# Convex Hulls of Point-Sets and Non-Uniform Hypergraphs

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**Abstract.** For fixed integers  $k \geq 3$  and hypergraphs  $\mathcal{G}$  on N vertices, which contain edges of cardinalities at most k, and are uncrowded, i.e., do not contain cycles of lengths 2, 3, or 4, and with average degree for the *i*-element edges bounded by  $O(T^{i-1} \cdot (\ln T)^{(k-i)/(k-1)})$ ,  $i = 3, \ldots, k$ , for some number  $T \geq 1$ , we show that the independence number  $\alpha(\mathcal{G})$  satisfies  $\alpha(\mathcal{G}) = \Omega((N/T) \cdot (\ln T)^{1/(k-1)})$ . Moreover, an independent set I of size  $|I| = \Omega((N/T) \cdot (\ln T)^{1/(k-1)})$  can be found deterministically in polynomial time. This extends a result of Ajtai, Komlós, Pintz, Spencer and Szemerédi for uncrwoded uniform hypergraphs. We apply this result to a variant of Heilbronn's problem on the minimum area of the convex hull of small sets of points among n points in the unit square  $[0, 1]^2$ .

### 1 Introduction

An independent set I in a graph or hypergraph  $\mathcal{G} = (V, \mathcal{E})$  with vertex-set Vand edge-set  $\mathcal{E}$  is a subset of the vertex-set V, which does not contain any edges, i.e.,  $E \not\subseteq I$  for each edge  $E \in \mathcal{E}$ . The largest size of an independent set in  $\mathcal{G}$ is the independence number  $\alpha(\mathcal{G})$ . For graphs G = (V, E) with average degree  $t := 2 \cdot |E|/|V| \ge 1$  Turán's theorem gives  $\alpha(G) \ge |V|/(2 \cdot t)$ . Turán's theorem for hypergraphs says, see [20]: If  $\mathcal{G} = (V, \mathcal{E}_k)$  is a k-uniform hypergraph, i.e., all edges have cardinality k, with average degree  $t^{k-1} := k \cdot |\mathcal{E}_k|/|V| \ge 1$ , then  $\alpha(\mathcal{G}) \ge ((k-1)/k) \cdot (|V|/t)$ . An independent set  $I \subseteq V$  in  $\mathcal{G}$  achieving this lower bound can be found deterministically in time  $O(|V| + |\mathcal{E}_k|)$ . For uncrowded kuniform hypergraphs  $\mathcal{G} = (V, \mathcal{E}_k)$ , i.e.,  $\mathcal{G}$  contains no cycles of length 2, 3, or 4, Ajtai, Komlós, Pintz, Spencer and Szemerédi [1] improved this lower bound by a factor of  $\Theta((\log t)^{1/(k-1)})$ . Several applications of this result have been found, see [5]. Here we extend this result from [1] to non-uniform uncrowded hypergraphs:

**Theorem 1.** Let  $k \geq 3$  be a fixed integer. Let  $\mathcal{G} = (V, \mathcal{E}_3 \cup \cdots \cup \mathcal{E}_k)$  be an uncrowded hypergraph on |V| = N vertices, where  $\mathcal{E}_i$  is the set of all *i*-element edges in  $\mathcal{G}$ , such that the average degrees  $t_i^{i-1} := i \cdot |\mathcal{E}_i|/|V|$  for the *i*-element edges satisfy  $t_i^{i-1} \leq c_i \cdot T^{i-1} \cdot (\ln T)^{(k-i)/(k-1)}$  for some number  $T \geq 1$  with constants  $c_i$ , where  $0 < c_i < 1/8 \cdot {k-1 \choose i-1}/(10^{(3(k-i))/(k-1)} \cdot k^2)$ ,  $i = 3, \ldots, k$ . Then, for some constant  $C_k > 0$  the independence number  $\alpha(\mathcal{G})$  satisfies

$$\alpha(\mathcal{G}) \ge C_k \cdot (N/T) \cdot (\ln T)^{1/(k-1)}.$$
(1)

An independent set  $I \subseteq V$  with  $|I| = \Omega((N/T) \cdot (\ln T)^{1/(k-1)})$  can be found deterministically in time  $o(N \cdot T^{4k-4})$ .

The corresponding result also holds for linear hypergraphs  $\mathcal{G}$ , which have the property that they do not contain cycles of length 2, i.e., each two distinct edges have at most one vertex in common, provided that  $\mathcal{G}$  does not contain any 2-element edges. Theorem 1 is best possible up to a constant factor for a certain range k < T < N, as can be seen by considering random non-uniform hypergraphs  $\mathcal{G} = (V, \mathcal{E}_3 \cup \cdots \cup \mathcal{E}_k)$  on |V| = N vertices.

As an application we consider a variant of Heilbronn's problem for the convex hull of sets of points in the unit square  $[0, 1]^2$ . The original problem of Heilbronn asks for a distribution of n points in  $[0, 1]^2$  such that the minimum area of a triangle determined by three of these n points achieves its largest value. For this problem, the points  $1/n \cdot (i \mod n, i^2 \mod n), i = 0, \ldots, n-1$ , where n is a prime, give the lower  $\Omega(1/n^2)$  on the minimum area of a triangle. This lower bound has been improved in [12] by a factor  $\Omega(\log n)$ , see [6] for a deterministic polynomial time algorithm. Upper bounds on the minimum area of a triangle among n points in  $[0, 1]^2$  were given by Roth [15–18] and Schmidt [19] and, the currently best upper bound  $O(2^{c\sqrt{\log n}}/n^{8/7}), c > 0$  a constant, is due to Komlós, Pintz and Szemerédi [11].

