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Doctoral Regulations of the Faculty of Humanities of Chemnitz University of Technology dated March 8, 2018

Based on § 40 sec. 5 in conjunction with § 13 sec. 4 sentence 1 and § 88 sec. 1 no. 2 of the law governing the freedom of universities in the Free State of Saxony (Saxon Freedom of Universities Act - SächsHSFG) in the version published on January 15, 2013 (SächsGVBl. (Saxony Law Gazette) page 3), last amended by article 1 of the Act of October 15, 2017 (SächsGVBl. p. 546), the Board of the Faculty of Humanities at Chemnitz University of Technology has issued the following Doctoral Regulations.

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Enclosure

In the following, the generic masculine will generally be used for reasons of better legibility. All personal designations apply naturally to all genders.

I. In general

§ 1

Entitlement for the award of Doctoral degrees

- (1) The Faculty of Humanities of Chemnitz University of Technology (Faculty hereinafter) awards on the basis of a Doctoral Procedure the academic degree "doctor philosophiae" (Dr. phil.).
- (2) The scientific areas represented within the Faculty included the respective subjects for a Doctorate are listed enclosed with these Doctoral Regulations. The Faculty Board may, on recommendation of the Doctoral Committee, approve further subjects as subjects for a Doctorate in the case that they are represented respectively by at minimum one professor of the Faculty.
- (3) The Faculty awards on the basis of the decision of its Faculty Board the academic degree doctor philosophiae honoris causa (Dr. phil. h. c.); cf. § 24.

§ 2

Doctorate

- (1) By the Doctorate, the candidate proves his ability to achieve results by autonomous scientific work which are contributing to the further development of the scientific area as well as enrich its theories and methods. The arrangement of the Doctorate may generally be made in free consultation between candidate and supervisor. In addition, the opportunity for a structured Doctorate is provided according to § 2 sec. 10.
- (2) Subsequent to a successful Doctoral Procedure, the candidate will be awarded the entitlement for use of the Doctoral degree according to § 1 sec. 1.
- (3) Doctoral Procedures are, subject to section 4, opened individually for each candidate.
- (4) Exceptionally, the Doctoral Thesis may be elaborated jointly by several candidates in the case that the topic cannot be processed comprehensively by a single person and that collaboration is mandatorily necessary for the achievement of a scientifically significant performance. In this context, during the elaboration of the Doctoral Thesis each candidate has to clearly mark his contribution to the joint scientific work in order to assure that his ability for autonomous scientific research can be assessed. The joint elaboration depends on the approval of the Doctoral Committee.
- (5) In the case of jointly elaborated Doctoral Theses, the Doctoral Colloquium (§ 13) may happen as one common event.

- (6) Doctoral Performances have to be delivered in German language. Upon approval by the supervisor and the Doctoral Committee, Doctoral Performances may also be delivered in English or another language. In the case that the Doctoral Thesis is elaborated in English or another language, a summary in German language has to be enclosed.
- (7) Candidates may enroll as Doctoral students at Chemnitz University of Technology. Further details are provided by the Regulations of Enrollment of Chemnitz University of Technology as amended.
- (8) The opportunity to make use of specific and generic qualification offers is provided.
- (9) For the settlement of conflicts within the process of the Doctorate, candidates and supervisors may address to an ombudsperson of the Faculty who plays on demand a mediatory and arbitrating role.
- (10) The design of a structured Doctorate is regulated within a written supervision agreement between the candidate and the supervisor which should particularly contain regulations with regard to the following aspects: names of the parties, working title of the Doctoral Thesis, binding work and time planning, mutual rights and obligations, regulations regarding workplace, agreements regarding compatibility of the private situation with the Doctorate, commitment to the guidelines for safeguarding good scientific practice, regulations regarding cases of conflict, integration in a work group, a research network or in a graduate program (graduate college, graduate school or similar). A draft of a supervision agreement is provided by the Office of the Dean of the Faculty.

