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Fur purpose of understanding only.

Doctoral Regulations (Dr. rer. pol.) of the Faculty of Economics and Business Administration of Chemnitz University of Technology dated March 12, 2019

Based on § 40 sec. 5 in conjunction with § 13 sec. 4 sentence 1 and § 88 sec. 1 no. 2 of the law governing the freedom of universities in the Free State of Saxony (Saxon Freedom of Universities Act - SächsHSFG) in the version published on January 15, 2013 (SächsGVBl. (Saxony Law Gazette) page 3), last amended by article 6 of the Act of December 14, 2018 (SächsGVBl. p. 782), the Board of the Faculty of Economics and Business Administration at Chemnitz University of Technology has issued the following Doctoral Regulations.

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In the following, the generic masculine will generally be used for reasons of better legibility. All personal designations apply naturally to all genders.

I. In general

§ 1

Entitlement to award Doctoral degrees

- (1) The Faculty of Economics and Business Administration awards, based on a Doctoral Procedure, on behalf of Chemnitz University of Technology the academic degree doctor rerum politicarum (Dr. rer. pol.).
- (2) The Faculty of Economics and Business Administration awards, based on a decision of its Faculty Board, on behalf of Chemnitz University of Technology the academic degree doctor rerum politicarum honoris causa (Dr. rer. pol. h.c.).

§ 2

Doctorate

- (1) The Doctoral Procedure comprises a written scientific work and an oral examination (§ 5 sec. 1 sentence 1).
- (2) Based on a successful Doctoral Procedure, the candidate will be awarded and certified the entitlement for use of the Doctoral degree in the field of economics and business administration.
- (3) Doctoral Procedures will be opened exclusively for individual candidates. Each candidate submits an individual and complete Doctoral Thesis characterized by his own performances.

§ 3

General requirements

- (1) To a Doctorate can be admitted who has acquired a diploma or master degree of a university or who has successfully absolved a state examination. For admission to a Doctorate, successfully completed university studies with above-average results and a total duration of studies of eight terms at minimum are required; practical semesters are not taken into consideration.
- (2) Within a cooperative Doctoral Procedure jointly with a university of applied sciences, the Doctoral Thesis shall be supervised by a professor, who is adherent or member of the Faculty, individually or together with a professor from a university of applied sciences. Insofar the Doctoral Procedure according to this section is successfully completed, the candidate is entitled to use in addition to the Doctoral degree also a university degree awarded on the same scientific area.
- (3) Candidates with equivalent qualifications but whose degree does not fulfill the requirements according to section 1 or 2 are admitted to a Doctorate solely under the condition that they have proven their above-average abilities within an aptitude test procedure according to § 4 sec. 4.
- (4) Holders of a Bachelor degree of a university may be admitted for a Doctorate without acquisition of a further degree under the condition that they have proven their significantly above-average abilities within an aptitude test procedure according to § 4 sec. 4.
- (5) The decision on the recognition of equivalence of university degrees acquired abroad belongs to the Doctoral Committee in consideration of equivalence agreements approved by the Standing Conference of Ministers of Education and Cultural Affairs and the German Rectors' Conference. In cases of doubt regarding the equivalence, the Saxon State Ministry of Science and the Arts resp. an institution for the appraisal of education certificates acquired abroad recognized by it have to be asked for a statement.

- (6) Candidates, who, prior to their application (§ 7), have undergone a Doctoral Procedure already two times without success, are not eligible for the admission to a Doctorate at the Faculty anymore.
- (7) Bi-national Doctoral Procedures (Cotutelle-de-Thèse-Procedures) are possible insofar a professor, who is a member of the Faculty, takes over the supervision. At minimum one examiner has to be a professor who is a member of the Faculty. The further design is determined for each individual case in a separate agreement. The agreement has to be elaborated in two languages. The Doctoral Committee is responsible for the preparation of such agreements and takes, if applicable, decisions on exemptions and special arrangements which are taking into consideration the respective regulations of the partner university. The Doctoral Thesis has to be complemented by a comprehensive summary in English language. The bilingual Certificate of the Doctorate has to be signed by the responsible representatives and sealed by both universities. The Certificate of the Doctorate entitles to use the Doctoral degree in the respectively customary manner (cf. Recommendations of the German Rectors' Conference within the Circular Letter 4/99).