Variants of Heilbronn's triangle problem in higher dimensions were investigated in [2–4, 7, 8, 13]. A generalization of Heilbronn's triangle problem to k points, see Schmidt [19], asks, given an integer  $k \geq 3$ , for the supremum  $\Delta_k(n)$  over all distributions of n points in  $[0, 1]^2$  of the minimum area of the convex hull determined by some k of n points. In [6] it has been shown that  $\Delta_k(n) = \Omega(1/n^{(k-1)/(k-2)})$ for fixed  $k \geq 3$ , and any integers  $n \geq k$ ; for k = 4 this was proved in [19]. This has been improved in [14] to  $\Delta_k(n) = \Omega((\log n)^{1/(k-1)}/(n^{(k-1)/(k-2)})$  for fixed  $k \geq 3$ . Currently, for  $k \geq 4$  only the upper bound  $\Delta_k(n) = O(1/n)$  is known. Here we show for fixed integers  $k \geq 3$ , that one can achieve these lower bounds

simultaneously for j = 3, ..., k by a single configuration of n points in  $[0, 1]^2$ .

**Theorem 2.** Let  $k \ge 3$  be a fixed integer. For integers  $n \ge k$  there exists a configuration of n points in  $[0,1]^2$ , such that, simultaneously for  $j = 3, \ldots, k$ , the area of the convex hull of any j of the n points is  $\Omega((\log n)^{1/(j-1)}/n^{(j-1)/(j-2)})$ .

By considering the standard  $L \times L$ -grid for a suitable integer  $L \ge n$  one can also give a polynomial time algorithm which achieves the lower bounds from Theorem 2 on the areas of the convex hulls. (Details are omitted.)

#### 2 Uncrowded and Linear Hypergraphs

**Definition 1.** A hypergraph is a pair  $\mathcal{G} = (V, \mathcal{E})$  with vertex-set V and edgeset  $\mathcal{E}$ , where  $E \subseteq V$  for each edge  $E \in \mathcal{E}$ . For a hypergraph  $\mathcal{G}$  the notation  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$  indicates that  $\mathcal{E}_i$  is the set of all *i*-element edges in  $\mathcal{G}$ ,  $i = 2, \ldots, k$ . For a vertex  $v \in V$  let  $d_i(v)$  denote the number of *i*-element edges  $E \in \mathcal{E}_i$  which contain v, *i.e.*,  $d_i(v)$  is the degree of v for the *i*-element edges in  $\mathcal{G}$ . The independence number  $\alpha(\mathcal{G})$  of  $\mathcal{G} = (V, \mathcal{E})$  is the largest size of a subset  $I \subseteq V$  which contains no edges from  $\mathcal{E}$ . A *j*-cycle in a hypergraph  $\mathcal{G} = (V, \mathcal{E})$ is a sequence  $E_1, \ldots, E_j$  of distinct edges from  $\mathcal{E}$ , such that  $E_i \cap E_{i+1} \neq \emptyset$ ,  $i = 1, \ldots, j - 1$ , and  $E_j \cap E_1 \neq \emptyset$ , and a sequence  $v_1, \ldots, v_j$  of distinct vertices with  $v_{i+1} \in E_i \cap E_{i+1}$ ,  $i = 1, \ldots, j - 1$ , and  $v_1 \in E_1 \cap E_j$ . An unordered pair  $\{E, E'\}$  of distinct edges  $E, E' \in \mathcal{E}$  with  $|E \cap E'| \geq 2$  is a 2-cycle. A 2-cycle  $\{E, E'\}$  in  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$  with  $E \in \mathcal{E}_i$  and  $E' \in \mathcal{E}_j$  is called (2; (g, i, j))cycle if and only if  $|E \cap E'| = g$ ,  $2 \leq g \leq i \leq j$  but g < j. The hypergraph  $\mathcal{G}$  is called linear if it does not contain any 2-cycles, and  $\mathcal{G}$  is called uncrowded if it does not contain any 2-, 3-, or 4-cycles.

For uncrowded k-uniform hypergraphs with average degree  $t^{k-1}$  the Turán bound on the independence number has been improved in [1] by a factor  $\Theta((\log t)^{1/(k-1)})$ , see [5] and [10] for a deterministic polynomial time algorithm.

**Theorem 3.** Let  $k \geq 3$  be a fixed integer. Let  $\mathcal{G} = (V, \mathcal{E}_k)$  be an uncrowded k-uniform hypergraph on |V| = N vertices and with average degree  $t^{k-1} := k \cdot |\mathcal{E}_k|/N$ . Then, for some constant  $C_k > 0$ , the independence number  $\alpha(\mathcal{G})$  satisfies  $\alpha(\mathcal{G}) \geq C_k \cdot (N/t) \cdot (\log t)^{1/(k-1)}$ .

To prove Theorem 3, in [1] the following central lemma has been used to construct iteratively a large independent set in a hypergraph, which we use in our arguments too; see [10] for a deterministic polynomial time algorithm.

**Lemma 1.** Let T and N be large positive integers. Let s be an integer with  $0 \le s \le (\ln T)/10^2$ . Let  $w_s := (s+1)^{1/(k-1)} - s^{1/(k-1)}$  and  $\varepsilon := 10^{-6}/\ln T$ . Let  $N/(2 \cdot e^s) \le n \le N/e^s$  and  $T/(2 \cdot e^s) \le t \le T/e^s$ .

Let  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$  be an uncowded hypergraph with |V| = n vertices, where for each vertex  $v \in V$  the degrees  $d_i(v)$  for the *i*-element edges satisfy  $d_i(v) \leq {\binom{k-1}{i-1}} \cdot s^{(k-i)/(k-1)} \cdot t^{i-1}, i = 2, ..., k.$ 

Then, one can find in time  $O(n \cdot t^{4(k-1)})$  an independent set  $I \subseteq V$  in  $\mathcal{G}$ , a subset  $V^* \subset V$  with  $V^* \cap I = \emptyset$ , and a hypergraph  $\mathcal{G}^* = (V^*, \mathcal{E}_2^* \cup \cdots \cup \mathcal{E}_k^*)$  such that

 $(i) \ \alpha(\mathcal{G}) \geq |I| + \alpha(\mathcal{G}^*) \quad and \quad (ii) \ |I| \geq 0.99 \cdot \frac{n \cdot w_s}{e \cdot t} \quad and \quad (iii) \ |V^*| \geq \frac{n \cdot (1-\varepsilon)}{e}$ 

