Toeplitz Operators on Polyanalytic Bergman Spaces Over the Upper-Half Plane

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August 18, 2017

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Motivation

The class of Toeplitz operators represents a very interesting example of concrete operators.

On Hardy, Bergman, Dirichlet and Fock spaces (in $\mathbb D$ and $\mathbb C$) in one and several dimensions, this class of operators has been intensively studied from different points of view. The Harmonic case of these spaces and their operators has also been considered subsequently by many authors.

Recently Ž. Čučkovič and T. Le [Toeplitz operators on Bergman spaces of polyanalytic functions, Bull. London Math. Soc., 44(5), 961-973, 2012] have considered Toeplitz operators on polyanalytic Bergman spaces of the disk.

In this talk, we study compact Toeplitz operators on polyanalytic Bergman spaces over the complex upper half-plane.

Let $\Pi := \{z = x + iy \in \mathbb{C}, \text{ Im } z = y > 0\}$ denote the upper complex half-space. For $n \geq 1$, a function f is polyanalytic of order n (n-analytic) on Π if it satisfies the generalized Cauchy-Riemann equation:

$$\frac{\partial^n f}{\partial \overline{z}^n} = 0 \text{ in } \Pi.$$

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The weighted Lebesgue space $L^2_{\lambda}(\Pi,d\mu_{\lambda})=L^2_{\lambda}(\Pi)$ is the generic Lebesgue space of measurable functions that are square integrable over Π with respect to the measure

$$d\mu_{\lambda} = dx d\nu_{\lambda}(y) = (\lambda + 1)(2y)^{\lambda} dx dy, \ \lambda \in (-1, \infty)$$

with norm and inner product

$$||f||_2 = \left(\int_{\Pi} |f(z)|^2 d\mu_{\lambda}(z)\right)^{\frac{1}{2}} < \infty \quad ; \quad < f, g > = \int_{\Pi} f(z) \overline{g(z)} d\mu_{\lambda}(z)$$

The polyanalytic Bergman space

The space

$$\mathcal{A}_{n,\lambda}^2(\Pi) = \left\{ f \in L_{\lambda}^2(\Pi), \ \frac{\partial^n f}{\partial \overline{z}^n} = 0 \ \text{in } \Pi \ \right\}$$

is the closed subspace of $L^2_{\lambda}(\Pi)$ consisting of polyanalytic functions.

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It is a reproducing kernel Hilbert space, and its kernel function $K_{n,\lambda}(z,\zeta)$ has been established via Fourier transform by J.R. Ortega [Bol. Soc. Mat. Mexicana (3) 13, 2007]; it takes the form:

The reproducing kernel

$$K_{n,\lambda} = \frac{i(z - \overline{z} - \zeta + \overline{\zeta})^{-1}}{(i\overline{\zeta} - iz)^{\lambda+1}} \sum_{k=0}^{n} \sum_{j=0}^{n-1} \gamma_{j,k,n} \frac{(z - \overline{z})^{j} (\zeta - \overline{\zeta})^{k} - (z - \overline{z})^{k} (\zeta - \overline{\zeta})^{j}}{(z - \overline{\zeta})^{j+k}}$$

with coefficients

$$\gamma_{j,k,n} = \frac{(-1)^{j+k} \Gamma(n+1) \Gamma(\lambda+n+1) \Gamma(\lambda+j+k+1)}{\pi(\lambda+1) \Gamma(\lambda+j+1) j! (n-1-j)! \Gamma(\lambda+k+1) k! (n-k)!}$$

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A symmetric form of the reproducing kernel $K_{n,\lambda}(z,\zeta)$ can also be given by

$$\frac{(z-\overline{z}-\zeta+\overline{\zeta})^{-1}}{(i\overline{\zeta}-iz)^{\lambda+2}}\sum_{j=0}^{n-1}\sum_{k=0}^{n-1}\alpha_{j,k,n}\left(\frac{z-\overline{z}}{\lambda+j+1}-\frac{\zeta-\overline{\zeta}}{\lambda+k+1}\right)\frac{(z-\overline{z})^{j}(\zeta-\overline{\zeta})^{k}}{(z-\overline{\zeta})^{j+k}}$$

where $\alpha_{j,k,n} = (\lambda + n)\lambda_{j,k,n}$, with

$$\lambda_{j,k,n} = \frac{(-1)^{j+k} \Gamma(n) \Gamma(\lambda+n) \Gamma(\lambda+j+k+2)}{\pi(\lambda+1) \Gamma(\lambda+j+1) j! (n-1-j)! \Gamma(\lambda+k+1) k! (n-1-k)!}$$



