Working towards the 5 parameter model (misorientation & plane) of grain boundaries: overview & preliminary results on Ice



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Outline of this presentation

- Some conclusions about GBCD
- 5 parameter grain boundary description in MTEX ?
- CMU stereology analysis FORTRAN programs
- Exporting boundary traces from MTEX
- Towards phase boundaries ?
- Coincident Site Lattice (CSL) in Ice ?
- Evolution of Ice with annealing

Low & high angle boundaries - Motivation

- Boundaries are typically characterized as <u>tilt</u> and <u>twist</u> type with misorientation vector parallel or normal to the boundary plane.
- <u>Tilt boundaries</u> have reported to migrate one or two orders faster the <u>twist</u> in metals.
- Can the grain boundary migration in Ice be also characterized by <u>fast tilt</u> <u>boundary migration</u>?
- What are limits of boundary characterization in 2D EBSD?
- What can they tell us **locally** (e.g. boundary profile) or **globally** (e.g. EBSD map scale) about deformation and migration processes ?
- It has been reported despite the complexity of polycrystals that boundaries have same crystallographic (habit = low index hkls) as single crystals grown from a magma or other liquid.

Anisotropy of boundaries properties

- The influence of the <u>boundary plane orientation</u> is greater than misorientation angle between grains.
- The Read-Shockley (dislocation) model (1950) for low misorienations θ is reliable for relative energies $\gamma_g b = Eo\theta[A-ln\theta]$
- Coincidence Site Lattice (CSL) models are poor predictors of boundary energies.
- There is an inverse correlation of between the grain boundary energy and grain boundary frequency. (i.e. low energy boundaries area the most frequent boundaries).
- Isostructural crystals (e.g. MgO, NiO & NaCl) have similar grain boundary energy anisotropy.

Based on G.S. Rohrer (2011) J. Mater. Sci.

Importance of grain and interphase boundaries Interface as a place for geodynamics



Schematic illustration of interfacial processes in rocks

Credit : Takehiko HIRAGA

Some conclusions about GBCD

grain boundary character distribution (GBCD)

PF-specimen coordinates

Boundary plane orientation (theta, phi)

MDF-crystal coordinates

Boundary misorientation (phi1,PHI,phi2)





Conclusions about GBCD

- The resolution of the EBSD mapping should be at least 10 points per grain diameter.
- When comparing two GBCDs, differences of less than 10% should be considered insignificant.
- At least 5x10⁴ grain boundary traces should be recorded to determine the GBCD. This estimate assumes that they are relatively evenly distributed (*i.e.* weak texture).
- Note that if too many of the segments arise from a single type of misorientation, then there will be grain boundary configurations that are not sampled.
- For example, if one third of all the boundaries are coherent twins, then 1.7x10⁴ traces will fall in one cell and 3.3x10⁴ will be distributed among the remaining 6560 cells.
- While the grain boundary plane distribution will be well determined for the twin misorientation, it will be underdetermined for all of the other misorientation types.

TSL grain boundary trace construction



- 1. To minimise errors a small EBSD map step size is recommended
- 2. The segmentation process must aim to reproduce the true boundary trace
- 3. The tolerance should be 2x the step size
- 4. Expected error for boundary of length 6x greater than the step size is ±2° (Wright and Larsen, 2002)



The distribution computed from 5x10⁴ traces is not significantly different from that determined from 5x10⁵ traces. (Saylor et al.2004)

5 parameter grain boundary description in MTEX ?

- You can use this description to model individual boundaries
- Study the distribution of random boundaries for a given crystal symmetry
- Alternatively you can used GBtoolbox, Windows, Mac OS and Linux
- You can use the Gbtoolbox to check your own calculations

1) Transform symmetry operations to a specific matrix form for proper or rotational point groups

CS_high_cubic_432 = CS_high_cubic_m_3m.properGroup



2) Create the B matrix of your grain boundary

Grain boundary B matrix

$$B = \begin{pmatrix} 0 & n2(1) & n2(2) & n2(3) \\ n1(1) & M(1,1) & M(1,2) & M(1,3) \\ n1(2) & M(2,1) & M(2,2) & M(2,3) \\ n1(3) & M(3,1) & M(3,2) & M(3,3) \end{pmatrix} = \begin{pmatrix} 0 & n_2^T \\ n_2 & M \end{pmatrix}$$