 $\begin{array}{ll} (iv) \ d_i^*(v) \leq {\binom{k-1}{i-1}} \cdot (s+1)^{(k-i)/(k-1)} \cdot (t \cdot (1+\varepsilon)/e)^{i-1} \ for \ each \ vertex \ v \in V^*, \ where \\ d_i^*(v) \ denotes \ the \ degree \ of \ v \ for \ the \ i-element \ edges \ in \ \mathcal{G}^*, \ i=2,\ldots,k. \end{array}$ 

**Lemma 2.** Let  $k \geq 3$  be a fixed integer. Let  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$  be a hypergraph with |V| = N and  $N \geq 65 \cdot (\ln k)^{1000/998}$ , where the average degrees  $t_i^{i-1} := i \cdot |\mathcal{E}_i|/N$  for the *i*-element edges in  $\mathcal{E}_i$  fulfill  $t_i^{i-1} \leq c_i \cdot T^{i-1} \cdot (\ln T)^{(k-i)/(k-1)}$  for some number  $T \geq 1$  and for some constants  $c_i > 0$  with  $c_i < 1/8 \cdot {k-1 \choose i-1}/(10^{(3(k-i))/(k-1)} \cdot k^2), i = 2, \ldots, k.$ 

Then, for  $s := 10^{-3} \cdot \ln T$ , one can find in time  $O(|V| + \sum_{i=2}^{k} |\mathcal{E}_i|)$  an induced subhypergraph  $\mathcal{G}^* = (V^*, \mathcal{E}_2^* \cup \cdots \cup \mathcal{E}_k^*)$  on  $|V^*| = n$  vertices with  $\mathcal{E}_i^* := \mathcal{E}_i \cap [V^*]^i$ ,  $i = 2, \ldots, k$ , such that  $(3/4) \cdot N/e^s \leq n \leq N/e^s$  and for each vertex  $v \in V^*$  the degrees  $d_i^*(v)$  for the *i*-element edges in  $\mathcal{G}^*$  satisfy

$$d_i^*(v) \le \binom{k-1}{i-1} \cdot s^{\frac{k-i}{k-1}} \cdot (T/e^s)^{i-1}.$$
 (2)

*Proof.* We pick vertices with probability  $p := 1/e^s$  uniformly at random and independently of each other from the vertex-set V in  $\mathcal{G}$ . Let  $V^*$  be the random set of chosen vertices of expected size  $E[|V^*|] = p \cdot N$ . With  $s = 10^{-3} \cdot \ln T$  and T = O(N), we have by Chernoff's inequality for  $N \ge 65 \cdot (\ln k)^{1000/998}$ :

Prob 
$$(E[|V^*|] - |V^*| > N/(8 \cdot e^s)) \le e^{-\frac{N^2/(64 \cdot e^{2s})}{N}} = e^{-N/(64 \cdot e^{2s})} < 1/k.$$
 (3)

Let  $\mathcal{E}_i^* := \mathcal{E}_i \cap [V^*]^i$ ,  $i = 2, \ldots, k$ , and let  $\mathcal{G}^* = (V^*, \mathcal{E}_2^* \cup \cdots \cup \mathcal{E}_k^*)$  be the on  $V^*$ induced random subhypergraph of  $\mathcal{G}$ . For  $i = 2, \ldots, k$ , we have for the expected numbers  $E[|\mathcal{E}_i^*|] = p^i \cdot |\mathcal{E}_i| = p^i \cdot N \cdot t_i^{i-1} / i \leq p^i \cdot c_i \cdot T^{i-1} \cdot (\ln T)^{(k-i)/(k-1)} \cdot N / i$ . By Markov's inequality it is Prob  $(|\mathcal{E}_i^*| > k \cdot E[|\mathcal{E}_i^*|]) \leq 1/k$ , hence with (3) there exists a subhypergraph  $\mathcal{G}^* = (V^*, \mathcal{E}_2^* \cup \cdots \cup \mathcal{E}_k^*)$  of  $\mathcal{G}$  such that for  $i = 2, \ldots, k$ :

$$|V^*| \ge (7/8) \cdot N/e^s$$
 and  $|\mathcal{E}_i^*| \le k \cdot p^i \cdot c_i \cdot T^{i-1} \cdot (\ln T)^{(k-i)/(k-1)} \cdot N/i.$  (4)

Let  $n_i$  be the number of vertices  $v \in V^*$  with degree  $d_i^*(v) \ge 8 \cdot e^s \cdot k^2 \cdot p^i \cdot c_i \cdot T^{i-1} \cdot (\ln T)^{(k-i)/(k-1)}$  for the *i*-element-edges in  $\mathcal{G}^*$ ,  $i = 2, \ldots, k$ . By (4) we infer  $n_i \le N/(8 \cdot k \cdot e^s) \le |V^*|/(7 \cdot k)$ , thus  $\sum_{i=2}^k n_i < |V^*|/7$ . We delete these vertices from  $V^*$  and obtain a subset  $V^{**} \subseteq V^*$  with  $|V^{**}| \ge (6/7) \cdot |V^*|$ . For the induced subhypergraph  $\mathcal{G}^{**} = (V^{**}, \mathcal{E}_2^{**} \cup \cdots \cup \mathcal{E}_k^{**})$  of  $\mathcal{G}^*$  with  $\mathcal{E}_i^{**} := \mathcal{E}_i \cap [V^{**}]^i$ ,  $i = 2, \ldots, k$ , we infer with (4) for each vertex  $v \in V^{**}$ :

$$|V^{**}| \ge (3/4) \cdot N/e^s \text{ and } d_i^{**}(v) \le 8 \cdot k^2 \cdot c_i \cdot (T/e^s)^{i-1} \cdot (\ln T)^{(k-i)/(k-1)},$$

where  $d_i^{**}(v)$  is the degree of v for the *i*-element edges in  $\mathcal{G}^{**}$ . For  $s := 10^{-3} \cdot \ln T$ and  $c_i < 1/8 \cdot {\binom{k-1}{i-1}}/(10^{(3(k-i))/(k-1)} \cdot k^2)$ ,  $i = 2, \ldots, k$ , we have

$$d_i^{**}(v) \le 8 \cdot k^2 \cdot c_i \cdot (T/e^s)^{i-1} \cdot (\ln T)^{\frac{k-i}{k-1}} \le \binom{k-1}{i-1} \cdot s^{\frac{k-i}{k-1}} \cdot (T/e^s)^{i-1} \cdot (T/e^s)^$$

which proves (2). By possibly deleting some more vertices and all incident edges we obtain  $(3/4) \cdot N/e^s \leq |V^{**}| \leq N/e^s$ . This probabilistic argument can be derandomized by using the method of conditional probabilities and yields a deterministic algorithm with running time  $O(|V| + \sum_{i=2}^{k} |\mathcal{E}_i|)$ .

