# Fredholmness of Some Toeplitz Operators

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# Definition (Fredholm operator)

Let *H* be a Hilbert space and let  $T \in \mathcal{B}(H)$ . *T* is said to be Fredholm operator if the range of *T* is closed, dimKer*T* and dimKer*T*<sup>\*</sup> are finite.

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# Theorem (Atkinson's characterization)

Let H be a Hilbert space and let  $T \in \mathcal{B}(H)$ . Then T is Fredholm operator if and only if  $T + \mathcal{K}(H)$  is invertible in the quotient algebra  $\mathcal{B}(H)/\mathcal{K}(H)$ , where  $\mathcal{K}(H)$  is the ideal of all compact operators on H. A Banach algebra is a complex normed algebra  $\mathcal{A}$  which is complete (as a topological space) and satisfies

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$$||ST|| \leq ||S|| ||T||$$
 for all  $S, T \in A$ .

A *C*<sup>\*</sup>-algebra is a Banach algebra  $\mathcal{A}$  with conjugate-linear involution \* which is an anti-isomorphism, that is, for all  $S, T \in \mathcal{A}$  and  $\lambda$  in  $\mathbb{C}$ 

$$(\lambda S + T)^* = \overline{\lambda}S^* + T^*,$$
  
 $(ST)^* = T^*S^*,$   
 $(S^*)^* = S$ 

and additional norm condition

$$||S^*S|| = ||S||^2$$
 for all  $S \in \mathcal{A}$ .

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## Theorem (Gelfand-Naimark Theorem)

Any C<sup>\*</sup>-algebra is isometrically \*-isomorphic to a C<sup>\*</sup>-subalgebra of  $\mathcal{B}(H)$  for some Hilbert space H.

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If  $\mathcal{A}$  is a  $C^*$ -algebra, then its commutator ideal  $\mathcal{I}$  is the smallest (norm) closed, two-sided ideal of  $\mathcal{A}$  containing  $\{AB - BA : A, B \in \mathcal{A}\}$ .

# $C^*$ -algebras generated by a system of unilateral weighted shifts

Let n be a fixed positive integer.

$$I = (i_1, \dots, i_n) \text{ be a multi-index of integers.}$$
$$I \ge 0: i_j \ge 0 \text{ for all } j = 1, \dots, n$$
$$|I| = |i_1 + \dots + i_n|$$
For  $I \ge 0$ 
$$z^I = z_1^{i_1} \dots z_n^{i_n},$$

where  $z = (z_1, \ldots, z_n) \in \mathbb{C}^n$ . Let  $\varepsilon_k = (\delta_{1k}, \ldots, \delta_{nk})$  be an another multi-index, where  $\delta_{ij}$  is the Kronocker symbol. For the multi-index I

$$I \mp \varepsilon_k = (i_1, \ldots, i_k \mp 1, \ldots, i_n)$$

Let  $\{e_l\}$  be an orthonormal basis of a complex Hilbert space H and let  $\{w_{l,j} : j = 1, ..., n\}$  be a bounded set of complex numbers such that

$$w_{I,k}w_{I+\varepsilon_k,t} = w_{I,t}w_{I+\varepsilon_t,k}$$

for all I and  $1 \le k, t \le n$ .

#### Definition (Jewell, Lubin)

A system of unilateral weighted shifts is a family of *n*-operators  $A = \{A_1, \ldots, A_n\}$  on *H* such that

$$A_j e_l = w_{l,j} e_{l+\varepsilon_j}, \ l \ge 0, \ j = 1, \ldots, n.$$

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With aid of the positive  $\{w_{l,j} : j = 1, \dots, n\}$  we define a set  $\{\beta_l\}_{l \ge 0}$  such that

$$\beta_{I+\varepsilon_j} = w_{I,j}\beta_I; \quad \beta_0 = 1.$$



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Then, the space

$$H^2(\beta) = \left\{ f(z) = \sum_{l \ge 0} f_l z^l : \sum_{l \ge 0} |f_l|^2 \beta_l^2 < \infty \right\}.$$

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is a Hilbert space with the inner product

$$\langle f,g\rangle = \sum_{I\geq 0} f_I \overline{g_I} \beta_I^2$$

and  $\{\frac{z^{I}}{\beta_{I}}\}_{I\geq0}$  is an orthonormal basis for  $H^{2}(\beta)$  (Jewell-Lubin).

Consider such  $\{\beta_I\}_{I\geq 0}$  that the multi-variable moment problem

$$\beta_I^2 = \int_{[0,1]^n} r_1^{2i_1} r_2^{2i_2} \dots r_n^{2i_n} d\nu(r_1, r_2, \dots, r_n)$$

has a solution for these  $\beta_I^2$ 's, that is, there exists a positive Borel measure  $\nu$  defined on  $[0, 1]^n$  for these  $\beta_I^2$ 's satisfying above equality.