§ 3

Requirements for a Doctorate

- (1) To Doctorate may be admitted who has acquired a diploma or master degree at a university within a degree program assignable to a subject for a Doctorate which is present at the Faculty (enclosure) or who has passed a state examination with an above-average overall performance.
- (2) In exceptional cases, where the subject of the Doctorate is not congruent to the subject of the degree from studies, the candidate is imposed to pass a supplementary examination or to deliver supplementary study performances determined in scope, kind and content by the Doctoral Committee (§ 5) on recommendation of the representatives of the subject.
- (3) Within cooperative Doctoral Procedures, universities of applied sciences and university are working together (§ 40 sec. 4 SächsHSFG). In this case, the Doctoral Thesis shall be supervised by a professor of the Faculty or a professor appointed by the university of applied sciences individually or jointly.
- (4) The decision on the recognition of equivalence of examinations absolved abroad belongs to the Doctoral Committee in consideration of valid agreements on equivalence. In cases of doubt, the Central Office for Foreign Education shall be heard. Insofar equivalence is determined, sections 1 to 3 and section 6 apply respectively.
- (5) Candidates, whose Doctoral Procedures were already two times terminated without success prior to their application according to § 6 do not fulfill the requirements of a Doctorate anymore.
- (6) Holders of a Bachelor degree in a relevant subject acquired with far above-average overall performance may be exceptionally admitted to a Doctorate without acquisition of another degree by an aptitude test. The aptitude will be proven by the deliverance of additional study performances in a total scope of up to two terms. The respective examinations have to be passed with an average of grades of "very good". The decision on further details, on kind and scope of the additional study performances as well as on the particular aptitude for a Doctorate belongs to the Faculty Board on recommendation of the Doctoral Committee. This section applies accordingly to holders of a Bachelor degree of a university of applied sciences with regard to the admission to a cooperative Doctoral Procedure according to section 3.
- (7) With regard to the implementation of bi-national Doctoral Procedures (so-called Cotutelle-Doctorates), for each case an agreement with the university abroad resp. the faculty has to be concluded concerning the admission, the stays for studies and research to be absolved in the partner country, the supervision, the assessment, the joint oral examinations, the grading and the travel expenses of the examiners. In this context, the provisions of these Doctoral Regulations generally have to be

applied. A comprehensive summary in the other respective language has to be enclosed with the Doctoral Thesis. The agreements are prepared by the Doctoral Committee which, if applicable, takes also the decision on exemptions and particular provisions in consideration of the regulations at the partner university. The bi-lingual Certificate of the Doctorate has to be signed and sealed by the responsible representatives of both universities. The Certificate of the Doctorate awards the entitlement for the use of the Doctoral degree in the respective customary way (cf. Recommendations of the German Rectors' Conference within newsletter 4/99).

- (8) For examination of fulfillment of all requirements for a Doctorate, an informal application for admission to a Doctorate has to be submitted to the Chair of the Doctoral Committee as early as possible. This application must contain: subject of the Doctorate, Doctoral degree to be acquired, provisional working topic as well as a written confirmation of supervision of a scientist entitled for the assessment of Doctorates according to § 8 sec. 2 who is a member or adherent to the Faculty of Humanities (supervisor), resp. a supervision agreement according to § 2 sec. 10 as well as a form for the registration of data of the candidates (is provided by the Office of the Dean of the Faculty). In case of cooperative Doctoral Procedures, section 3 sentence 2 applies. In addition, a curriculum vitae and a duplicate of the Certificate of the university degree have to be enclosed. All documents have to be submitted to the Office of the Dean of the Faculty.
- (9) The decision on the admission of the candidates to a Doctorate is taken by the Faculty Board on recommendation of the Doctoral Committee. If applicable, the admission is related to conditions (section 2 and 6) which have to be fulfilled verifiably within the application according to § 6 at latest.