We prove Theorem 1 with an approach similar to that in [1]. The difference between their arguments and ours is, that we do not apply Lemma 1 step by step from the beginning, but use first Lemma 2 to jump to a suitable subhypergraph:

Proof. Apply Lemma 2 with  $s := 10^{-3} \cdot \ln T$  to the hypergraph  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$  on N vertices and obtain an induced subhypergraph  $\mathcal{G}_{s-1} := (V_{s-1}, \mathcal{E}_{2;s-1} \cup \cdots \cup \mathcal{E}_{k;s-1})$  on n vertices with  $\mathcal{E}_{i;s-1} := \mathcal{E}_i \cap [V_{s-1}]^i$ ,  $i = 2, \ldots, k$ , and with  $(3/4) \cdot N/e^s \leq n \leq N/e^s$ , and for each vertex  $v \in V_{s-1}$  its degree  $d_{i;s-1}(v)$  in  $\mathcal{G}_{s-1}$  for the *i*-element edges in  $\mathcal{E}_{i;s-1}$  satisfies  $d_{i;s-1}(v) \leq \binom{k-1}{i-1} \cdot s^{(k-i)/(k-1)} \cdot (T/e^s)^{i-1}$ . Set  $n_{s-1} := n$  and  $t_{s-1} := T/e^s$ . By iteratively applying Lemma 1 as in [1] with  $\varepsilon := 10^{-6}/\ln T$  to the hypergraphs  $\mathcal{G}_{r-1}$ , we obtain for  $r = s, \ldots, 10^{-2} \cdot \ln T$  independent sets  $I_r \subseteq V_{r-1}$  and hypergraphs  $\mathcal{G}_r = (V_r, \mathcal{E}_{2;r} \cup \cdots \cup \mathcal{E}_{k;r})$  with

 $|V_r| = n_r$ , where  $(3/4) \cdot N \cdot (1 - \varepsilon)^{r+1-s}/e^{r+1} \le n_r \le N/e^{r+1}$  with numbers  $t_r \le T \cdot (1 + \varepsilon)^{r+1-s}/e^{r+1}$ , such that

$$\begin{aligned} \alpha(\mathcal{G}_r) &\geq |I_r| + \alpha(\mathcal{G}_{r+1}) \quad \text{and} \quad |I_r| \geq (0.99 \cdot n_{r-1} \cdot w_r)/(e \cdot t_{r-1}) \\ |V_r| &\geq (n_{r-1} \cdot (1-\varepsilon))/e \\ d_{i;r}(v) &\leq \binom{k-1}{i-1} \cdot (r+1)^{\frac{k-i}{k-1}} \cdot (t_r)^{i-1} \end{aligned}$$

for each  $v \in V_r$ , where  $d_{i;r}(v)$  is the degree for the *i*-element edges in  $\mathcal{G}_r$  of v. With  $(1+\epsilon)^n > 1+\varepsilon \cdot n$ ,  $1+\varepsilon \leq e^{\varepsilon}$ ,  $r \leq 10^{-2} \cdot \ln T$  and  $\varepsilon = 10^{-6} / \ln T$  we have

$$\frac{n_r}{t_r} \geq \frac{(3/4) \cdot N \cdot (1-\varepsilon)^{r+1-s}/e^{r+1}}{T \cdot (1+\varepsilon)^{r+1-s}/e^{r+1}} \geq \frac{(3/4) \cdot N}{T} \cdot \frac{(1-\varepsilon)^r}{(1+\varepsilon)^r} \geq 0.74 \cdot \frac{N}{T}.$$

Hence, with  $w_r = (r+1)^{1/(k-1)} - r^{1/(k-1)}$  and  $s = 10^{-3} \cdot \ln T$ , we obtain for some constant  $C_k > 0$  an independent set  $I = I_s \cup \cdots \cup I_{(\ln T)/10^2}$  in  $\mathcal{G}$  with

$$\begin{aligned} \alpha(\mathcal{G}) &\geq |I| = \sum_{r=s}^{(\ln T)/10^2} |I_r| \geq 0.99 \cdot \frac{0.74}{e} \cdot \frac{N}{T} \cdot \sum_{r=s}^{(\ln T)/10^2} w_r \geq \\ &\geq \frac{0.73}{e} \cdot \frac{N}{T} \cdot \sum_{r=s}^{(\ln T)/10^2} ((r+1)^{\frac{1}{k-1}} - r^{\frac{1}{k-1}}) \geq C_k \cdot \frac{N}{T} \cdot (\ln T)^{\frac{1}{k-1}}, \end{aligned}$$

which gives the lower bound (1) in Theorem 1. The time bound for the corresponding deterministic algorithm can be estimated as follows: Lemma 2 is applied in time  $O(|V| + \sum_{i=2}^{k} |\mathcal{E}_i|)$  and all applications of Lemma 1 are done in time  $O(\sum_{r=(\ln T)/10^3}^{(\ln T)/10^2} ((N/e^r) \cdot (T \cdot (1 + \varepsilon)^{r+1-s}/e^{r+1})^{4(k-1)})) = o(N \cdot T^{4(k-1)})$ , compare Lemma 1, hence we have the time bound  $o(N \cdot T^{4(k-1)})$ .

