

Spectral Asymptotics for Helson Matrices

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Hankel matrices: for a sequence of complex numbers $\{b(j)\}_{j \geq 0}$,

$$H(b) = \{b(j+k)\}_{j,k \geq 0}, \quad \ell^2(\mathbb{Z}_+) \rightarrow \ell^2(\mathbb{Z}_+).$$

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(H^2 is the usual Hardy space on the unit disk).

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Important realisation as operators on \mathcal{H}^2
(\mathcal{H}^2 is the Hardy space of Dirichlet series on half plane $\{\text{Res} > 1/2\}$).

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- ▶ Spectral properties of $M(a)$ and $H(b)$ coincide (Brevig, Perfekt, Seip, Siskakis, Vukotić, Perfekt, Pushnitski).

Compact modifications: Hankel

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Theorem (Widom 1966)

For $\gamma > 1$, let $b(j) = 1/(1+j)^\gamma$, $j \geq 0$. Then $H(b) \geq 0$ and

$$\lambda_n^+(H(b)) = \exp\left(-\pi\sqrt{2\gamma n} + o(\sqrt{n})\right), \quad n \rightarrow \infty.$$

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Theorem (Pushnitski – Yafaev 2015)

For $\alpha > 0$, let $b(j) = 1/j(\log j)^\alpha$ for all sufficiently large j . Then $H(b)$ is compact and

$$\lambda_n^+(H(b)) = C(\alpha)n^{-\alpha} + o(n^{-\alpha}), \quad \lambda_n^-(H(b)) = O(n^{-\alpha-1}), \quad n \rightarrow \infty$$

where

$$C(\alpha) = 2^{-\alpha} \pi^{1-2\alpha} B\left(\frac{1}{2\alpha}, \frac{1}{2}\right)^\alpha.$$

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- ▶ Not known whether an analogue of Widom's Theorem holds for Helson matrices.

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Decompose kernel $a(j)$ as

$$a(j) = \underbrace{\int_0^c |\log j|^{-\alpha} j^{-\frac{1}{2}-\lambda} d\lambda}_{\tilde{a}(j)} + \text{error}$$

where $0 < c < 1$ and

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The result follows by application of standard spectral perturbation theory.

Integral Hankel and Helson operators

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Hankel integral operator: for a complex valued function \mathbf{b} on \mathbb{R}_+ ,
 $\mathbf{H}(\mathbf{b}) : L^2(\mathbb{R}_+) \rightarrow L^2(\mathbb{R}_+)$,

$$\mathbf{H}(\mathbf{b})f : x \mapsto \int_0^\infty \mathbf{b}(x+y)f(y)dy, \quad x > 0.$$

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Helson integral operator: for a complex valued function \mathbf{a} on $(1, \infty)$,
 $\mathbf{M}(\mathbf{a}) : L^2(1, \infty) \rightarrow L^2(1, \infty)$,

$$\mathbf{M}(\mathbf{a})f : t \mapsto \int_1^\infty \mathbf{a}(ts)f(s)ds, \quad t \geq 1.$$

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(i) If $\mathbf{a}(t) = \int_0^c |\log t|^{-\alpha} t^{-\frac{1}{2}-\lambda} d\lambda$, then $\mathbf{M}(\mathbf{a}) \geq 0$ and

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(ii) If $\mathbf{a}(t) = O(t^{-1/2}(\log t)^{-1}(\log \log t)^{-\alpha-1})$, $t \rightarrow \infty$, then

- ▶ $\mathbf{M}(\mathbf{a}) \in \mathbf{S}_p$ for any $p > 1/(\alpha + 1)$;
- ▶ and $\mathbf{M}(\mathbf{a}) \in \mathbf{S}_{p,\infty}$ with $p = 1/(\alpha + 1)$.

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Theorem (M – Pushnitski 2017)

Let $w \geq 0$ be a bounded function on \mathbb{R}_+ with bounded support. Let

$$\mathbf{a}(t) = \int_0^\infty t^{-\frac{1}{2}-\lambda} w(\lambda) d\lambda, \quad t > 1, \quad \mathbf{a} := \mathbf{a}|_{\mathbb{N}}.$$

Then $M(\mathbf{a}) \approx \mathbf{M}(\mathbf{a}) + A$ with $A \in \bigcap_{p>0} \mathbf{S}_p$.

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- ▶ In particular, $M(\mathbf{a})$ and $\mathbf{M}(\mathbf{a})$ obey the same spectral asymptotics.

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$$\mathcal{N} : L^2(\mathbb{R}_+) \rightarrow \ell^2(\mathbb{N}), \quad f \mapsto \left\{ \int_0^\infty j^{-x-\frac{1}{2}} w(x)^{1/2} f(x) dx \right\}_{j=1}^\infty.$$

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Use asymptotics of ζ -function and properties of the Laplace transform to write

$$w^{1/2} \{ \mathbf{H}(\zeta(x+1)) - \mathbf{H}(1/x) \} w^{1/2} = \mathbf{H}(\mathbf{b}_1) + U^* \mathbf{H}(\mathbf{b}_2) U + \text{rank one}$$

where \mathbf{b}_1 and \mathbf{b}_2 are in the Schwartz class and U is unitary.

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Assume that $M(\mathbf{a})$ is bounded and belongs to the Schatten class S_p with $0 < p \leq 1$. Set $a(j) = \mathbf{a}(j)$, $j \geq 2$, and $a(1) = 0$. Then we have the norm bounds

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► Note that $\mathbf{M}(\mathbf{a}) \in \mathbf{S}_1 \implies \mathbf{a}(t)$ is continuous in $t > 1$.

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Use the Plancherel-Polya inequality to estimate

$$\|M(a_n)\|_{S_p}^p \leq C_p 2^n \int_0^\infty \left| \int_{-\infty}^\infty \frac{a_n(t)}{\sqrt{t}} t^{is} dt \right|^p ds.$$

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Then use Peller's characterisation of Hankel operators of class \mathbf{S}_p to get

$$\|M(\mathbf{a})\|_{\mathbf{S}_p}^p \leq C_p \sum_{n \in \mathbb{Z}} 2^n \int_0^\infty \left| \int_{-\infty}^\infty \frac{a_n(t)}{\sqrt{t}} t^{is} dt \right|^p ds \leq C_p \|M(\mathbf{a})\|_{\mathbf{S}_p}^p.$$

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For part (ii), use (i) and real interpolation between Besov spaces.

Thank you!