Press of Chemnitz or another academic publisher that will distribute the publication through bookshops for as long as it is available (If published by an academic publisher other than the University Press of Chemnitz, the publication must also be identified as a university publication. This is done by stating in the imprint that it is a dissertation approved by the Faculty of Humanities of Chemnitz University of Technology [including the year of the defence/doctoral colloquium of the dissertation].), or
3. 15 printed and bound copies.

In all cases, the copies must be handed over to the University Library free of charge.

The University Library will notify the Dean's Office when the mandatory copies have been submitted.

(4) In justified cases, the chair of the Doctoral Committee may extend the deadline specified in paragraph 1 once.

§ 20

Handing over of the certificate, title usage

(1) The Dean shall arrange for the doctoral certificate to be issued on the basis of the decision of the Doctoral Commission in accordance with § 16 and the decision of the Faculty Council on the award of the doctoral degree. It shall be dated on the day of the successful completion of the doctoral colloquium and shall contain:

1. The previous academic degrees, the first name, surname, date of birth and place of birth of the doctoral candidate,
2. The academic degree to be certified,
3. The subject of the doctoral degree,
4. The topic of the dissertation,
5. The overall grade,
6. The signatures of the Rector and the Dean,
7. The seal of Chemnitz University of Technology.

(2) The doctoral certificate will be handed over or sent to the doctoral candidate after the mandatory copies have been submitted in accordance with § 19 of these regulations.

(3) Upon receipt or delivery of the doctoral certificate, the doctoral candidate acquires the right to use the doctoral title (Section 41 (6) SächsHSG).

(4) In exceptional cases, the Faculty Council may, upon reasoned request, permit the doctoral candidate to use the doctoral title upon receipt of the written notification pursuant to § 16 (5) and before the certificate is handed over.

VI.
Invalidity and legal remedies

§ 21
Invalidity of doctoral achievements

- (1) If, prior to the award of the doctoral degree, it transpires that the doctoral candidate has committed academic misconduct or that essential requirements for acceptance as a doctoral candidate and admission to the doctoral procedure (§ 3) have not been met, the Faculty Council may declare the doctoral achievements already completed to be invalid in whole or in part. The doctoral candidate must be heard before a decision is made.
- (2) If the doctoral work is declared invalid, the doctoral procedure shall be discontinued.
- (3) The decision must be accompanied by information on the right of appeal.

§ 22
Revocation of the doctoral degree

- (1) The doctoral degree may be revoked by resolution of the Faculty Council if it transpires that it was obtained by deception regarding the requirements or achievements for the doctorate or if, after its award, facts become known that would have precluded its award. The person concerned must first be given the opportunity to comment. In all other respects, § 40 (4) SächsHSG shall apply.
- (2) The decision must be accompanied by information on the right of appeal.

§ 23
Appeal

Appeals against decisions in the doctoral procedure are admissible in accordance with Sections 68 ff. of the Administrative Court Regulations. The appeal must be lodged in writing or recorded in writing with the Dean of the Faculty within one month of notification of the decision. The Faculty Council shall decide on the appeal.

VII.
Honours

§ 24
Honorary doctorate

- (1) The faculty may award the academic title of honorary doctor (doctor honoris causa, § 1 of these regulations, § 41 (8) SächsHSG) in recognition and appreciation of outstanding services to the promotion or advancement of a branch of science.
- (2) The person to be honoured may not be a member of Chemnitz University of Technology.
- (3) The application for the award of an honorary doctorate must be submitted by at least three professors of the Faculty and must be justified. After obtaining two expert opinions from professors of the Faculty and one external expert opinion, the Faculty Council shall examine the special services rendered by the person to be honoured in the fields of science, technology, culture or art (§ 41 (8) SächsHSG). A two-thirds majority of the members of the Faculty Council is required to accept the application.
- (4) The honorary doctorate is awarded in a formal ceremony (laudatio) by the Dean, who presents the doctoral certificate.
- (5) § 22 of these regulations applies accordingly. In addition, § 40 (4) sentences 2 and 3 of the Saxon Higher Education Act (SächsHSG) apply.

**VIII.
Final provisions**

§ 25

Entry into force, expiry and transitional provisions

(1) These doctoral regulations shall enter into force on the day after their publication in the official announcements of Chemnitz University of Technology.

(2) At the same time, the doctoral regulations of the Faculty of Philosophy of Chemnitz University of Technology dated 14 April 2022 (Official Announcements of Chemnitz University of Technology No. 16/2022, p. 729) shall cease to be effective.

(3) Doctoral candidates who were admitted to the doctoral procedure before these doctoral regulations came into effect may submit an application for the opening of the doctoral procedure in accordance with the doctoral regulations dated 14 April 2022. The provisions of the doctoral regulations dated 14 April 2022 shall continue to apply to these candidates.

Issued on the basis of the decision of the Faculty Council of the Faculty of Humanities at Chemnitz University of Technology on 18 December 2024 and the approval of the Rectorate of Chemnitz University of Technology on 19 February 2025.

Chemnitz, 6 March 2025
The Dean of the Faculty of Humanities

Prof. Dr. Eric Linhart

**Appendix to the Doctoral Regulations of the Faculty of Humanities at Chemnitz University
of Technology Regarding § 1 (2)**

The following doctoral subjects are currently permitted:

General and Comparative Literature
English Studies/American Studies
European Studies
History
German Studies
Human Geography
Intercultural Communication
Cultural Studies
Media Communication
Media and Instructional Psychology
Media Sociology
Education
Political Science
Semiotics