In [9] it has been shown that one may relax in Theorem 3 the assumptions: it suffices to have a linear hypergraph. Similarly, one can show:

**Theorem 4.** Let  $k \geq 3$  be a fixed integer. Let  $\mathcal{G} = (V, \mathcal{E}_3 \cup \cdots \cup \mathcal{E}_k)$  be a linear hypergraph with |V| = N such that the average degrees  $t_i^{i-1} := i \cdot |\mathcal{E}_i|/|V|$  for the *i*-element edges satisfy  $t_i^{i-1} \leq c_i \cdot T^{i-1} \cdot (\ln T)^{(k-i)/(k-1)}$  for some number  $T \geq 1$ , where  $c_i > 0$  are constants with  $c_i < 1/32 \cdot {\binom{k-1}{i-1}}/(10^{(3(k-i))/(k-1)} \cdot k^6)$ ,  $i = 3, \ldots, k$ .

Then, for some constant  $C_k > 0$ , one can find deterministically in time  $O(N \cdot T^{4k-2})$  an independent set  $I \subseteq V$  such that  $|I| = \Omega((N/T) \cdot (\ln T)^{1/(k-1)})$ .

## 3 Areas of the Convex Hull of *j* Points

For distinct points  $P, Q \in [0, 1]^2$  let PQ denote the *line* through P and Q, and let [P, Q] be the *segment* between P and Q. Let dist (P, Q) denote the *Euclidean distance* between the points P and Q. For points  $P_1, \ldots, P_l \in [0, 1]^2$  let area  $(P_1, \ldots, P_l)$  be the area of the convex hull of  $P_1, \ldots, P_l$ . A strip centered at the line PQ of width w is the set of all points in  $\mathbb{R}^2$ , which are at Euclidean distance at most w/2 from the line PQ. We define a lexicographic order  $\leq_{lex}$  on the unit square  $[0, 1]^2$ : for points  $P = (p_x, p_y) \in [0, 1]^2$  and  $Q = (q_x, q_y) \in [0, 1]^2$ let  $P \leq_{lex} Q :\iff (p_x < q_x)$  or  $(p_x = q_x \text{ and } p_y < q_y)$ .

- **Lemma 3.** (a) Let  $P_1, \ldots, P_l \in [0,1]^2$ ,  $l \ge 3$ , be points. If area  $(P_1, \ldots, P_l) \le A$ , then for any distinct points  $P_i, P_j$  every other point  $P_k, k \ne i, j$ , is contained in a strip centered at the line  $P_iP_j$  of width  $4 \cdot A/\text{dist}(P_i, P_j)$ .
- (b) Let  $P, R \in [0,1]^2$  be distinct points with  $P \leq_{lex} R$ . Then all points  $Q \in [0,1]^2$  with  $P \leq_{lex} Q \leq_{lex} R$  and area  $(P,Q,R) \leq A$  are contained in a parallelogram of area  $4 \cdot A$ .

In the following we prove Theorem 2.

*Proof.* Let  $k \geq 3$  be a fixed and let  $n \geq k$  be any integer. For a constant  $\beta > 0$ , which will be specified later, we select uniformly at random and independently of each other  $N := n^{1+\beta}$  points  $P_1, \ldots, P_N$  in  $[0,1]^2$ . Set  $D_0 := N^{-\gamma}$  for a constant  $\gamma$  with  $0 < \gamma < 1$  and let  $A_3, \ldots, A_k > 0$  be numbers, which will be fixed later. We form a random hypergraph  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$  with vertex-set  $V = \{1, \ldots, N\}$ , where vertex  $i \in V$  corresponds to the random point  $P_i \in$  $[0,1]^2$ , and with edges of cardinality at most k. Let  $\{i_1,i_2\} \in \mathcal{E}_2$  if and only if  $\operatorname{dist}(P_{i_1}, P_{i_2}) \leq D_0$ . Moreover, for  $j = 3, \ldots, k$ , let  $\{i_1, \ldots, i_j\} \in \mathcal{E}_j$  if and only if area  $(P_{i_1}, \ldots, P_{i_j}) \leq A_j$  and  $\{i_1, \ldots, i_j\}$  does not contain any edges from  $\mathcal{E}_2$ . We want to find a large independent set  $I \subseteq V$  in  $\mathcal{G}$ , as I yields a subset  $P(I) \subseteq [0,1]^2$  of size |I| such that the area of the convex hull of each j distinct points, j = 3, ..., k, from P(I) is bigger than  $A_j$ . To do so, first we estimate the expected numbers  $E[|\mathcal{E}_j|]$  of *j*-element edges and  $E[s_{2;(g,i,j)}(\mathcal{G})]$  of (2; (g,i,j))cycles in  $\mathcal{G}$ , and we prove that these numbers are not too big. Then we show the existence of a certain induced, linear subhypergraph  $\mathcal{G}^* = (V, \mathcal{E}_3^* \cup \cdots \cup \mathcal{E}_k^*)$  (no 2-element edges anymore) of  $\mathcal{G}$ , which satisfies the assumptions of Theorem 4, and then we obtain a large independent set.

**Lemma 4.** For j = 3, ..., k, there exist constants  $c_j > 0$  such that

$$E[|\mathcal{E}_j|] \le c_j \cdot A_j^{j-2} \cdot N^j. \tag{5}$$

*Proof.* For integers  $i_1, \ldots, i_j$  with  $1 \leq i_1 < \cdots < i_j \leq N$  we estimate the probability Prob (area  $(P_{i_1}, \ldots, P_{i_j}) \leq A_j$ ). We may assume that  $P_{i_1} \leq_{lex} \cdots \leq_{lex} P_{i_j}$ . Then area  $(P_{i_1}, \ldots, P_{i_j}) \leq A_j$  implies area  $(P_{i_1}, P_{i_g}, P_{i_j}) \leq A_j$  for  $g = 2, \ldots, j - 1$ . The points  $P_{i_1}$  and  $P_{i_j}$  with  $P_{i_1} \leq_{lex} P_{i_j}$  may be anywhere in  $[0, 1]^2$ . Given  $P_{i_1}, P_{i_j} \in [0, 1]^2$ , by Lemma 3(b) all points  $P_{i_g}, g = 2, \ldots, j - 1$ , are contained in a parallelogram of area  $4 \cdot A_j$ , which happens with probability at most  $(4 \cdot A_j)^{j-2}$ . As there are  $\binom{N}{j}$  choices for j out of N points, for some constants  $c_j > 0, j = 3, \ldots, k$ , we obtain  $E[|\mathcal{E}_j|] \leq c_j \cdot A_j^{j-2} \cdot N^j$ . □

Next we estimate the expected numbers  $E[s_{2;(g,i,j)}(\mathcal{G})]$  of (2; (g, i, j))-cycles,  $2 \leq g \leq i \leq j \leq k$  but g < j, in  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$ .