§ 4

Particular requirements, Aptitude test procedure

- (1) For the Doctorate in subjects within the area of economics and business administration it is, in addition to the general requirements (§ 3), required that the candidate has completed a degree program with the grade "good" at minimum.
- (2) In exceptional cases, the Doctoral Committee may, on application of a professor who also overtakes the supervision, also admit candidates who have passed their examination according to section 1 with a grade which is not lower than "satisfying" or corresponding to this grade. In this case, the Doctoral Committee may determine that the candidate has to deliver further scientific performances within an aptitude test procedure according to section 4 prior to the submission of the Doctoral Thesis.
- (3) In cases of doubt regarding the equivalence of examinations and examination grades as well as concerning sufficient interrelations between a degree program and economics and business administration, the decision belongs to the Doctoral Committee; priorily, it has to ask the Faculty Board for a statement.
- (4) Within the aptitude test procedure, the Doctoral Committee examines the ability of a candidate to perform autonomous scientific work. This may be proven in particular by participation in the graduate studies of the Faculty or by presentation of one or several scientific contribution(s) which have already been published. The decision on the necessity, kind and scope of additional study performances belongs to the Doctoral Committee.

§ 5

Doctoral Performances

- (1) The Doctorate is awarded according to § 40 sec. 6 SächsHSFG based on the following performances:
 1. a written scientific work (Doctoral Thesis) according to §§ 10 ff.,
 2. an oral examination (Disputatio) according to §§ 14 ff.
- (2) The Doctoral Performances are generally delivered in German or English language. The decision on applications for exemption belongs to the Doctoral Committee (§ 6 as well as § 10 sec. 5).

§ 6

Doctoral Committee

- (1) The Faculty establishes a Doctoral Committee as a body appointed by the Faculty Board which acts on its behalf within Doctoral Procedures. To this body belong three professors who are members of the Faculty. The Faculty Boards appoints one member of the Committee as Chair and both others as his Deputies.

- (2) The members of the Doctoral Committee are appointed for a term of office of three years. Re-appointment is admissible.
- (3) The following tasks are transmitted by the Faculty Board to the Doctoral Committee:
 1. The determination of the requirements for a Doctorate of the candidate, also within an aptitude test procedure,
 2. The decision on the admission to a Doctorate and on the Opening of a Doctoral Procedure,
 3. The appointment of the examiners, the members of the Examination Commission for the oral examination and its Chair,
 4. The decision on the approval of the Doctoral Thesis after reception of the assessment reports,
 5. Decisions on particular cases and appeals,
 6. The factual preparation of decisions belonging to the Faculty Board.
- (4) The meetings of the Doctoral Committee are not open to the public. The members are bound to confidentiality. The Doctoral Committee is quorate in the case that all members were invited by indication of the agenda items at minimum one week before and that the majority of the members attend. Decisions are taken by simple majority. Abstention from voting and secret ballot are not admissible. In case of tie vote, the vote of the Chair is weighted double.
- (5) In urgent cases, decisions may also be taken within a written procedure in case that no member disagrees.
- (6) Decisions of the Doctoral Committee are notified to the candidate in written form.
- (7) In addition, the regulations of the Act on the Regulation of Administrative Procedures and of Services in Administrative Procedures of the Free State of Saxony (SächsVwVfZG) have to be applied.

II. Admission to Doctorate

§ 7

Application

- (1) Applications for admission to a Doctorate (application for admission) and for Opening of a Doctoral Procedure (application for a Doctorate) have to be submitted in written form by the candidate to the Dean of the Faculty.
- (2) The following documents have to be enclosed with the application for admission:
 1. Certified, authenticated proofs of the degrees from studies according to the requirements for a Doctorate stipulated in these Regulations (§ 3 and § 4),
 2. a curriculum vitae including the academic career,
 3. a declaration of the candidate whether he has already applied priorly or at the same time for a Doctoral Procedure at another institution as well as complete indications regarding the results of those procedures,
 4. a declaration of the candidate that he was not sentenced for having committed a criminal offence related to science.
- (3) The Dean examines all documents submitted with regard to completeness, opens a file of the Doctorate and submits it to the Doctoral Committee for its next meeting.
- (4) The following documents have to be enclosed with the application for a Doctorate:
 1. The Doctoral Thesis in four identic bound copies as well as an electronic version in pdf-format or in a comparable common format; in cases of doubt, the decision belongs to the Doctoral Committee,
 2. a current curriculum vitae including the academic career,
 3. a list of publications, presentations, patents and other scientific performances structured in descending order with regard to the scientific reputation of the publishing institutions,
 4. a declaration that the Doctoral Thesis was elaborated autonomously and that no other than the indicated resources were used, by persons individual assistance services were provided within the selection and assessment of the material as well as within the elaboration of the script and that no other persons, in particular doctoral consultants, were involved in the theoretic elaboration of the Doctoral Thesis,