M = gB Misorientation matrix defined as O1 transpose(O2) O2 wrt O1 n1 = gB Plane normal vector wrt O1 n2 = gB Plane normal vector wrt O2

2) Create the B matrix of your grain boundary



3) Create B^T and B⁻

Three types of B, B^{T} and B^{T} due the presence of exchange symmetry and center of symmetry

$$\mathbf{B} = \begin{bmatrix} 0 & \mathbf{n}_{2}^{\mathrm{T}} \\ \mathbf{n}_{1} & \mathbf{M} \end{bmatrix} \quad \text{exchange symmetry } \mathbf{B}^{\mathrm{T}} = \begin{bmatrix} 0 & \mathbf{n}_{1}^{\mathrm{T}} \\ \mathbf{n}_{2}^{\mathrm{T}} & \mathbf{M} \end{bmatrix} \text{ center of symmetry } \mathbf{B}^{\mathrm{T}} = \begin{bmatrix} 0 & -\mathbf{n}_{2}^{\mathrm{T}} \\ -\mathbf{n}_{1} & \mathbf{M} \end{bmatrix}$$

Generate all symmetrically equivalent forms of **B**

For loop over symmetry operations

 $B_{\text{SYMM}} = C_1 B C_2^{\text{T}}$ apply crystal proper elements of groups with C_1 and C_2

 $B_{SYMM}^- = C_1 B^- C_2^T$ use B^- due to presence of inversion symmetry Remove for phase boundaries: $C_1 \neq C_2$

 $B_{\text{SYMM}}^{\text{T}} = C_1 B^{\text{T}} C_2^{\text{T}}$ use B^{T} due to exchange symmetry of O1 and O2

 $B_{\text{SYMM}}^{\text{T}} = C_1 B^{-\text{T}} C_2^{\text{T}}$ use $B^{-\text{T}}$ due to exchange symmetry of O1 and O2

Generate all symmetrically equivalent forms of **B**

For loop over symmetry operations

 $B_{SYMM} = C_1 B C_2^T \text{ No exchange symmetry or } B^- 1 x 24 x 24 = 576$ $B_{SYMM}^- = C_1 B^- C_2^T \text{ With } B^- 2 x 24 x 24 = 1152$ $B_{SYMM}^T = C_1 B^T C_2^T \text{ With } B^T 3 x 24 x 24 = 1728$ $B_{SYMM}^T = C_1 B^{-T} C_2^T \text{ With } B^{-T} 4 x 24 x 24 = 2304$

CMU stereology analysis FORTRAN programs

Greg Rohrer's CMU stereology analysis FORTRAN programs

- http://mimp.materials.cmu.edu/~gr20/ Grain_Boundary_Data_Archive/
- Uses probability of boundary inclination and GB traces to estimate 5-parameter grain boundary characterization from large data sets from 2D EBSD.
- Recently modified additional orthorhombic crystal symmetry.
- A series of programs for the calculations, but graphics produced using free and open source GMT or other alternatives
- Not really user friendly programs...

 The most important part of the package is calc_gbcd_stereo_fd which generates the grain boundary character distribution (gbcd)



Disor functionality can be replaced by MTEX calculations & plots

Disor : calculates a one-dimensional distribution of grain boundaries as a function of disorientation (minimum misorientation) angle.

MTEX dataset forsterite coarse grained (~ 813μ m) with EBSD stepsize = 50 μ m Sample clearly has a texture ! Poor correlation with uniform distribution



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MTEX dataset forsterite coarse grained with EBSD stepsize = $50 \mu m$ Sample clearly has a texture ! Poor correlation with uniform distribution



Grain boundaries misorientation angles : Fo-Fo

MTEX plot without additional toolboxes

Natural forsterite large grained (813.28 \pm 47.64 μ m) with EBSD step size = 50 μ m



Disor functionality can be replaced by MTEX calculations & plots

Disor : calculates a one-dimensional distribution of grain boundaries as a function of disorientation (minimum misorientation) angle.