**Lemma 5.** Let  $2 \leq g \leq i \leq j \leq k$  with  $i \geq 3$  and g < j, and let  $0 < A_3 \leq \cdots \leq A_k$ . Then, there exist constants  $c_{2;(g,i,j)} > 0$  such that for  $D_0^2 \geq 2 \cdot A_j$  it is

$$E[s_{2;(g,i,j)}(\mathcal{G})] \le c_{2;(g,i,j)} \cdot A_i^{i-2} \cdot A_j^{j-g} \cdot N^{i+j-g} \cdot (\log N)^3.$$
(6)

*Proof.* We estimate the probability that (i + j - g) points, which are chosen uniformly at random and independently of each other in  $[0,1]^2$ , form sets of i and j points with areas of the convex hulls at most  $A_i$  and  $A_j$ , respectively, conditioned on the event that distinct points have Euclidean distance bigger than  $D_0$ . Both sets have g points in common, say  $P_1, \ldots, P_g$ , where  $P_1 \leq_{lex} \cdots \leq_{lex}$  $P_g$ . Let the sets of i and j points be  $P_1, \ldots, P_i$  and  $P_1, \ldots, P_g, Q_{g+1}, \ldots, Q_j$  with area  $(P_1, \ldots, P_i) \leq A_i$  and area  $(P_1, \ldots, P_g, Q_{g+1}, \ldots, Q_j) \leq A_j$ , respectively. The point  $P_1$  may be anywhere in  $[0, 1]^2$ . Given  $P_1 \in [0, 1]^2$ , we have Prob  $(r \leq$ dist  $(P_1, P_g) \leq r + dr) \leq \pi \cdot r dr$ . Given  $P_1, P_g \in [0, 1]^2$  with dist  $(P_1, P_g) = r$ , by Lemma 3(b) all points  $P_2, \ldots, P_{g-1}$  are contained in a parallelogram with area  $4 \cdot A_i$ , which happens with probability at most  $(4 \cdot A_i)^{g-2}$ .

Given  $P_1, \ldots, P_g \in [0,1]^2$  with dist  $(P_1, P_g) = r$ , by Lemma 3(a) all points  $P_{g+1}, \ldots, P_i$  are contained in a strip  $S_i$  of width  $w = 4 \cdot A_i/r$ , and all points  $Q_{g+1}, \ldots, Q_j$  are contained in a strip  $S_j$  of width  $w = 4 \cdot A_j/r$ , where both strips are centered at the line  $P_1P_g$ . Set  $S_i^* := S_i \cap [0,1]^2$  and  $S_j^* := S_j \cap [0,1]^2$ , which have areas at most  $4 \cdot \sqrt{2} \cdot A_i/r$  and  $4 \cdot \sqrt{2} \cdot A_j/r$ , respectively.

For the convex hulls of  $P_1, \ldots, P_i$  and  $P_1, \ldots, P_g, Q_{g+1}, \ldots, Q_g$  we denote their extremal points by P', P'' and Q', Q', respectively, i.e.,  $P', P'' \in \{P_1, \ldots, P_i\}$  and  $Q', Q'' \in \{P_1, \ldots, P_g, Q_{g+1}, \ldots, Q_j\}$  and, say  $P' \leq_{lex} P''$  and  $Q' \leq_{lex} Q''$ , it is  $P' \leq_{lex} P_1, \ldots, P_i \leq_{lex} P''$  and  $Q' \leq_{lex} P_1, \ldots, P_g, Q_{g+1}, \ldots, Q_j \leq_{lex} Q''$ . Given  $P_1 \leq_{lex} \cdots \leq_{lex} P_g$ , there are three possibilities each for the convex hulls of  $P_1, \ldots, P_i$  and  $P_1, \ldots, P_g, Q_{g+1}, \ldots, Q_j$ : extremal are (i)  $P_1$  and  $P_g$ , or (ii) only one point,  $P_1$  or  $P_g$ , or (iii) none of  $P_1$  and  $P_g$ .

Consider the convex hull of the points  $P_1, \ldots, P_i$ . In case (i), given  $P_1, \ldots, P_g \in [0,1]^2$  with dist  $(P_1, P_g) = r$ , as in the proof of Lemma 4 we infer

Prob (area 
$$(P_1, \ldots, P_i) \le A_i \mid P_1, \ldots, P_g$$
; case (i))  $\le (4 \cdot A_i)^{i-g}$ . (7)

In case (ii), either  $P_1$  or  $P_g$  is extremal for the convex hull of  $P_1, \ldots, P_i$ . By Lemma 3(a), the second extremal point is contained in the set  $S_i^*$ , which happens with probability at most  $4 \cdot \sqrt{2} \cdot A_i/r$ . Given both extremal points  $P', P'' \in [0, 1]^2$ , by Lemma 3(b) all points  $P_{g+1}, \ldots, P_i \neq P', P''$  are contained in a parallelogram of area  $4 \cdot A_i$ , hence, with dist  $(P_1, P_g) = r$  we infer

$$\operatorname{Prob}(\operatorname{area}(P_1,\ldots,P_i) \le A_i \mid P_1,\ldots,P_g ; \operatorname{case}(\mathrm{ii})) \le ((4 \cdot A_i)^{i-g} \cdot \sqrt{2})/r.(8)$$