5. a current declaration of the candidate that he was sentenced for having committed a criminal offence related to science,
6. a current declaration of the candidate whether he had applied priorily or at the same time for a Doctoral Procedure at another institution as well as complete indications regarding the results of those procedures,
7. a form provided by the Office of the Dean filled with the data required according to § 5 sec. 2 of the Act on Statistics in Higher Education as well as for Professional Academies (Act on Higher Education Statistics – HstatG) in the respectively applicable version,
8. a declaration of the candidate that he agrees to the electronic check of his Doctoral Thesis with regard to eventual plagiarism.

All documents have to be submitted in written form. After Opening of the Procedure, they become property of Chemnitz University of Technology.

- (5) After reception of the documents, the Dean examines them with regard to completeness, includes them into the file of the Doctorate and submits it to the Doctoral Committee for its next meeting.
- (6) The application for a Doctorate may be withdrawn by the candidate as long as the Doctoral Procedure had not been opened yet. It is then considered as not submitted and the candidate gets back all documents except the application letter, the form according to section 4 sentence 1 number 7 and the documents belonging to the application for admission. The application for withdrawal has to be submitted in written form.

§ 8

Admission to Doctorate and Opening of Doctoral Procedure

- (1) In general, the Doctoral Committee takes the decision on the admission to a Doctorate and the Opening of a Doctoral Procedure on the meeting following to the respective reception of the application for admission and the application for a Doctorate. The Doctoral Committee examines the fulfillment of the requirements for admission. It has to reject the admission in the case that the admission requirements according to § 3 and § 4 are not fulfilled. In the case that the Doctoral Committee does not achieve unanimity, the decision belongs to the Faculty Board. The decisions on the admission to a Doctorate and the Opening of a Doctoral Procedure may be merged.
- (2) Within the decision on the Opening of a Doctoral Procedure, the examiners have to be determined and the topic of the Doctoral Thesis to be approved. By the decision on the Opening, the process of assessment is initiated.
- (3) The Doctoral Committee may reject the admission to a Doctorate or the Opening of a Doctoral Procedure according to section 1 in the case that
 1. no professor declares himself scientifically responsible for the assessment of the Doctoral Thesis or
 2. the documents required according to § 7 have not been submitted or are incomplete.
 In the case of sentence 2 number 2, the candidate is at first asked by the Doctoral Committee to complement the documents within an appropriate delay. In the case that the candidate declares, according to § 7 sec. 2 number 4 resp. sec. 4 sentence 1 number 5 that he was sentenced for having committed a criminal offence related to science or it emerges that a declaration submitted according to § 7 sec. 2 number 4 resp. sec. 4 sentence 1 number 5 was untruthful, the admission to a Doctorate resp. the Opening of a Doctoral Procedure may be rejected.
- (4) The Doctoral Committee shall take the respective decision on the application for admission and for a Doctorate in general within one month after reception.
- (5) A candidate admitted for a Doctorate is obliged to declare without any delay in written form changings regarding the status of the Doctorate (change of university, leave of absence, other interruption of the Doctorate, active continuation of the Doctorate, termination of the Doctorate) to the Office of the Dean of the Faculty. In addition, the candidate is obliged to inform the Office of the Dean annually to December 1 in written or electronic form about the current status of his Doctorate (change of university, leave of absence, other interruption of the Doctorate, active continuation of the Doctorate, termination of the Doctorate). In the case that such information is not provided by the candidate, the Doctoral Committee my, after hearing the supervising professor, cancel the admission for a Doctorate.

- (6) In addition, the Doctoral Committee may also cancel the admission for a Doctorate, after hearing of the supervising professor, in the case that the application for a Doctorate was not submitted at maximum six years after the application for admission.
- (7) In the case that the Doctoral Committee takes the decision to not open a Procedure, the candidate has to be informed by a notification of the Dean about the reasons and legal remedies of which he can make use. In this case, the candidate gets back all documents submitted with the application for a Doctorate except the application letter.
- (8) In the case that the candidate submits a written declaration of withdrawal after Opening of the Doctoral Procedure or that reasons emerge which would have inhibited the Opening, the Doctoral Committee decides to terminate the Procedure and informs the Faculty Board about this. The documents including assessment reports already received remain with the Dean. The candidate has to be informed about the decision of termination within two weeks in written form. He is obliged to make complete indications regarding the terminated Procedure within further applications for a Doctorate.