§ 4

Doctoral Performances

The Doctoral degree will be awarded on the basis of a written scientific work (Doctoral Thesis, § 9) and a Doctoral Colloquium (§ 13).

§ 5

Doctoral Committee and Doctoral Commission

- (1) All decisions regarding Doctoral Procedures generally belong to the Faculty Board. The Faculty establishes a Doctoral Committee. This is a standing body appointed by the Faculty Board with a term of office of three years which acts in issues regarding Doctorates on behalf of the Faculty. The Committee includes the Chair, two professors as well as two postdoc scientific staff members. The Chair is taken by the Dean or by a professor of the Faculty.
- (2) The Doctoral Committee is responsible for the following tasks:
 1. Examination of the requirements for a Doctorate, determinations regarding the deliverance of supplementary performances according to § 3 sec. 2 and recommendations for decisions according to § 3 sec. 6,
 2. Recognition of the equivalence of degrees acquired abroad (§ 3 sec. 4),
 3. Opening of the Doctoral Procedure and appointment of examiners (§§ 7 and 8),
 4. Appointment of the Doctoral Commission (section 5, § 12 sec. 4),
 5. Factual preparation and recommendations regarding all decisions to be taken by the Faculty Board. These are decisions on the admission to a Doctoral Procedure (§ 3), the decision on the award of the Doctoral degree and all negative decisions, which means non-admission, non-recognition of degrees, non-opening, non-approval of the Doctoral Thesis, termination of the Procedure as well as all decisions according to §§ 21, 22, 23.
- (3) The meetings of the Doctoral Committee are not open to the public. Its members are bound to confidentiality.
- (4) The Doctoral Committee takes decisions by simple majority of the attending members. The Doctoral Committee is quorate in the case that more than half of its members attend. The meetings of the Doctoral Committee have to be fixed in a protocol.

- (5) For ongoing Doctoral Procedures, after reception of the assessment reports and accorded approval of the Doctoral Thesis (§ 12 sec. 1), the Doctoral Committee appoints a Doctoral Commission.

The Doctoral Commission includes:

1. the Chair who has to be a professor of the Faculty,
2. the examiners of the Doctoral Thesis (§ 8) as well as
3. an additional member of the Faculty which could be either a professor or which can at least prove performances equivalent to a habilitation.

The Chair of the Doctoral Commission must not be an examiner. At minimum two members of the Doctoral Commission should be representatives of the subject of the Doctorate.

II.

Opening of a Doctoral Procedure

§ 6

Application

- (1) Subsequent to the admission according to § 3, the application for Opening of the Doctoral Procedure has to be submitted in written form by the candidate to the Doctoral Committee via the Office of the Dean.
- (2) The following documents have to be enclosed with the application on the Opening of a Doctoral Procedure:
 1. The Doctoral Thesis in four copies as well as an electronic version of the Doctoral Thesis,
 2. Certified, authenticated proofs regarding the fulfillment of the requirements for a Doctorate stipulated in § 3 of these Regulations,
 3. A declaration in which subject for a Doctorate the Doctorate shall be acquired, by whom the Doctoral Thesis is supervised (§ 3 sec. 8 sentence 2) and in which manner the Doctoral Colloquium shall be held (§ 13),
 4. A list of publications available, if applicable,
 5. A declaration of honor that the Doctoral Thesis submitted was elaborated autonomously and that no other than the indicated resources were used,
 6. A declaration of the candidate if he has already priorly or parallel applied for a Doctoral Procedures at other institutions as well as complete indications regarding the result of those Procedures,
 7. A curriculum vitae, particularly regarding the scientific career,
 8. Propositions regarding the members of the Doctoral Commission.
- (3) The application may be withdrawn by the candidate as long as the Doctoral Procedure had not been opened yet. It is then considered as not submitted and the candidate gets back all documents except the application. The application for withdrawal has to be submitted in written form.