In case (iii) neither point  $P_1$  nor  $P_g$  is extremal for the convex hull of  $P_1, \ldots, P_i$ . With area  $(P_1, \ldots, P_i) \leq A_i$ , by Lemma 3(a) both extremal points P' and P'', say  $P' \leq_{lex} P_1 \leq_{lex} P_g \leq_{lex} P''$ , are contained in the strip  $S_i$  of width  $4 \cdot A_i/r$ , which is centered at the line  $P_1P_g$ . Given  $P_1 \in [0, 1]^2$ , the probability that dist  $(P_1, P') \in [s, s + ds]$  is at most the difference of the areas of the balls with center  $P_1$  and with radii (s + ds) and s, respectively, intersected with the strip  $S_i$ . Since distinct points have Euclidean distance bigger than  $D_0$ , we have  $r, s > D_0$ . A circle with center  $P_1$  and radius  $s > D_0$  intersects both boundaries of the strip  $S_i$  of width  $4 \cdot A_i/r$  in four points  $R \leq_{lex} R'$  and  $R'' \leq_{lex} R'''$ , where R, R' are on one boundary of the strip  $S_i$  and R'', R''' are on the other boundary. To see this, notice that  $s > 2 \cdot A_i/r$  follows from  $r, s > D_0$  and  $D_0^2 > 2 \cdot A_j \geq 2 \cdot A_i$ . Let  $\varepsilon(s)$  be the angle between the lines  $P_1R$  and  $P_1R''$ . Then, by using  $\varepsilon/2 \leq \sin \varepsilon$  for  $\varepsilon \leq \pi/2$  and  $\sin(\varepsilon(s)/2) = 2 \cdot A_i/(r \cdot s) < 2 \cdot A_i/D_0^2 \leq 1$ , we infer

Prob (dist  $(P_1, P') \in [s, s + ds] | P_1) \leq ((2 \cdot \varepsilon(s)))/(2 \cdot \pi) \cdot 2 \cdot \pi \cdot s ds \leq$  $< 8 \cdot \sin(\varepsilon(s)/2) \cdot s ds = (16 \cdot A_i/r) ds$ .

Given  $P' \in [0,1]^2$  with dist  $(P_1, P') = s$ , the second extremal point  $P'' \in [0,1]^2$ is contained in a strip centered at the line  $P_1P'$  of width  $4 \cdot A_i/s$ , which occurs with probability at most  $4 \cdot \sqrt{2} \cdot A_i/s$ . Given both points P', P'', by Lemma 3(b) all points  $P_{g+1}, \ldots, P_i \neq P', P''$  are contained in a parallelogram of area  $4 \cdot A_i$ . Hence, given  $P_1, \ldots, P_g \in [0,1]^2$ , with  $s > D_0 = N^{-\gamma}$  and  $\gamma > 0$ , we infer:

Prob (area 
$$(P_1, \dots, P_i) \le A_i \mid P_1, \dots, P_g$$
; case (iii))  

$$\le (4 \cdot A_i)^{i-g} \cdot \int_{D_0}^{\sqrt{2}} \frac{4 \cdot \sqrt{2}}{r \cdot s} \, \mathrm{d}s = \sqrt{32} \cdot (4 \cdot A_i)^{i-g} \cdot \frac{\ln \sqrt{2} + \gamma \cdot \ln N}{r} \,. \tag{9}$$

Summarizing cases (i–iii) with (7)–(9), and  $r \leq \sqrt{2}$  and  $0 < \gamma < 1$  we obtain:

Prob (area 
$$(P_1, \ldots, P_i) \leq A_i \mid P_1, \ldots, P_g)$$
  

$$\leq (4 \cdot A_i)^{i-g} \cdot \frac{\sqrt{8} + \sqrt{8} \cdot (\ln 2 + 2 \cdot \gamma \cdot \ln N)}{r} \leq (4 \cdot A_i)^{i-g} \cdot \frac{11 \cdot \ln N}{r}.$$
(10)

Similarly, it follows Prob (area  $(P_1, \ldots, P_g, Q_{g+1}, \ldots, Q_g) \leq A_j \mid P_1, \ldots, P_g) \leq ((4 \cdot A_j)^{j-g} \cdot 11 \cdot \ln N)/r$  holds. Hence, we obtain for constants  $c^*_{2;(g,i,j)} > 0$ :

$$\begin{array}{l} \operatorname{Prob} \left(P_{1}, \ldots, P_{i} \text{ and } P_{1}, \ldots, P_{g}, Q_{g+1}, \ldots, Q_{j} \text{ is a } (2; (g, i, j)) \text{-cycle} \right) \leq \\ \leq \int_{D_{0}}^{\sqrt{2}} (4 \cdot A_{i})^{g-2} \cdot \left( (4 \cdot A_{i})^{i-g} \cdot \frac{11 \cdot \ln N}{r} \right) \cdot \left( (4 \cdot A_{j})^{j-g} \cdot \frac{11 \cdot \ln N}{r} \right) \cdot \pi \cdot r \, \mathrm{d}r \\ \leq c_{2;(g,i,j)}^{*} \cdot A_{i}^{i-2} \cdot A_{j}^{j-g} \cdot (\log N)^{3} \quad \text{as } D_{0} = N^{-\gamma}, \gamma > 0 \text{ is constant.}$$
 (11)

There are  $\binom{N}{i+j-g}$  choices for i+j-g out of N points, hence for constants  $c_{2;(g,i,j)} > 0, j = 2, \ldots, k-1$ , we get with (11) the upper bound:

$$E[s_{2;(g,i,j)}(\mathcal{G})] \le c_{2;(g,i,j)} \cdot A_i^{i-2} \cdot A_j^{j-g} \cdot N^{i+j-g} \cdot (\log N)^3.$$