§ 9

Examiners

- (1) Within the decision of Opening, the Doctoral Committee determines at minimum two examiners. One examiner has to be a professor of a university appointed according to § 60 or § 62 SächsHSFG, the other examiners may be professors from universities of applied sciences or junior professors or they have to prove performances which are at least equivalent to a habilitation (§ 40 sec. 6 sentences 5 to 7 SächsHSFG). The examiners are proposed by the professor supervising the Doctoral Thesis (supervisor, § 10 sec. 1 sentence 2). In general, the supervisor of the Doctoral Thesis has to be determined as first examiner. The candidate may propose another person entitled for examinations according to sentence 2 as first examiner. In the case that the supervisor disagrees with this proposition, the decision belongs to the Doctoral Committee. In the case that the supervisor is a junior professor, a positive probation evaluation according to § 3 of the Regulations on the Procedure of Probation Evaluations of Junior Professors of Chemnitz University of Technology (Regulations on Junior Professors) in the respectively applicable version has to be provided in order to be appointed as first examiner. The first examiner determines to which subject the Doctoral Thesis is assigned. In cases of doubt, the decision belongs to the Doctoral Committee.
- (2) At minimum one examiner has, as professor or junior professor with positive probation evaluation, to be adherent or member of the Faculty. In the case that no member of the Faculty is examiner, the Faculty Board takes the decision on the appointment of a third examiner.
- (3) The examiners are entitled to keep the copy of the Doctoral Thesis submitted to them for assessment. The assessment reports should be submitted to the Dean within three months. The elaboration of the assessment reports is not remunerated.
- (4) In justified cases, the Doctoral Committee may, ex officio or upon application of the candidate or his supervisor, appoint up to two further examiners; for those, the requirements according to section 1 apply as well.

III. Doctoral Thesis

§ 10

In general

- (1) By the Doctoral Thesis, the candidate has to prove his ability to achieve results by autonomous scientific work which contribute to the further development of a scientific area and its theories or methods (§ 40 sec. 6 sentence 1 SächsHSFG). Within the selection of the topic of the Doctoral Thesis as well as during its elaboration, a professor, who is adherent or member of the Faculty, shall assist as supervisor (relation of supervision). The topic has to be assignable to the scientific profile of the Faculty.

- (2) An elaborate which has priorily already been rejected by a scientific body or which was used for other examination purposes, cannot be approved as Doctoral Thesis. However, the Doctoral Thesis may include results of own and external works; those have to be indicate in the list of sources.
- (3) Own works which have already been entirely or partly published or which are submitted for publication may be approved as Doctoral Thesis. The published parts have to be marked. In the case that a cumulative Doctoral Thesis is intended, § 11 has to be observed.
- (4) If a Doctoral Thesis is supervised by a professor, he has, in the case that he is not able anymore to continue the supervision, to care for the further supervision upon application of the candidate. In the case that this is not manageable, the Doctoral Committee has to take care for another appropriate support as far as possible.
- (5) In general, the Doctoral Thesis has to be elaborated in German or English language. Upon application, the Doctoral Committee may admit another language or, in the case of a cumulative Doctoral Thesis, also several languages and, if applicable, require a translation. In this case, the Doctoral Thesis has to be complemented by a summary in German or English language.

§ 11

Cumulative Doctoral Thesis

- (1) A cumulative Doctoral Thesis comprises at minimum three scientific works. Regarding at least one of those works, the candidate has to be the sole or the leading author. The total of the share of authorship regarding those works has to surpass the value of 1.50. With regard to the works in co-authorship submitted, the particular individual contribution of the candidate has to be marked.
- (2) At minimum one examiner must not be a co-author of the works included in the cumulative Doctoral Thesis. In the case that both examiners are also co-authors, a third examiner has to be appointed.
- (3) Within an additional, autonomously elaborated essay (overarching contribution), the thematic interrelations have to be pointed out and it has to be described how the works contribute to the further development of the respective scientific area.
- (4) The works have to be published, approved for publishing or publishable in reviewed scientific journals or comparable publishing media. Works, which have not been published or submitted for publishing yet, may be considered under the condition that they were assessed as worth being published in media of that kind by the examiners. The requirements regarding appropriate publishing media and the required publication status for cumulative Doctoral Theses are determined by the subject groups for their respective area. The decision on the composition of the subject groups belongs to the Faculty Board.
- (5) The works shall substantially being elaborated during the period of supervision by the supervisor.
- (6) In justified exceptional cases, the Doctoral Committee may, upon application of the supervisor and particularly in the case of far above-average quality of the works, take the decision to deviate from one or several requirements stipulated in sections 1 to 5.