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Let  $\Omega$  denote the family of the systems A such that  $\beta_I^2$ 's corresponding to the system A satisfying above property (i.e., the multi-variable moment problem has a solution for  $\beta_I^2$ 's)

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Let  $\Omega$  denote the family of the systems A such that  $\beta_I^2$ 's corresponding to the system A satisfying above property (i.e., the multi-variable moment problem has a solution for  $\beta_I^2$ 's) and let  $\nu_A$  denote the measure corresponding to the system A.

 $L^2(\bar{\mathbb{D}}^n,\mu)$  (=  $L^2(\mu)$ ) : the space of complex-valued functions on  $\bar{\mathbb{D}}^n$  which are Lebesgue measurable and square-integrable with respect to the measure  $\mu$ . Here  $\mu$  is given on  $\bar{\mathbb{D}}^n$  by

$$d\mu = \frac{1}{(2\pi)^n} d\nu(r_1, r_2, \ldots, r_n) d\theta_1 d\theta_2 \ldots d\theta_n \quad (0 < \theta_i \le 2\pi)$$



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If  $A \in \Omega$ , then  $\left\{\frac{1}{\sqrt{(2\pi)^n}} \frac{z'}{\beta_l}\right\}_{l \ge 0}$  is an orthonormal system in  $L^2(\mu)$ .

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, then  $\left\{\frac{1}{\sqrt{(2\pi)^n}} \frac{z'}{\beta_l}\right\}_{l \ge 0}$  is an orthonormal system in  $L^2(\mu)$ .  
Let  $H^2(\bar{\mathbb{D}}^n, \mu)$   $(= H^2(\mu))$  be the subspace generated by the orthonormal system  $\left\{\frac{1}{\sqrt{(2\pi)^n}} \frac{z'}{\beta_l}\right\}_{l \ge 0} \in L^2(\mu)$ .

## Functional Model

If  $A \in \Omega$ , then the system A of unilateral weighted shifts on H and the system  $A_z (= \{A_{z_1}, \ldots, A_{z_n}\})$  of the multiplication operators  $A_{z_i}$  on  $H^2(\mu)$  by the independent variables  $z_i$ 's,  $i = 1, 2, \ldots, n$  are unitarily equivalent.

For simplicity, we consider n = 2. Let be

$$S_1 = \{ (r_1, r_2) \in [0, 1] \times [0, 1] : r_1^2 + r_2^2 \le 1 \}$$
$$\widetilde{S}_1 = \{ (r_1, r_2) \in [0, 1] \times [0, 1] : r_1^2 + r_2^2 = 1 \}.$$

Let  $\Omega_1$  be the subset of  $\Omega$  defined by

$$\Omega_1 = \{A \in \Omega : supp 
u_A \subset S_1, \ 
u_A(U(a)) > 0 \text{ for arbitrary} \}$$

neighborhood U(a) of each point  $a \in \widetilde{S}_1$ }

#### Theorem

If  $A \in \Omega_1$ , then  $H^2(\mu)$  is a functional Hilbert space.

# Theorem (Ergezen, Sadik)

Let  $A \in \Omega$ . A necessary and sufficient condition for the operator algebra generated by the system A to be isometrically isomorphic to the ball algebra is that A is in  $\Omega_1$ .

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#### Theorem (K., Sadik)

Let  $A \in \Omega$ . If the algebra generated by the system A is the ball algebra, then the commutator ideal of the C<sup>\*</sup>- algebra C<sup>\*</sup>(A) generated by the system A is the ideal of all compact operators  $\mathcal{K}$  and

$$C^*(A) = \{T_{\psi} + K : \psi \in C(supp\mu), K \in \mathcal{K}\}.$$

The quotient  $C^*(A)/\mathcal{K}$  is \*-isomorphic to  $C(S^3)$ , where  $S^3$  is the unit sphere.

#### Definition

If *P* denotes the orthogonal projection from  $L^2(\mu)$  onto  $H^2(\mu)$ , then for  $\psi \in C(supp\mu)$  the Toeplitz operator  $T_{\psi}$  on  $H^2(\mu)$  with continuous symbol  $\psi$  is defined by

$$T_{\psi}f = P(\psi f)$$

for  $f \in H^2(\mu)$ .

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#### Corollary

Let  $\psi \in C(supp\mu)$ . Then The Toeplitz operator  $T_{\psi} \in C^*(A)$  is Fredholm if and only if  $\psi(z) \neq 0$  for all  $z \in S^3$ .

Let  $\Omega_2$  be the subset of  $\Omega$  defined by

$$\Omega_2 = \{A \in \Omega : \nu_A(U(1,1)) > 0 \text{ for arbitrary } \}$$

neighborhood U(1,1) of the point  $(1,1) \in [0,1]^2$ .

#### Theorem

If  $A \in \Omega_2$ , then  $H^2(\mu)$  is a functional Hilbert space.