Sol-gel forsterite fine grained (~2.6 μ m) with EBSD step size = 0.4 μ m Sample clearly has no texture ! Very good correlation with uniform distribution



Disor functionality can be replaced by MTEX calculations & plots

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Sol-gel forsterite fine grained (~2.6 μ m) with EBSD step size = 0.4 μ m Sample clearly has no texture ! Very good correlation with uniform distribution



Sol-gel forsterite fine grained (2.58±0.07μm) with EBSD step size = 0.4μm IPF-Z



MTEX plot without additional toolboxes



Stereology (3.85) versus 3D EBSD (2.21)



Figure 10. The distribution of grain boundary planes for the $\Sigma 3$ (60°/[111]) grain boundary in Y₂O₃ computed (a) using the conventional stereology and (b) from 3D data.

Distribution of GB planes and energies in the crystal reference frame



(111) planes have the highest population and the lowest relative energy (computed from dihedral angles) Li et al., Acta Mater. 57 (2009) 4304

Effect of crystal symmetry on gBs

Energy-Population (Inverse) Relationship

Non-random grain boundary plane distributions, $\lambda(n)$, independent of misorientation (Δg), were found for all materials investigated

GB plane populations are inversely correlated to known *interfacial* energies.

MgO and TiO₂ distributions: Saylor et al., *J. Amer. Ceram. Soc.*, 87 (2004) 724. MgO surface energy: Saylor & Rohrer, *Interface Science*, 9 (2001) 35. Titania surface energy: Pang & Wynblatt, *J. Amer. Ceram. Soc.*, 89 (2006) 666. Alumina surface energy: Kitayama and Glaeser, *J. Amer. Cer. Soc.*, 85 (2002) 611.

Exporting boundary traces from MTEX

- Function called "Export_gb_traces_CMU"
- Mean grain Orientations left and right of boundary
- Misorientation angle
- Misorientation axis wrt to Orientation left
- Misorientation axis wrt to Orientation right
- Trace lengths
- Trace azimuths
- Trace end-points
- Grain Ids
- Currently single phase (grain) boundaries
- Can be modified for phase boundaries, which are very common in rocks

•	%
•	% MTEX export file for grain boundary traces and associated gB parameters
•	% in the format used by CMU stereology analysis programs written and
•	% maintained by Greg Rohrer et al.
•	%
•	% You can find the stereology FORTRAN programs and ebsds data sets at
•	% http://mimp.materials.cmu.edu/~gr20/Grain_Boundary_Data_Archive/
•	%
•	% INPUT
•	%
•	% export_filename = file name like 'CMU_gB_segments.txt'
•	%
•	% ebsd = MTEX ebsd object
•	
•	% seg_angle = segmentation angle for grain model e.g. 10 or 15 degrees
•	% may be material dependent.
•	%
•	% min_points = minimum number of indexed points per grain e.g. 10 points
•	% n gR smooth - Lanlacian smoothing of grain boundaries to remove the
	% I_gb_shouth = Laplacian shouthing of grain boundaries to remove the
•	$^{\circ}$ stail-case effect of the LDSD grid.
•	%
•	% phase_name = e.g. 'Forsterite' to limited the boundaries
•	% between the same phase e.g. Forsterite-Forsterite
•	%
•	% plot option = 1 to save plots to graphics file allow checking
•	% saving plots to file may take some time to transfer
•	% graphic file to the hard drive
•	% plot_option = 0 do NOT save plots to file
•	%
•	% Fig_size_maps = 'huge','large','medium' or 'small' recommend 'large'
•	%
•	% Fig_size_trace = try 800 or 1500 length and width of the gB trace plot
•	%
•	%