§ 12

Assessment of Doctoral Thesis

- (1) Each examiner elaborates within an appropriate delay (§ 9 sec. 3) a written assessment report regarding the Doctoral Thesis and proposes the approval, the return for revision or the non-approval of the Doctoral Thesis to the Doctoral Committee. The proposition for approval resp. non-approval has to be accompanied by a proposition regarding rating and grade according to the following scale:

summa cum laude (0)	= an outstanding performance,
magna cum laude (1)	= a very good performance,
cum laude (2)	= a good performance
rite (3)	= a sufficient performance
non sufficit (4)	= a performance which is, due to significant deficiencies, all in all not sufficient anymore.

For the purpose of more sophisticated assessment, the grades for individual examination performances may – except 4.0 – be lowered by 0.3 („minus“) or – except 0.0 – raised by 0.3 („plus“). The grade for the Doctoral Thesis is calculated from the non-rounded arithmetic mean of the individual grades. In the case that the grades are deviating by more than 1.7 grading points from each other, the Doctoral Committee has to appoint a third examiner according to § 9 sec. 4.

- (2) The Doctoral Committee has to return the Doctoral Thesis to the candidate for revision in the case that this is required by one of the examiners. The revised Doctoral Thesis has to be re-submitted within one year. In justified exceptional cases, the delay may be prolonged by another year. In the case that the Doctoral Thesis is not re-submitted in due time, it is considered as not approved. A revised Doctoral Thesis has to be assessed according to the state of progress and knowledge at the time of its re-submission. The Doctoral Thesis may only be returned for one time.
- (3) The assessment reports are individual and independent statements referring on the scientific content as well as on the manner of presentation. In the case of justified objections against design, methods and content of the Doctoral Thesis or other remediable deficiencies, conditions may be recommended on which the Doctoral Committee takes the decision.

§ 13

Approval of Doctoral Thesis

- (1) The Doctoral Committee takes, based on the assessment reports, the decision on approval of the Doctoral Thesis; it may transfer the decision to the Chair. Priorily, the Doctoral Thesis is disclosed to the public at the Dean for a period of two weeks within the term of lectures and of three weeks beyond. The Dean informs the public of the Faculty about the start of the delay of public disclosure. To the professors and junior professors with positive probation evaluation, who are adherents or members of the Faculty, as well as to the postdoc members of the Faculty, also the assessment reports (including the propositions for grades) are disclosed during the period of disclosure of the Doctoral Thesis; furthermore, statements and appeals against the Doctoral Thesis could be submitted by those persons in written form to the Doctoral Committee during the period of disclosure.
- (2) In the case that the mean calculated from the individual grades (§ 12 sec. 1 sentence 4) is lower than 3.5, the Doctoral Thesis cannot be approved and the Procedure has to be terminated. A Doctoral Thesis, which was not approved, remains with all assessment reports and the file of the Doctorate with the documentation of the Faculty.
- (3) In the case that conditions were imposed according to § 12 sec. 3 sentence 2, the candidate has to fulfill them within a delay determined by the Doctoral Committee. The examiners confirm the fulfillment of the conditions imposed by them to the Doctoral Committee. The Doctoral Theses can only be approved under the condition that all significant conditions according to § 12 sec. 3 sentence have been fulfilled. Conditions regarding minor changings or amendments, to which the candidate agrees without any doubt, are not opposed to the approval of the Doctoral Thesis.
- (4) In the case that the Doctoral Thesis was not approved, the candidate has to inform the candidate about the reasons for the non-approval and the decision on the termination of the Procedure in written form. The notification has to be complemented by a notification on legal remedies. Candidates, whose Doctoral Thesis was not approved, may, at the earliest half a year subsequent to the decision on the non-approval and the termination of the Procedure, apply for a new Doctoral Procedure with a significantly changed version of the non-approved Doctoral Thesis or a thematically different Doctoral Thesis.
- (5) In the case of approval of the Doctoral Thesis, the Doctoral Committee appoints an Examination Commission for the oral examination. To this belong: the Dean, alternatively the Vice-Dean or a professor appointed by the Dean insofar those persons were not involved as examiners in the Procedure, as Chair and the examiners or, in case of absence, one or two other professor on his or their behalf. Upon application of the candidate or his supervisor, the Doctoral Committee takes the decision on the attendance of persons, who do not adhere to the Faculty or to Chemnitz University of Technology. The Dean informs the candidate about the composition of the Examination Commission in written form and asks its members for engagement in the Procedure. Members and adherents of Chemnitz University of Technology are not entitled to deny this without valid reasons.