§ 7

Opening of a Doctoral Procedure

- (1) Subsequent to the submission of the Doctoral Thesis, the Doctoral Committee decides on the Opening of a Doctoral Procedure. The candidate will be informed about the Opening of a Doctoral Procedure by written notification without delay.
- (2) The Chair of the Doctoral Committee is entitled to return the Doctoral Thesis for the elimination of deficiencies related to formalities and content. § 10 sec. 1 sentences 2 and 3 apply respectively. The Procedure is postponed until the deficiencies have been eliminated.
- (3) Within the decision on the Opening, the subject of the Doctorate, the examiners and the manner of the Doctoral Colloquium have to be determined. In the case that conditions were imposed to the candidate according to section 2 sentence 1, the Opening has to be postponed until their fulfillment.

- (4) In the case of non-opening, the Chair of the Doctoral Committee informs the candidate in written form about the reasons for this decision and possible legal remedies within a delay of 14 days. In the case of non-opening, the candidate will get back all documents submitted except the application.
- (5) In the case that the candidate submits a written application for withdrawal subsequent to the Opening of a Doctoral Procedure or reasons emerge which would have inhibited the Opening, the Doctoral Committee terminates the Procedure. All documents including assessment reports submitted remain with the Office of the Dean.

§ 8

Examiners

- (1) Within the decision on the Opening, two examiners are appointed.
- (2) One of the examiners has to be a university professor appointed according to § 60 or 62 SächsHSFG. The other examiner may be a professor of a university of applied sciences or a junior professor or he has to prove performances equivalent to a habilitation. At minimum one of the examiners has to adhere to the Faculty.
- (3) In general, one examiner is the supervisor according to § 3 sec. 8 sentence 2. In the case of Doctorates according to § 3 sec. 3 one examiner shall adhere to a university of applied sciences.
- (4) The examiners are entitled to keep the Doctoral Theses handed over to them for assessment. The assessment reports should be submitted to the Office of the Dean in written form within three months after the Doctoral Thesis had been handed over to the examiners. The elaboration of the assessment reports is not remunerated.

III.

Doctoral Thesis

§ 9

In general

- (1) The topic of the Doctoral Thesis has to be assignable to a subject for a Doctorate of the Faculty (enclosure). Within the selection of the topic of the Doctoral Thesis and its processing, professors of different subjects and faculties may collaborate in support.
- (2) A Doctoral Thesis rejected by another faculty or another subject area cannot be approved.
- (3) In general, Doctoral Theses submitted must not be published yet. In exceptional cases, already entirely or partly published works may be submitted as Doctoral Thesis. The decision on this exemption from the rule belongs to the Doctoral Committee on application of the candidate. The parts published have to be marked.
- (4) Furthermore, publication-based ("cumulative") Doctoral Theses are admissible. A cumulative Doctoral Thesis has to comply to the following requirements:
 1. It has to comprise at minimum three works which are in mutual thematic correlation. This correlation has to be exemplified in an additional elaborate (synopsis) and it has to be explained how those works are contributing to the further development of the respective scientific area (§ 2 sec. 1).
 2. At minimum two of those works have to be elaborated as author or as leading author. With regard to works submitted in co-authorship, the individual contribution of the candidate has to be indicated.
 3. At minimum three of the works submitted have to be published or approved for publishing in relevant re-known journals with peer-review proceeding.
 4. At maximum one examiner of the Doctoral Thesis may be at the same time co-author of the publications submitted. In the case that an examiner is also co-author, a third examiner has to be consulted.