- % output_format = 12 for 12-columns or 21 for 21-columns
- % 12-columns format
- % Column 1-3: o_right right hand average orientation (phi1, PHI, phi2 in radians)
- % Column 4-6: o_left left hand average orientation (phi1, PHI, phi2 in radians)
- % Column 7: trace_length length (in microns)
- % Column 8: trace_azimuth trace angle (in degrees)
- % Column 9-12: X1,Y1,X2,Y2 x,y coordinates of trace endpoints (in microns)
- %
- % 21-columns format
- % Column 1-3: o_right right hand average orientation (phi1, PHI, phi2 in radians)
- % Column 4-6: o_left left hand average orientation (phi1, PHI, phi2 in radians)
- % Column 7: m_angle misorientation Angle (in degrees)
- % Column 8-10: m_axis_right misorientation Axis in Right Hand grain
- % Column 11-13: m_axis_left misorientation Axis in Left Hand grain
- % Column 14: trace_length length (in microns)
- % Column 15: trace_azimuth trace angle (in degrees)
- % Column 16-19: X1,Y1,X2,Y2 x,y coordinates of endpoints (in microns)
- % Column 20-21: Id_grain_R,Id_grain_L IDs of right hand and left hand grains
- %
- To do add number of segments : Number_segs = length(gB.segLength)
- To do add EBSD step size : I have written function for this

Grain modelling – segmentation angle

Segmentation angle defines the misorientation limit between low-angle boundaries (sub-grains, innerboundaries) and high-angle boundaries (grain boundaries) using indexed EBSD points

The choice of the angle has strong impact on modelled grain microstructure

- grains = calcGrains(ebsd('indexed'),'angle',10*degree)
- grains = calcGrains(ebsd('indexed'),'angle',15*degree)

grains = calcGrains(ebsd('indexed')) undefined angle uses default value of 15°

10°-> smaller grains Mis2mean maps 15°-> larger grains

GB Laplacian Smoothing = 0

mis2mean

Boundary traces

Smoothing=0 Smoothing=1

mis2mean

Smoothing=0 Smoothing=2



mis2mean



GB Smoothing = 0



mis2mean

Boundary traces

Grain Ids N.B. trace map is flipped



Smoothing=0 Smoothing=1



Smoothing=0 Smoothing=2





Towards phase boundaries ?

- Phase transitions : olivine-antigorite
- Polyphase rocks : Peridotite (Olivine, Enstatite, Diopside, Garnet, Spinel...).

MTEX data set "forsterite"



Correlated grain and phase boundary misorientation angles



Fo-Fo boundaries misorientation axes



Correlated grain Fo-Fo boundary misorientation axes



Fo-Fo boundary misorientation axes



Fo-Fo boundary misorientation axes



All phases misorientation axes



New global gB analysis : map scale

 Pole figures in <u>specimen coordinates</u> of the orientations in the boundary region.

Plot of boundary orientation locations. Plotted PFs of habit (low index), which are potential boundary plans



- Pole figure in <u>specimen coordinates</u> of *boundary misorientation axes*.
- Misorientation Distribution Function (MDF) of *boundary misorientation axes* in <u>crystal coordinates</u>.

Coincident Site Lattice (CSL)

- Useful in FCC ? Hexagonal ?
- Lattice, but not atomic structure
- CSLs on the boundary of fundamental region.
- Twins planes on boundary of fundamental region – also more likely to have slip transmission from host to twin
- Probably pure tilt and twist plus special boundaries on boundary of fundamental region.

CSL for a hexagonal lattice with c/a = 1.6270lce lh = c/a = 1.6330



CSLs for c/a ratio of Ice Ih



Quantitative study of fabric & microstructure development in Ice Ih *Geosciences* mpression, annealing *Work in progress*

Collaboration Geosciences Montpellier

David Mainprice, Andrea Tommasi, Fabrice Barou, Karoly Hidas and Le Laboratoire de Glaciologie et Géophysique de l'Environnement Grenoble Maurine Montagnat, Thomas Chauve, Baptiste Journaux EBSD Orientation map measured at -100°C and 1 Pa (no post-treatment 98% indexed)



New global gB analysis : map scale Annealing time = 0 minutes

Pole figures of in the boundary region

Pole figures of orientations in bounday regions



Pole figure of Boundary misorientation axes

Z6 Deformed Sample : T=0 Pole figures misorientation axes in specimen ref

MDF of grain boundaries in crystal coordinates

MDF Misorientations of orientations along grain boundaries



Line = 100-70% Probability

Colour dots on MDF sections are hexagonal Ice CSL boundary misorientation axes Note all PF misorientation axes and MDF IPF of axes are data, except MDF section at ω =30°

Z6 T = 4680 minutes Note many PF misorientation axes and MDF IPF of misorientation axes are empty



Z6 Deformed Sample : T=4680m

Planes with d-spacing greater than 1.5 Angstroms - should be habit planes



Z6 : PFs of potential boundary planes



The most common boundary planes in hexagonal symmetry are m(10-10),c(0001),a(11-20) In this sample likely grain boundary planes are have pole normal to Z on edge of pole plots.