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Let  $A \in \Omega$ . A necessary and sufficient condition for the operator algebra generated by the system A to be isometrically isomorphic to the polydisc algebra is that A is in  $\Omega_2$ .

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# Theorem (K., Sadik)

Let  $A \in \Omega_2$ . Then, the commutator ideal  $\mathcal{J}$  of  $C^*(A)$  properly contains the ideal  $\mathcal{K}$  of compact operators on  $H^2(\mathbb{D}^2)$ . The quotient space  $\mathcal{J}/\mathcal{K}(H^2(\mathbb{D}^2))$  is isometrically isomorphic to  $C(\mathbb{T} \times \{0,1\}) \otimes \mathcal{K}(H^2(\mathbb{D}))$ , where  $\mathbb{T}$  is the unit circle and  $\{0,1\}$  is the two-point space. We assume that the measure  $\nu_A$  has of the form

$$\nu_A(r_1, r_2) = \nu_1(r_1)\nu_2(r_2),$$

where both measures  $\nu_1$  and  $\nu_2$  are defined on [0, 1] and satisfying  $\nu_i(a, 1] > 0$ , i = 1, 2 for all 0 < a < 1. The measure  $\mu_A$  is then written as

$$\mu_{\mathcal{A}} = \frac{1}{(2\pi)^2} \nu_1 \nu_2 d\theta_1 d\theta_2$$

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#### Theorem (K., Sadik)

Let  $\psi \in C(supp\mu)$ . Then a necessary and sufficient condition for  $T_{\psi}$  to be Fredholm is that  $\psi$  does not vanish in  $\mathbb{T}^2$  and  $\psi|_{\mathbb{T}^2}$  is homotopic to a constant.

# An application for the unit ball case

 $\pi$ : the quotient homomorphism from B(H) to  $B(H)/\mathcal{K}(H)$ 

L: a subalgebra of B(H) such that the image  $\pi(L)$  is a commutative subalgebra of  $B(H)/\mathcal{K}(H)$ 

S: an automorphism in the algebra  $\pi(L)$  such that  $S\pi(B) = \pi(B')S$ , where  $B, B' \in L$ , that is, if  $B \in L$ , then  $SBS^{-1} = B' + K$ ,  $B' \in L$  and  $K \in \mathcal{K}(H)$ .

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Consider the operator

$$T=B_1+B_2S+K,$$

where  $B_1, B_2 \in L$  ve  $K \in \mathcal{K}$ .

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#### Theorem (K., Sadik)

If the operator  $\pi(B_1)\pi(B'_1) - \pi(B_2)\pi(B'_2)$  has an inverse in  $\pi(L)$ , then  $T = B_1 + B_2S + K$  is Fredholm.

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Take  $A \in \Omega_1$ . Let  $L = C^*(A)$ . Consider the operator

$$T=T_{\psi_1}+T_{\psi_2}S+K,$$

where  $T_{\psi_1}$  ve  $T_{\psi_2}$  are Toeplitz operators in  $C^*(A)$  with the symbols  $\psi_1, \psi_2 \in C(supp\mu)$ , respectively and  $Sf(w_1, w_2) = f(w_2, w_1)$  for all  $f \in H^2(\mu)$ .

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The equation  $Tf = \varphi$  is written of the form

$$\int_{B^4} K(z,w)\psi_1(w_1,w_2)f(w_1,w_2)d\mu(w_1,w_2)+$$

 $\int_{B^4} K(z,w)\psi_2(w_1,w_2)f(w_2,w_1)d\mu(w_1,w_2) + (Kf)(z_1,z_2) = \varphi(z_1,z_2)$ 

# Theorem (K., Sadik)

If  $\psi_1(z_1, z_2)\psi_1(z_2, z_1) - \psi_2(z_1, z_2)\psi_2(z_2, z_1)$  does not vanish in S<sup>3</sup>, then all of Noether's theorems is true for the equation  $Tf = \varphi$ .

## Theorem (K., Sadik)

If  $\psi_1(z_1, z_2)\psi_1(z_2, z_1) - \psi_2(z_1, z_2)\psi_2(z_2, z_1)$  does not vanish in  $S^3$ , then all of Noether's theorems is true for the equation  $Tf = \varphi$ . In particular, if we take  $w_{I,1} = \sqrt{\frac{m+1}{2+m+n}}$ ,  $w_{I,2} = \sqrt{\frac{n+1}{2+m+n}}$  and  $Sf(w_1, w_2) = f(w_2, w_1)$  then the equation above has the form

$$\int_{S^3} \frac{\psi_1(w_1, w_2) f(w_1, w_2)}{(1 - z_1 \bar{w}_1 - z_2 \bar{w}_2)^2} ds + \int_{S^3} \frac{\psi_2(w_1, w_2) f(w_2, w_1)}{(1 - z_1 \bar{w}_1 - z_2 \bar{w}_2)^2} ds + (Kf)(z_1, z_2) = \varphi(z_1, z_2),$$

where ds is the surface measure in  $S^3$ .

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