§ 10

Assessment of Doctoral Thesis by the examiners

- (1) The examiners submit an independent and comprehensively justified assessment report in written form regarding the Doctoral Thesis and make a proposition concerning the approval or non-approval, in the first case also the grading. The Doctoral Thesis shall only be approved under the condition that it fulfills the requirements according to § 2 sec. 1 and that it is printable or publishable in another manner (§ 19 sec. 2). Conditions regarding minor changings or amendments to which the candidate agrees without doubt, are not opposed to the approval.
- (2) The examiners may dispose of the following grades:

"summa cum laude" (excellent)	= 0
"magna cum laude" (very good)	= 1
"cum laude" (good)	= 2
"rite" (sufficient)	= 3
"non sufficit" (insufficient)	= 4

§ 11

Public Disclosure, Appeals

- (1) Subsequent to the reception of the Doctoral Thesis and the assessment reports with the propositions for grading, the Doctoral Committee informs the members of the Faculty Board and the professors of the Faculty about the opportunity to get access to them. In the case that all assessment reports are positive, a period of public disclosure of two weeks, otherwise of four weeks, has to be provided. Location and dates for access have to be announced in an appropriate way.
- (2) During the period of public disclosure of the Doctoral Thesis, statements and appeals may be submitted in written form to the Doctoral Committee.
- (3) The decision on appeals against the approval of the Doctoral Thesis (§ 12) belongs to the Faculty Board, on other appeals to the Doctoral Committee. The appeals may also be turned into an item of the debate during the Doctoral Colloquium (§ 13).

§ 12

Approval of Doctoral Thesis

- (1) Subsequent to the public disclosure, the Doctoral Committee, based on the assessment reports and, if applicable, appeals submitted, takes the decision on the approval or non-approval of the Doctoral Thesis as well as on the continuation or termination of the Procedure. In both cases, the candidate has to be informed about the decision in written form within two weeks after the decision had been taken. In the case that the Doctoral Thesis was not approved and that it was decided to terminate the Procedure, the candidate has to be informed verifiably by written notification additionally about the reasons for the non-approval and the decisions on termination of the Procedure. The notification has to be complemented by a notification on legal remedies.
- (2) In the case that one examiner came to the grade "non sufficit", the Doctoral Committee may nonetheless approve the Doctoral Thesis. It may make the decision depending on another assessment report. In the case that more than one examiner came to the grade "non sufficit", the Doctoral Thesis cannot be approved and the Procedure has to be terminated. A Doctoral Thesis that was not approved remains jointly with all assessment reports and the file of the Doctorate with the Faculty.
- (3) In the case of positive decision regarding the approval of the Doctoral Thesis, the grade for the Doctoral Thesis is calculated from the arithmetic mean of the individual grades of the assessment reports. This mean is considered within the determination of the overall grade for the Doctorate according to § 16 sec. 3.
- (4) In the case of approval of the Doctoral Thesis, the Doctoral Committee appoints a Doctoral Commission and its Chair. The Chair of the Doctoral Commission informs the candidate in written form about the composition of the Doctoral Commission.

- (5) Following the decision on the approval of the Doctoral Thesis, the Chair of the Doctoral Commission heads the further Procedure on behalf of the Faculty.
- (6) Subsequent to the decision on approval or non-approval of the Doctoral Thesis, the candidate gets access to the assessment reports.
- (7) Candidates, whose Doctoral Thesis was not approved, may apply for a new Doctoral Procedure at the earliest half a year after the decision on the non-approval had been taken with a significantly changed version of the non-approved or a thematically differing Doctoral Thesis. In the case of non-approval of the Doctoral Thesis, the documents regarding the Doctorate remain with the Faculty for the purpose of evidence. In the application for a new Doctoral Procedure, the prior non-approval must be declared.