For distinct points  $P, Q \in [0, 1]^2$ , it is Prob (dist  $(P, Q) \leq D_0) \leq \pi \cdot D_0^2$ . With  $D_0 = N^{-\gamma}$  we infer  $E[|\mathcal{E}_2|] \leq {N \choose 2} \cdot \pi \cdot D_0^2 \leq c_2 \cdot N^{2-2\gamma}$  for some constant  $c_2 > 0$ . By Markov's inequality, using this and the estimates (5) and (6) there exist N points  $P_1, \ldots, P_N \in [0, 1]^2$  such that the resulting hypergraph  $\mathcal{G} = (V, \mathcal{E}_2 \cup \cdots \cup \mathcal{E}_k)$  with |V| = N satisfies for  $2 \leq g \leq i \leq j \leq k$  but g < j:

$$|\mathcal{E}_2| \le k^3 \cdot c_2 \cdot N^{2-2\gamma} \quad \text{and} \quad |\mathcal{E}_j| \le k^3 \cdot c_j \cdot A_j^{j-2} \cdot N^j \tag{12}$$

$$s_{2;(g,i,j)}(\mathcal{G}) \le k^3 \cdot c_{2;(g,i,j)} \cdot A_i^{i-2} \cdot A_j^{j-g} \cdot N^{i+j-g} \cdot (\log N)^3.$$
(13)

For suitable constants  $c'_j > 0, j = 3, ..., k$ , which will be fixed later, we set

$$A_j := (c'_j \cdot (\log n)^{1/(j-2)}) / n^{(j-1)/(j-2)}.$$
(14)

**Lemma 6.** For fixed  $\gamma > 1/2$  it is  $|\mathcal{E}_2| = o(|V|)$ .

*Proof.* Using (12) and |V| = N, we have  $|\mathcal{E}_2| = o(|V|)$  provided that  $N^{2-2\gamma} = o(N) \iff N^{1-2\gamma} = o(1)$ , which holds for  $\gamma > 1/2$ .

**Lemma 7.** For fixed  $2 \le g \le i \le j \le k$  but g < j and for fixed constant  $\beta$  with  $0 < \beta < (j-g)/((j-2) \cdot (i+j-g-1)) \text{ it is } s_{2;(g,i,j)}(\mathcal{G}) = o(|V|).$ 

*Proof.* By using (13) and (14) and  $|V| = N = n^{1+\beta}$  with fixed  $\beta > 0$  we have  $s_{2;(q,i,j)}(\mathcal{G}) = o(|V|)$  for j = 2, ..., k - 1, provided that

$$\begin{aligned} A_i^{i-2} \cdot A_j^{j-g} \cdot N^{i+j-g} \cdot (\log N)^3 &= o(N) \\ \iff (\log n)^{4+\frac{j-g}{j-2}} \cdot n^{(1+\beta)(i+j-g-1)-(i-1)-\frac{(j-g)(j-1)}{j-2}} &= o(1) \\ \iff (1+\beta) \cdot (i+j-g-1) < i-1 + ((j-g) \cdot (j-1))/(j-2) \,, \\ \text{olds for } \beta < (j-q)/((j-2) \cdot (i+j-g-1)). \end{aligned}$$

which holds for  $\beta < (j-g)/((j-2) \cdot (i+j-g-1))$ .

is

Fix 
$$\beta := 1/(2 \cdot k^2)$$
 and  $\gamma := k/(2 \cdot (k-1))$ . Then, with (14) and  $D_0 = N^{-\gamma}$  and  $N = n^{1+\beta}$  all assumptions in Lemmas 5–7 are fulfilled. We delete one vertex from each 2-element edge  $E \in \mathcal{E}_2$  and each (2;  $(g, i, j)$ )-cycle,  $2 \leq g \leq i \leq j \leq k$  but  $g < j$ , in  $\mathcal{G}$ . Let  $V^* \subseteq V$  be the set of remaining vertices. The induced subhypergraph  $\mathcal{G}^* = (V^*, \mathcal{E}_3^* \cup \cdots \cup \mathcal{E}_k^*)$  of  $\mathcal{G}$  with  $\mathcal{E}_j^* := \mathcal{E}_j \cap [V^*]^j$ ,  $j = 3, \ldots, k$ , is linear, and by (12), and Lemmas 6 and 7 fulfills  $|V^*| \geq N/2$  and  $|\mathcal{E}_j^*| \leq k^3 \cdot c_j \cdot A_j^{j-2} \cdot N^j$ . By (14), the hypergraph  $\mathcal{G}^*$  has average degree

$$t_j^{j-1} = j \cdot |\mathcal{E}_j^*| / |V^*| \le 2 \cdot k^3 \cdot j \cdot c_j \cdot (c_j')^{j-2} \cdot N^{j-1} \cdot \log n / n^{j-1} =: (t_j(1))^{j-1}$$

for the *j*-element edges. Fix a constant c' > 0 such that  $C_k/(2 \cdot c') \cdot \beta^{1/(k-1)} > 1$ and set  $T := c' \cdot (N/n) \cdot (\log n)^{1/(k-1)}$ . Then fix constants  $c'_j > 0, j = 3, \ldots, k$ , in (14) such that

$$(t_j(1))^{j-1} = (2 \cdot k^3 \cdot j \cdot c_j \cdot (c'_j)^{j-2} \cdot N^{j-1} \cdot \log n) / n^{j-1} \le \le 1/32 \cdot \binom{k-1}{j-1} / (10^{(3(k-j))/(k-1)} \cdot k^6) \cdot T^{j-1} \cdot (\log T)^{(k-j)/(k-1)}.$$

Then, the assumptions in Theorem 4 are satisfied for  $\mathcal{G}^*$ , and its independence number  $\alpha(\mathcal{G}^*)$  satisfies for some constant  $C_k > 0$ :

$$\begin{aligned} \alpha(\mathcal{G}) &\geq \alpha(\mathcal{G}^*) \geq C_k \cdot (|V^{**}|/T) \cdot (\log T)^{\frac{1}{k-1}} \geq C_k \cdot (N/(2 \cdot T)) \cdot (\log T)^{\frac{1}{k-1}} \geq \\ &\geq \frac{C_k \cdot n}{2 \cdot c' \cdot (\log n)^{\frac{1}{k-1}}} \cdot \left(\log(n^\beta)\right)^{\frac{1}{k-1}} \geq n. \end{aligned}$$

The vertices of an independent set I with |I| = n yield n points among the N points  $P_1, \ldots, P_N \in [0,1]^2$ , such that for  $j = 3, \ldots, k$  the area of the convex hull of any j distinct points of these n points is  $\Omega((\log n)^{1/(j-2)}/n^{(j-1)/(j-2)})$  as desired. 

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