Z6 : PFs of boundary misorientation axes

The misorientation axes parallel to surface normal Z often preserved
Only 3 out 7 axes parallel Z are not preserved at 4680 minutes.
Preseved axes parallel to Z have misorientations of 40°,50°,60° or 90°

- 4. All axes normal to Z are elminated.
- 5. Last pole figure for all misorientation angles gives summary plot

T = 0 minutes

T = 4680 minutes

Pole figures misorientation axes in specimen ref

Pole figures misorientation axes in specimen ref

N.B. 'Pole figures' correspond to different misorientation angles



Z6 : MDF of boundary misorientation axes

 At T=0 Misorientation angles are present for all angles except 30°
At T=4680 only angles of 40° 50° 60° and 90°
At T=4680 misorientation axes m[10-10]/40°; a intermediate direction near m[10-10] and r(10-11) with 50° and 60°, and spread of orientations near the basal plane with 90°.



Summary of global analysis

- Specimen coordinates : Potential grain boundary planes do not evolve significantly with annealing. (c),(m) or (a) are the mostly likely planes.
- Specimen coordinates : The misorientation axes preserved at T=4680 minutes is parallel to Z, which is also perpendicular to likely boundary planes (c),(m) or (a). A misorientation axis (vertical) in the boundary plane (normal horizontal) is called a pure tilt boundary geometry.
- Crystal coordinates : MDF indicates misorientation axes are near the basal plane [m]- or [a]-axes are likely candidates. A twist boundaries [m]/90° with plane (m), which is very common in hexagonal could be present.
- A probably global solution : would be a pure tilt boundaries with a misorientation of [a]/90° and boundary plane of (0001). The (0001) plane is also the plane that displays the most evolution in sample coordinates during annealing.

Burger phase relationships

Number	Olivine	Antigorite	axis/angle
• No.1	(100)ol	(001)atg	[12 -6 10]/119.33°
•	[001]ol	[001] <mark>atg</mark>	* [-12 6 0]/120.67°
• No.2	(010)ol	(001) <mark>atg</mark>	[-1 0 0]/90.01°
•	[001]ol	[010]atg	*none
• No.3**	(100)ol	(010) <mark>atg</mark>	[-12 -6 0]/119.33°
•	[001]ol	[010] <mark>atg</mark>	*[-12 -6 0]/120.67°
• No.4**	(010)ol	{210}atg	[-5 9 0]/93.00°
•	[100]ol	[001]atg	* [5 -9 0]/94.13°

*Equivalent by symmetry for orthorhombic-monoclinic symmetry of olivine to antigorite phase transition.

** Not previously reported by Boudier et al. [2009]

Effect of crystal symmetry on geometric characteristics of random grain boundaries



IQS = properly (improperly) quasi-symmetric

Work in progress with Adam Morawiec and Krzysztof Glowinski

Rotation to Coincidence $\Sigma 5$



Animation by Tony Rollett (CMU)

rotating to the $\sum 5$ relationship

O 0 ٥ Ø 0 Ó 0 0 Ó Ô o 00 Ø 0 8 0 0 Ó 8 8 0 00 O. Ó C O 00 00 00 0 Ø 0 0 Ó 00 8 o 0 8 ò Ô

rotating to the $\sum 5$ relationship

Ó C Ó ð Ó ° ം Ó Ö C

rotating to the $\Sigma 5$ relationship 00 00 oÒ Ô o ð Ô on C ô o₀ oo o⁰ Ø) Ö

rotating to the $\Sigma 5$ relationship o Θ Ö Ь C



rotating to the $\Sigma 5$ relationship O O Ċ o o Č C o Ô P Ô Ô ć Ø Ö


rotating to the $\Sigma 5$ relationship Ô



Σ 5 relationship STOP! **Red** and Green lattices coincide after rotation of 0 Ö 2 tan⁻¹ (1/3) = 36.9°

75