IV. Doctoral Colloquium

§ 13 Doctoral Colloquium

- (1) The Doctoral Colloquium may be absolved optionally as Disputatio I (§ 14) or as Disputatio II (§ 15). The decision on the manner of the Doctoral Colloquium belongs to the candidate. The date is determined by the Chair of the Doctoral Commission in coordination with the other members of the Doctoral Commission and the candidate.
- (2) The Doctoral Colloquium shall last at minimum 90 and at maximum 120 minutes. It is headed by the Chair of the Doctoral Commission. All members of the Doctoral Commission are equally entitled to vote and to ask questions. Subsequent to the questions of the members of the Doctoral Commission, the attending adherents of the university are entitled to ask questions. Questions which are not regarding the scientific item, have to be rejected by the Chair of the Doctoral Commission.
- (3) Minutes have to be taken regarding the conduct of the Doctoral Colloquium which have to be signed by the Chair of the Doctoral Commission and become a component of the file of the Doctorate.
- (4) The Doctoral Colloquium may only be implemented under the condition that the examiners attend. In the case that exceptionally an examiner is not able to attend, a substitute representative for the Doctoral Commission at his place has to be appointed by the Dean of the Faculty.

§ 14 Disputatio I

The Disputatio I serves at the deepened scientific reflection of the Doctoral Thesis of the candidate. It is introduced by a presentation of the candidate of 20 minutes at maximum regarding the significant basics, contents and results of the Doctoral Thesis. This is followed by a debate dealing with questions, which are theoretically, methodically or from the content related to the Doctoral Thesis, with critical points stated in the assessment reports as well as with the significance of the Doctoral Thesis for the subject of the Doctorate. Furthermore, the debate may also refer to more general questions with regard to the subject of the Doctorate. The Disputatio I is open to the public.

§ 15 Disputatio II

- (1) The Disputatio II serves at the scientific reflection of selected questions of the subject of the Doctorate, including the Doctoral Thesis. It consists of two parts: a defense of the Doctoral Thesis and an expert discussion. In the first part (Defense) the candidate has to face the criticism of the examiners and questions regarding the theory, the methods, the content and the results of his Doctoral Thesis as well as concerning the significance of his Doctoral Thesis for the subject of the Doctorate. This party may be introduced by a brief presentation of the candidate. The defense is open to the public. In the second part (expert discussion) the candidate has to prove within an expert discussion that he is able to give answers to general questions of the subject of the Doctorate in a scientifically

sophisticated way. On demand of the candidate, this discussion shall, in prior coordination with the the Chair of the Doctoral Commission, be pre-structured (i.a. by a brief presentation, the formulation of theses or the nomination of key topics). The expert discussion is generally not open to the public. On demand of the candidate, the expert discussion may be opened to the public of the university; where appropriate, the public may be enlarged by invited guests.

- (2) Each part mentioned in section 1 shall last 45 minutes at minimum. Both parts together shall not last longer than 120 minutes.

§ 16

Assessment of the Doctoral Colloquium and overall assessment of the Doctorate

- (1) Immediately after closing of the Doctoral Colloquium, the Doctoral Commission determines the grade for the Doctoral Colloquium according to § 10 sec. 2 in a non-public meeting. The grade for the Doctoral Colloquium is calculated from the arithmetic mean of the individual grades of all members of the Doctoral Commission. In the case that the Doctoral Colloquium is assessed by "insufficient", it is considered as not passed.
- (2) The Doctorate is considered as passed in the case that the Doctoral Thesis was approved as well as the Doctoral Colloquium was passed.
- (3) In the same meeting, the Doctoral Commission also determines the overall grade of the Doctorate. It is calculated from the arithmetic mean of the grades of the individual assessment reports regarding the Doctoral Thesis and the arithmetic mean of the grades for the Doctoral Colloquium. Within the calculation of the mean of the overall grade, the mean value of the grades for the Doctoral Thesis is weighted double. The overall grade is determined as follows:

up to 0.6	= "summa cum laude"	(excellent),
from 0.61 to 1.50	= "magna cum laude"	(very good),
from 1.51 to 2.50	= "cum laude"	(good),
from 2.51 to 3.33	= "rite"	(sufficient).

Subsequently, the Chair announces the overall grade. The Doctoral Commission suggests to the Doctoral Committee the recommendation to the Faculty Board to award the Doctoral degree.