Let us calculate the norm of the reproducing kernel. For, observe that

$$K_{n,\lambda}(z,\zeta) = \sum_{q=1}^{n} K_{(q)\lambda}(z,\zeta)$$

with $K_{(q)\lambda}(z,\zeta)$ being the reproducing kernel of the true q-analytic function space

$$\mathcal{A}^2_{(q)\lambda}(\Pi)=\mathcal{A}^2_{q,\lambda}\ominus\mathcal{A}^2_{q-1,\lambda}(\Pi)$$

namely

$$K_{(q)\lambda}(z,\zeta) = \frac{1}{(i\overline{\zeta} - iz)^{\lambda+2}} \sum_{j=0}^{q-1} \sum_{k=0}^{q-1} \lambda_{j,k,q} \left(\frac{z - \overline{z}}{z - \overline{\zeta}}\right)^j \left(\frac{\zeta - \overline{\zeta}}{z - \overline{\zeta}}\right)^k$$

On the other hand, we have

$$||K_{n,\lambda}(z)||^2 = K_{n,\lambda}(z,z) = \sum_{q=1}^n K_{(q)\lambda}(z,z).$$



But we know that

$$K_{(q)\lambda}(z,z) = \frac{1}{(2 \operatorname{Im}(z))^{\lambda+2}} \sum_{j=0}^{q-1} \sum_{k=0}^{q-1} \lambda_{j,k,q},$$

Thus, we see that

$$K_{n,\lambda}(z,z) = \frac{\lambda_n}{2^{\lambda+2}(\operatorname{Im} z)^{\lambda+2}},$$

with coefficients given by

$$\lambda_n = \sum_{q=1}^n \sum_{j=0}^{q-1} \sum_{k=0}^{q-1} \lambda_{j,k,q} > 0$$

Hence, we obtain some facts about the polyanalytic normalized Bergman reproducing kernel:

Facts about the normalized reproducing kernel

The normalized reproducing kernel is then given by

$$k_{n,\lambda}(z,\zeta) = \frac{K_{n,\lambda}(z,\zeta)}{||K_{n\lambda}(z)||}$$

where

$$||\mathcal{K}_{n,\lambda}(z)|| \frac{\sqrt{\lambda_n}}{2^{1+\frac{\lambda}{2}}(\operatorname{Im} z)^{1+\frac{\lambda}{2}}}, \text{(note that } ||\mathcal{K}_{n\lambda}(z)|| \longrightarrow \infty \text{ as Im } z \longrightarrow 0).$$

The density of linear combinations of reproducing kernels (of the form $\sum_{j=0}^{m} \alpha_j K_{n,\lambda}(z_j)$) together with the latter yield the following asymptotic behavior of the normalized reproducing kernel

$$k_{n,\lambda}(z,.) \longrightarrow 0$$
 weakly as $\text{Im } z \longrightarrow 0$.

The Bergman projection is given by

$$\mathcal{P}: L^{2}_{\lambda}(\Pi) \longrightarrow \mathcal{A}^{2}_{n,\lambda}(\Pi)$$

$$f \longrightarrow \mathcal{P}f(z) = \int_{\Pi} f(\zeta)\overline{K}_{n,\lambda}(z,\zeta)d\mu_{\lambda}(\zeta).$$

If f belongs to $L^2_{\lambda}(\Pi)$, then for any bounded $g \in \mathcal{A}^2_{n,\lambda}(\Pi)$, the pointwise product fg lies in $L^2_{\lambda}(\Pi)$.

Thus, the Toeplitz operator is defined as:

$$T_f g(z) = \mathcal{P}(fg)(z) = \int_{\Pi} f(w)g(w)\overline{K}_{n,\lambda}(z,w)d\mu_{\lambda}(w).$$

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Boundedness

If $f \in L^2_{\lambda}(\Pi) \cap L^{\infty}(\Pi)$, then T_f is a bounded operator on $\mathcal{A}^2_{n,\lambda}(\Pi)$ with $||T_f|| \leq ||f||_{\infty}$.