- (6) With regard to exclusion and partiality of persons entitled for examinations, the regulations of the Act on the Regulation of Administrative Procedures and of Services in Administrative Procedures of the Free State of Saxony (SächsVwVfZG) apply.
- (7) Subsequent to the decision on the approval, the Chair of the Examination Commission heads the further Procedure on behalf of the Faculty.
- (8) After the decision on the approval of the Doctoral Thesis, the candidate is entitled to get access to the assessment reports (including the propositions of grades).

IV. Disputatio

§ 14

Scientific presentation and debate (Disputatio)

- (1) The Dean determines in coordination with the Chair of the Examination Commission and the candidate the date of the oral examination. The candidate has to be invited in written form at minimum two weeks before the beginning of the oral examination. The candidate may abandon the compliance to the delay for invitation.
- (2) In preparation of the Disputatio, the candidate submits at minimum one week before its date a summary of the most significant results of the Doctoral Thesis in written or electronic form to the supervisor and the Dean; the summary will be disclosed to all professors, who are adherents or members of the Faculty, without any delay.
- (3) The candidate provides a report within a public presentation of a duration of ca. 30 minutes regarding objective, content and results of his Doctoral Thesis.
- (4) The presentation is followed immediately by a scientific debate of the same duration during which at first the members of the Examination Commission and subsequently all attendants are entitled to ask questions. The scientific debate refers on the contents of the Doctoral Thesis as well as on problems in factual or methodical correlation with it and may also include general economic questions.
- (5) The Disputatio is headed by the Chair of the Examination Commission; this is not entitled for voting. Questions, which do not refer on the scientific item of the examination, shall be objected by the Chair.
- (6) Minutes have to be taken regarding the conduct of the Disputatio. The record has to contain indications regarding
 1. the day of the oral examination,
 2. the names of the Chair and the examiners,
 3. the name of the candidate,
 4. the item of the Disputatio,
 5. the grade for the Disputatio and the rating (§ 16 sec. 2).The record has to be signed by the Chair and the members of the Examination Commission. It becomes component of the file of the Doctorate.

§ 15

Assessment of oral examination

- (1) Immediately subsequent to the oral examination, the Examination Commission discusses in a non-public meeting the result. The examiner provide respective individual grades for the Disputatio. The assessment is oriented at the grading scale according to § 12 sec. 1. The overall grade for the oral examination is calculated from the non-rounded arithmetic mean of the individual grades.
- (2) In case that the grade for the Disputatio is lower than "rite", the oral examination is not passed.
- (3) In case that the oral examination is not passed, an application for repetition may be submitted after three months at the earliest and after half a year at latest. In case that this does not happen or that the oral examination is again assessed by not passed, the Doctorate is considered as definitely not passed.
- (4) The oral examination is considered as not passed in the case that the candidate fails to attend at the date determined without convincing explanation or that he withdraws after its beginning without valid reasons. The reasons provided for the withdrawal or absence have to be declared and made credible in written form to the Dean without any delay. In case of illness of the candidate, the Dean is entitled to

require the submission of a medical certificate. The Dean forwards this issue without any delay to the Doctoral Committee. The Doctoral Committee takes the decision whether a new date will be determined. Regarding its determination, § 15 sec. 1 applies.

- (5) The file of the Doctorate is returned without any delay by the Examination Commission to the Chair of the Doctoral Committee. The Chair informs the Faculty Board about the completed Procedure.