- (4) The Doctoral Commission may, on recommendation of the examiners, impose conditions regarding the publication of the Doctoral Thesis whose fulfillment is controlled by the Dean. The Dean may assign the controlling to the supervising professor. The examination of the fulfillment of the conditions shall happen within a period of three months. During this time, the delay according to § 19 sec. 1 is postponed.
- (5) The Dean informs the candidate about the decisions of the Doctoral Commission regarding the Doctoral Procedure by written notification and, in the case of successful completion of the Procedure, points out the obligation of publishing the Doctoral Thesis (§ 19). This notification does not confer the entitlement for use of the Doctoral degree.

§ 17

Failure to attend and repetition of the Doctoral Colloquium

- (1) In the case that a candidate does not attend to the date determined for the Doctoral Colloquium without providing valid reasons, the Doctorate is considered as not passed.
- (2) In the case that the Doctoral Colloquium was not passed, it is possible to repeat it once within a year. The Doctoral Commission is entitled to impose conditions for the admission to the repetition of this examination.
- (3) In the case that a candidate does not pass the repeat examination according to section 2, the Doctorate is considered as not passed and the Doctoral Procedure will be terminated. The Dean

informs the candidate about that in written form. The Doctoral Thesis and the file of the Doctorate including the assessment reports remain with the Faculty.

§ 18

Access to file of the Doctorate

On application, the candidate is entitled to get access to his file of the Doctorate. The application has to be submitted in written form within one month after the announcement of the overall grade to the Chair of the Doctoral Committee. The Chair determines time and location of the access.

V.

Publication and Entitlement for use of Doctoral degree

§ 19

Publication of the Doctoral Thesis

- (1) The candidate is obliged to publish the approved version of the Doctoral Thesis (§ 10 sec. 1, § 16 sec. 4) in an appropriate way within one year after the successful completion of the Doctoral Procedure (§ 16 sec.2). In the case of section 2 number 2, the end of the annual delay refers to the conclusion of a contract with a publisher. If the candidate misses culpably this delay, all rights acquired within the Doctoral Procedure are considered as expired.
- (2) The publication can be done optionally by the submission to the Library of Chemnitz University of Technology free of charge of
 1. 20 printed and bound copies or
 2. 6 printed and bound publisher's copies in the case of publication by the University Publisher of Chemnitz University of Technology or by another scientific publisher which overtakes the distribution via the bookstore market for the period of availability. The publication has to be marked as thesis. This may be done either by the inclusion of the front page of the Doctoral Thesis or by the indication as thesis within the imprint.or
 3. 6 printed and bound copies in the case of publication of an identic electronical version of the Doctoral Thesis within the full-text archive of Chemnitz University of Technology.
- (3) In justified cases, the Chair of the Doctoral Committee may prolong the delay according section 1 for one time.

§ 20

Handover of Certificate, Entitlement for use of Doctoral Degree

- (1) The Dean initiates, based on the decision of the Doctoral Commission according to § 16 as well as on the decision of the Faculty Board regarding the award of the Doctoral degree, the issue of the Certificate of the Doctorate. It is dated on the day of the successfully completed Doctoral Colloquium and includes in addition to personal data of the candidate the academic degree to be certified, the topic of the Doctoral Thesis, the overall grade, the signatures of the President and the Dean as well as the seal of the university.
- (2) The Certificate of the Doctorate is handed over or sent to the candidate subsequent to the submission of the mandatory copies according to § 19 of these Regulations.
- (3) By the handover or sending of the Certificate of the Doctorate, the candidates acquires the entitlement for use of the Doctoral degree (§ 40 sec. 6 SächsHSFG).
- (4) The Faculty Board is entitled to confer in exceptional cases upon justified application the entitlement for use of the Doctoral degree to the candidate already by the issue of the written notification according to § 16 sec. 5 and prior to the issue of the Certificate of the Doctorate.