If $u \in L^2_{\lambda}(\Pi)$ is harmonic, then $u = f + \overline{g}$, with $f, g \in L^2_{\lambda}(\Pi)$ analytic functions. The cancellation condition is

$$\int\limits_{\Pi}f(w)d\mu_{\lambda}(w)=0, \ \text{ for any } f\in L^{1}(\Pi,d\mu_{\lambda}) \text{ analytic.}$$

and thus, if $u = f + \overline{g}$ is harmonic, then for all $z, w \in \Pi$ we have

$$< T_u K_{n,\lambda}(z), K_{n,\lambda}(w)> = (f(w) + \overline{g}(z)) < K_{n,\lambda}(z), K_{n,\lambda}(w)>.$$

Thus, we get

$$< T_u K_{n,\lambda}(z), K_{n,\lambda}(z) >= u(z)||K_{n,\lambda}(z)||^2, \ z \in \Pi.$$

So, if T_{μ} is bounded, then



$$||u||_{\infty} \leq \sup_{z \in \Pi} |\langle T_u k_{n,\lambda}(z), k_{n,\lambda}(z) \rangle| \leq ||T_u||.$$

Thus, since $||T_u|| \le ||u||_{\infty}$, we see that

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Thus, since $||T_u|| \le ||u||_{\infty}$, we see that

Norm of a class of Toeplitz operators

 $||T_u|| = ||u||_{\infty}$ for bounded harmonic symbols.

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Self-adjointness

The adjoint of T_f is given by $T_f^* = T_{\overline{f}}$, and so T_f is self-adjoint if and only if f is real-valued.

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Question about normality

Is it true that T_f is normal if and only if the range of f lies on a line in \mathbb{C} ? (at least for bounded harmonic symbols).

Berezin transform

The Berezin symbol of an operator $T \in \mathcal{B}(A_{n,\lambda}(\Pi))$ is defined to be the real analytic function on Π given by

$$\mathcal{B}(T)(z) := \langle Tk_{n,\lambda}(z), k_{n,\lambda}(z) \rangle$$
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and the underlying map is the Berezin transform of T. So, for a Toeplitz operator, we have

$$\mathcal{B}(T_f)(z) = \int_{\Pi} f(\zeta) |k_{n,\lambda}(z,\zeta)|^2 d\mu_{\lambda}(\zeta)$$

$$= \frac{(2\operatorname{Im} z)^{\lambda+2}}{\lambda_n} \int_{\Pi} f(\zeta) \frac{|z-\overline{z}+\zeta-\overline{\zeta}|^{-2}}{|\overline{\zeta}-z|^{2\lambda+4}} \Lambda(z,\zeta) d\mu_{\lambda}(\zeta).$$

where $\Lambda(z,\zeta)$ is given by

$$\Lambda(z,\zeta) = \left| \sum_{j=0}^{n-1} \sum_{k=0}^{n-1} \alpha_{j,k,n} \left(\frac{z - \overline{z}}{\lambda + j + 1} - \frac{\zeta - \overline{\zeta}}{\lambda + k + 1} \right) \frac{(z - \overline{z})^j (\zeta - \overline{\zeta})^k}{(z - \overline{\zeta})^{j+k}} \right|^2$$

Pseudo-hyperbolic disks

Let $w = s + it \in \Pi$, and define $\varphi_w : \Pi \longrightarrow \Pi$ by

$$\varphi_w(z) = \frac{x-s}{t} + i\frac{y}{t}, \quad z = x + iy.$$

Then φ_w is one to one, onto and holomorphic on Π . And, define the pseudo-hyperbolic distance $\rho(w, z)$ by

$$\rho(w,z) = \left| \frac{z-w}{z-\overline{w}} \right|, \text{ on } \Pi,$$

which is a metric on Π . Let B(z,r) be the Euclidean disk, and for $w = s + it \in \Pi$ and 0 < R < 1, let

$$\Delta(w,R) := \{ z \in \mathbb{C}, \ \rho(z,w) < R \}$$

be the pseudo-hyperbolic disk with center w and radius R. Then, we infer that

$$z \in \Delta(w,R) \iff \rho(z,w) < R \iff z \in B\left(\left(s, \frac{1+R^2}{1-R^2}t\right), \frac{2Rt}{1-R^2}\right).$$