V. Overall assessment of Doctoral Performances

§ 16

Assessment of the Doctorate

- (1) The Doctoral Procedure is considered as successfully completed in the case that the Doctoral Thesis had been approved and that the oral examination had been passed.
- (2) The overall grade is calculated from the total of the grade of the Doctoral Thesis, weighted double, and the grade of the oral examination, weighted simple, divided by three. Regarding the overall grade, the following grades and ratings apply:

up to 0.50	= summa cum laude
above 0.50 to 1.50	= magna cum laude
above 1.50 to 2.50	= cum laude
above 2.50	= rite.
- (3) The result of the decision-making regarding the overall grade of the Doctorate has to be announced to the candidate subsequent to the oral examination by the Chair of the Examination Commission in oral form. The overall grade, the rating as well as the grades for the Doctoral Thesis and the oral examination have to be recorded in written form.
- (4) The Doctoral Committee issues a written provisional notification on the overall grade to the candidate. This does not entitle for use of the Doctoral degree.
- (5) In case that the Doctoral Procedure was not completed successfully, the Dean issues a respective written notification to the candidate.

VI. Completion of Doctoral Procedure

§ 17

Publication of Doctoral Thesis

- (1) The candidate is obliged to make the approved version of his Doctoral Thesis accessible for the scientific public in an appropriate way (cf. section 2) within one year after the oral examination. In the case of section 2 number 2, the end of the annual delay refers to the conclusion of a contract with a publisher. In the case that this delay is missed culpably by the candidate, all rights acquired within the Doctoral Procedure are considered as expired.
- (2) The publication can be done optionally by the submission free of charge to the Library of Chemnitz University of Technology of
 1. 15 printed and bound copies,
 2. six printed and bound publisher copies in case of publication within the university publisher of Chemnitz University of Technology or another scientific publisher which overtakes the distribution via the bookstore market for the duration of availability. The publication has to be marked as thesis. This may be done either by the integration of the front page of the Doctoral Thesis or by indication as thesis within the imprint,
 3. six printed and bound copies in case of publication of the identic electronic version of the Doctoral Thesis within the full-text archive of Chemnitz University of Technology.
- (3) The University Library confirms the submission of the mandatory copies to the candidate. The candidate has to submit an assurance to the Doctoral Committee that the mandatory copies of the Doctoral Thesis correspond to the content of the original Doctoral Thesis. § 13 sec. 3 remains unaffected.

- (4) With regard to the issue of the Certificate (§ 18), the obligation for submission is already considered as fulfilled at the moment when by a binding declaration of the editor of the publication series or of the publisher the submission of the mandatory copies can be considered as assured.
- (5) The Doctoral Committee may, based on a justified application submitted in due time, prolong the delay for one time.
- (6) In place of a publication according to section 2 number 3, the Doctoral Committee may, upon application of the candidate, also admit the provision of the Doctoral Thesis as electronic version in the internet. The version according to section 1 has to be openly, free of charge as well as directly and anonymously accessible, to be provided in at least one common format like pdf-format and the layout-compliant display of the Doctoral Thesis on different media and computer platforms has to be possible. Furthermore it has to be assured, that the electronic version is stored for at minimum 30 years under an internet address (URL) remaining unchanged during the entire period of time and that this is implemented in a manner that ensures the immutability of the content of the electronic version. In addition to the provision of an electronic version for the University Library, six printed and bound copies of the Doctoral Thesis have to be submitted free of charge.

§ 18

Handover of Certificate, Entitlement for use of Doctoral degree

- (1) Based on the decision of the Examination Commission, the Dean initiates the issue of a Certificate of the Doctorate. It is dated on the day of the oral examination and contains in addition to personal data of the candidate the academic degree to be certified, the scientific area, the topic of the Doctoral Thesis, the rating, the signatures of the President and the Dean as well as the seal of the university.
- (2) The Dean implements the Doctorate by handing over the Certificate of the Doctorate after the candidate had submitted the mandatory copies according to § 17 of these Regulations. By the handover of the Certificate of the Doctorate, the candidate acquires the entitlement for use of the Doctoral degree.
- (3) Upon application, the Doctoral Committee may entitle the candidate for use of the Doctoral Degree already by the issue of the written provisional notification according to § 16 sec. 4 and prior to the handover of the Certificate.

§ 19

Access to examination file

Subsequent to the announcement of the overall degree and upon application, the candidate is entitled to get access to all examination documents. The application has to be submitted to the Dean in written form within one month after the point of time according to sentence 1. Time and location of the access are determined by the Dean.

VII. Invalidity and Legal Remedies

§ 20

Invalidity of Doctoral Performances

- (1) In the case that subsequent to the handover of the Certificate of the Doctorate it emerges that the candidate has acquired the admission by fraud, menace or corruption or by significantly incorrect or incomplete indications or has committed or attempted culpably other fraud within the Procedure, the Doctoral Committee may declare the Doctoral Performances already delivered as entirely or partly invalid.
- (2) In the case that all Doctoral Performances are declared as invalid, the Procedure has to be terminated like in the case of non-approval.