VI.
Invalidity and Legal Remedies

§ 21

Invalidity of Doctoral Performances

- (1) In the case that prior to the issue of the Certificate of the Doctorate it emerges that the candidate has committed fraud during Doctoral Performances of that significant requirements for the admission to the Doctorate (§ 3) had not been fulfilled, the Faculty Board may declare Doctoral Performances already completed as entirely or partly invalid. Prior to the decision, the candidate has to be heard.
- (2) In the case that Doctoral Performances are declared as invalid, the Procedure has to be terminated.
- (3) The notification has to be complemented by a notification on legal remedies.

§ 22

Withdrawal of Doctoral degree

- (1) The Doctoral degree may be withdrawn by decision of the Faculty Board in the case it emerges that it had been acquired by fraud with regard to the requirements for a Doctorate or Doctoral Performances or that facts come to be known which would have inhibited the award. Prior to a decision, the candidate has to be provided the opportunity for a statement. Apart from that, § 39 sec. 4 SächsHSFG applies.
- (2) The notification has to be complemented by a notification on legal remedies.

§ 23

Appeal

The appeal against decisions within the Doctoral Procedure is admissible according to §§ 68 ff. of the Rules of the Administrative Courts. The appeal has to be submitted in written form or for record to the Dean of the Faculty. The decision on the appeal belongs to the Faculty Board.

VII.

Honors

§ 24

Honorary Doctorate

- (1) The Faculty is entitled, in recognition and acknowledgement of outstanding merits regarding the promotion or further development of a scientific area, to award the academic title of an Honorary Doctor (doctor honoris cause, § 1 sec. 3 of these Regulations, § 40 sec. 9 SächsHSFG).
- (2) The personality to be honored must not be a member of Chemnitz University of Technology.
- (3) The application for the award of an Honorary Doctorate has to be submitted and justified by three professors of the Faculty at minimum. The Faculty Board examines, subsequent to getting two assessment reports of professors of the Faculty and of one external expert, the particular merits of the personality to be honored regarding science, technology, culture and the arts (§ 40 sec. 9 SächsHSFG). For the approval of the application, a two-third majority of the members of the Faculty Board is required.
- (4) The award of the Honorary Doctorate is implemented in a solemn manner (Laudatio) by the handover of the Certificate of the Doctorate by the Dean.
- (5) § 22 of these Regulations applies accordingly. § 30 sec. 4 sentences 2 and 3 SächsHSFG apply additionally.

VIII.
Final provisions

§ 25

Coming into force, Abrogation, Transitional Regulations

- (1) These Doctoral Regulations are coming into force on the day of their publication in the Public Announcements of Chemnitz University of Technology ("Amtliche Bekanntmachungen").
- (2) At the same time, the Doctoral Regulations of the Faculty of Humanities of Chemnitz University of Technology dated April 24, 2013 (Public Announcements of Chemnitz University of Technology No. 6/2013, p. 100) cease to be into force.
- (3) Candidates, who have been admitted for a Doctorate prior to the entry into force of these Doctoral Regulations, are entitled to apply for the Opening of Doctoral Procedure according to the Doctoral Regulations dated April 24, 2013.

Issued on the basis of a decision of the Faculty Board of the Faculty of Humanities of Chemnitz University of Technology on January 17, 2018 as well as the approval of the University Management of Chemnitz University of Technology on February 21, 2018.

Chemnitz, March 8, 2018

The Dean
of the Faculty of Humanities
of Chemnitz University of Technology

Prof. Dr. Stefan Garsztecki

Enclosure to the Doctoral Regulations of the Faculty of Humanities of Chemnitz University of Technology with regard to § 1 sec. 2

Based on the decisions of the Faculty Board on November 15, 2011, October 14, 2015 and January 17, 2018, the following subjects for a Doctorate are currently approved:

General and Comparative Literature
English and American Studies
European Studies
History
German Studies
Intercultural Communication
Media Communication
Media and Instructional Psychology
Education
Political Sciences