Pseudo-hyperbolic disks

Thus, we have the following:

Proposition 1

Let $w = s + it \in \Pi$ and 0 < R < 1. Since the pseudo-hyperbolic disk

$$\Delta(w,R)$$
 coincides with the Euclidean disk $B\left(\left(s,\frac{1+R^2}{1-R^2}t\right),\frac{2Rt}{1-R^2}\right)$,

we see that

$$|\Delta(w,R)| = \frac{4\pi R^2 t^2}{(1-R^2)^2}.$$

and $\forall z \in \Delta(w, R)$, we have

$$\frac{1-R}{1+R} < \frac{\operatorname{Im} w}{\operatorname{Im} z} < \frac{1+R}{1-R}.$$

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Let $\varphi \in L^{\infty}(\Pi)$ such that $Supp(\varphi)$ is a compact subset of Π . Then, the compression of the multiplication operator $\mathcal{M}_{\varphi}/_{\mathcal{A}^2_{\infty}\setminus\{\Pi\}}$ is compact.

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Since $T_{\varphi} = \mathcal{PM}_{\varphi}$, the latter yields:

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Proposition 3

If φ is a compactly supported bounded function on Π , then the Toeplitz operator T_{φ} is compact on $\mathcal{A}^2_{n,\lambda}(\Pi)$.

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Now, let $\mathscr{C}_0(\Pi)$ denote the algebra of continuous functions on Π such that $f(z) \longrightarrow 0$ as Im $z \longrightarrow 0$.

For Toeplitz operators with such symbols, we have:



Corollary 4

Let φ be in $\mathscr{C}_0(\Pi)$. Then, T_{φ} is compact on $\mathcal{A}^2_{n,\lambda}(\Pi)$.

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Lemma 5

For $\lambda > -1$ and $0 < \delta < 1$, there is $C_{\delta} > 0$ such that $|K_{n,\lambda}(z,\zeta)| \le C_{\delta}, \ \forall z,\zeta \in \Pi$, with $|z-\zeta| \ge \delta$.

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For $\mathscr{C}(\overline{\Pi})$ symbols, using Lemma 5, the sufficient condition of Corollary 4 turns out to be also necessary:

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For $\mathscr{C}(\Pi)$ symbols, using Lemma 5, the sufficient condition of Corollary 4 turns out to be also necessary:

Proposition 6

Let $\varphi \in \mathscr{C}(\overline{\Pi})$. Then, the Toeplitz operator T_{φ} is compact on $\mathcal{A}^2_{n,\lambda}(\Pi)$ if and only if $\varphi \in \mathscr{C}_0(\Pi)$.

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Lemma 7

Let 0 and suppose that <math>u is N-harmonic in the disk $\mathcal{D}(z_0, r)$, where $z_0 \in \mathbb{C}$ and the radius r is positive. Then, there exist positive constants $C_1(N, P)$ and $C_2(N, P)$ depending only on N and p such that

$$|u(z)|^p \leq \frac{C_1(N,p)}{r^2} \int\limits_{\mathcal{D}(z_0,r)} |u(z)|^p dA(z), \quad z \in \mathcal{D}\left(z_0,\frac{r}{2}\right).$$

In particular, the inequality is satisfied at $z=z_0$, and we have

$$|\nabla u(z)|^p \leq \frac{C_2(N,p)}{r^{2+p}} \int\limits_{\mathcal{D}(z_0,r)} |u(z)|^p dA(z), \quad z \in \mathcal{D}\left(z_0,\frac{r}{2}\right).$$

Next, the beautiful identity

$$1 - \rho^2(z,\zeta) = \frac{4(\operatorname{Im} z)(\operatorname{Im} \zeta)}{|z - \overline{\zeta}|^2}$$

is used to show that the normalized reproducing kernel has a nice behavior in the pseudo-hyperbolic framework:

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Lemma 8

Let $z \in \Pi$, then there exists 0 < s < 1 such that

$$|k_{n,\lambda}(z,\zeta)| \approx (\operatorname{Im} \zeta)^{-1-\frac{\lambda}{2}}, \text{ for } \zeta \in \Delta(z,s).$$