§ 21

Withdrawal of Doctoral degree

- (1) The Doctoral degree may be withdrawn by decision of the Doctoral Committee in the case it emerges that it has been acquired by fraud regarding the requirements for a Doctorate or Doctoral Performances. Prior to a decision, the person affected has to be provided the opportunity for a statement.
- (2) In the case that the requirements for admission to a Doctorate were not fulfilled without the intention of the candidate to deceive regarding that and that this fact comes to be known at first after the handover of the Certificate, this deficiency is remedied by the successful completion of the Doctoral Procedure.

§ 22

Legal Remedies

- (1) The candidate is entitled to submit an appeal against the non-admission to the Doctorate, the non-Opening of the Doctoral Procedure, the non-approval of the Doctoral Thesis, the non regular conduct of the oral examination as well as against other detrimental decisions to the Dean within one month. The delay for appeal starts with the announcement of the decision to the candidate.
- (2) The Dean is obliged to inform the Doctoral Committee about the appeal without any delay. The Committee takes a decision on that within three months. The Dean informs the candidate about the decision of the Doctoral Committee.
- (3) It is also admissible to appeal against the withdrawal of the Doctoral degree according to § 21 sec. 1; section 2 applies respectively.

VIII. Honors

§ 23

Honorary Doctorate

- (1) In acknowledgement of outstanding performances on the area of economics and business administration as well as in case of significant merits regarding the development of this discipline or the Faculty, the degree and the honor of an Honorary Doctor on the area of economics and business administration (Dr. rer. pol. h. c.) may be awarded (§ 40 sec. 9 SächsHSFG).
- (2) The personality to be honored must not be a member of Chemnitz University of Technology.
- (3) The application for the award of the Honorary Doctorate has to be submitted in written form, by indication of reasons, by the majority of the professors who are members of the Faculty. The application has to be submitted to the Dean who forwards it within an appropriate delay to the Extended Doctoral Committee to which belong all professors who are a member of the Faculty.
- (4) The Extended Doctoral Committee appoints two professors or junior professors after successful probation evaluation for assessment of the performances delivered by the personality to be honored. The other groups represented in the Faculty Board are entitled to submit statements.
- (5) The assessment reports have to be submitted to the Extended Doctoral Committee. Each member may submit a written statement on that within one month.
- (6) The decision on the award is taken by the Faculty Board on proposition of the Extended Doctoral Committee.
- (7) The award of the Honorary Doctorate is implemented within a public Procedure in a solemn manner (Laudatio) by the handover of the Certificate of the Doctorate by the Dean.

§ 24
Jubilees

- (1) The Faculty may honor the recurrence of the anniversary of the award of the Doctoral degree in the case that this is justified by the merits of the personality to be honored regarding the development of the scientific area or the close connection of its working life with Chemnitz University of Technology during the years of scientific work.
- (2) The Faculty Board takes the decision on point of time, occasion and manner of an honor of that kind upon proposition of at minimum three members of the Faculty by majority of the votes of the attending members entitled for voting.

IX. Final provisions

§ 25
Coming into force, Transitional regulations

- (1) These Doctoral Regulations are published within the Public Announcements of Chemnitz University of Technology ("Amtliche Bekanntmachungen") and are coming into force at the day after their publication.
- (2) All Doctoral Procedures opened after this point of time have to be implemented on the basis of these Regulations. To Doctoral Procedures opened prior to this point of time, the Doctoral Regulations (Dr. rer. pol.) of the Faculty of Economics and Business Administration of Chemnitz University of Technology dated March 22, 2011 (Amtliche Bekanntmachungen der Technischen Universität Chemnitz No. 10/2011, p. 605) apply.
- (3) A candidate who has been accepted by a professor newly appointed by the Faculty as Doctoral candidate prior to this point of time, is exempted from the requirements according to § 4 in the case that he proves that he fulfills the requirements for a Doctorate in the field of economics and business administration at the precedent university of the newly appointed member or had fulfilled them by the time of its change of university.

The present Doctoral Regulations have been decided by the Faculty Board of the Faculty of Economics and Business Administration on January 31, 2019 and been approved by the University Management of Chemnitz University of Technology on February 21, 2019.

Chemnitz, March 12, 2019

The Dean
of the Faculty of Economics and Business Administration
of Chemnitz University of Technology

Prof. Dr. Michael Hinz