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Let $z \in \Pi$, then there exists 0 < s < 1 such that

$$|k_{n,\lambda}(z,\zeta)| pprox (\operatorname{Im}\,\zeta)^{-1-rac{\lambda}{2}}, \ \ ext{for} \ \ \zeta \in \Delta(z,s).$$

Combining all this stuff, we arrive to the following characterization of compact Toeplitz operators with nonnegative symbols:



Theorem 9

Let f be a nonnegative function from $L^1(\Pi, d\mu_{\lambda})$. Then, the Toeplitz operator $T_f: \mathcal{A}^2_{n,\lambda}(\Pi) \longrightarrow \mathcal{A}^2_{n,\lambda}(\Pi)$ is compact if and only if the berezin symbol $\widetilde{f}(z) := \mathcal{B}(f) := \mathcal{B}(T_f) \longrightarrow 0$ as $\operatorname{Im} z \longrightarrow 0$.

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Sketch of the proof:

The only if part is clear from the weak convergence of the normalized reproducing kernel established in Section 2.

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For the if part, consider the Toeplitz operator with symbol \widetilde{f} . By Fubini's theorem and Lemma 8, we have

$$\left\langle T_{\widetilde{f}}g,g\right\rangle \geq \int\limits_{\Pi}f(w)\left(\frac{c}{(\mathrm{Im}w)^{2+\lambda}}\int\limits_{\Delta(w,r)}|g(z)|^2(1+\lambda)(2\mathrm{Im}z)^{\lambda}dA(z)\right)d\mu_{\lambda}(w)$$

Making use of the subharmonic behavior of Lemma 7, some pseudohyperbolic disk estimates as well as Proposition 1, we infer that the inside integral of the latter is bigger than

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Thus, some more calculations lead us to the estimate

$$\langle T_{\widetilde{f}}g,g\rangle \geq c \langle T_fg,g\rangle, \quad \forall g\in \mathcal{A}^2_{n,\lambda}(\Pi).$$

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$$\langle T_{\widetilde{f}}g,g\rangle \geq c \langle T_fg,g\rangle, \quad \forall g\in \mathcal{A}^2_{n,\lambda}(\Pi).$$

Now, if $\widetilde{f}(z) \longrightarrow 0$ as $\operatorname{Im} z \longrightarrow 0$, then $T_{\widetilde{f}}$ is a Toeplitz operator whose symbol is in $\mathscr{C}_0(\Pi)$, whence compact by Corollary 4. Thus, if $\{g_m\}$ is weakly convergent in $\mathcal{A}^2_{n,\lambda}(\Pi)$, then we see from the above inequality that $\langle T_f g_m, g_m \rangle \to 0$ as $m \to \infty$; whence T_f is compact on $\mathcal{A}^2_{n,\lambda}(\Pi)$.

Theorem 10

Let f be a nonnegative function from $L^2(\Pi, d\mu_{\lambda})$. Then, the Toeplitz operator \mathcal{T}_f is compact on $\mathcal{A}^2_{n,\lambda}(\Pi)$ if and only if the compression $\mathcal{M}_f: \mathcal{A}^2_{n,\lambda}(\Pi) \longrightarrow L^2(\Pi, d\mu_{\lambda})$ is compact.

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Sketch of the proof:

Since $T_{\varphi} = \mathcal{PM}_{\varphi}$, the if part is clear.

For the only if part, consider a sequence $\{g_m\}$ weakly convergent to 0 in $\mathcal{A}^2_{n,\lambda}(\Pi)$, and observe that

$$\langle T_f g_m, g_m \rangle = \langle f g_m, g_m \rangle = \left\langle \sqrt{f} g_m, \sqrt{f} g_m \right\rangle = ||\mathcal{M}_{\sqrt{f}} g_m||_2^2$$

where \sqrt{f} is the positive square root of f.

Since $T_f g_m \to 0$ strongly, we get $\lim_{m \to \infty} ||\mathcal{M}_{\sqrt{f}} g_m||_2 = 0$; whence

 $\mathcal{M}_{\sqrt{f}}: \mathcal{A}_{n,\lambda}^2(\Pi) \longrightarrow L^2(\Pi,d\mu_{\lambda})$ is compact. It follows that $T_f = \mathcal{PM}_{\sqrt{f}}\mathcal{M}_{\sqrt{f}}$ is compact on $\mathcal{A}_{n,\lambda}^2(\Pi)$.

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Thank you for your kind attention